

USCG/ECOLOGY MOA	Protocols Manual	Procedure: 10 Page: Page 1 of 8 Revision: 06/26/2007
Information Sharing		

1. PURPOSE/SCOPE

The purpose of this protocol is to establish procedures for exchange of information to enhance the effectiveness of combined U.S. Coast Guard Thirteenth District (“USCG”) and the Washington State Department of Ecology (“Ecology”) resources devoted to safe marine transportation and environmental protection. This protocol is also expected to leverage resources by bringing the diverse expertise of USCG and Ecology staff together to promote efficiencies in oil spill risk management.

Instructions contained in this protocol apply to sharing information regarding the risk of a spill, or the substantial threat of a spill, to navigable waters of Washington State. The categories of information addressed are limited to factual data of the type normally required by statutory reporting requirements, and are not intended to include pre-decisional information. These categories include, but are not limited to:

- Vessel arrivals and screening.
- Marine casualties and equipment degradations involving vessels and facilities.
- Coordination of vessel and facility inspection schedules.

2. AUTHORITY/REFERENCES

Memorandum of Agreement on Pollution Prevention and Response between the Commander, Thirteenth Coast Guard District and the state of Washington executed on June 26, 2007, Section IV- Information Sharing:

- A. The Coast Guard agrees to share with the state of Washington information concerning the following types of incidents occurring in State waters, or that may impact State waters: vessel propulsion and/or steering losses, vessel collisions and allisions, vessel groundings, and vessel or facility fires and explosions, vessel structural failures, near misses and other incidents resulting in an oil spill, or that pose a substantial threat of an oil spill, or a substantial threat to the public health and safety.*
- B. The Parties agree to share relevant studies, particularly initiatives aimed at reducing human and organizational errors, concerning causes and prevention of incidents and oil spills to the maximum extent permitted by law, regulation, and policy.*

Information Sharing

Ecology

Statutes

RCW 88.46.050

RCW 88.46.170

RCW 90.56

Vessel Screening

Field Operations Program – Coordination with U.S. Coast Guard

Oil and Hazardous Substance Spill Prevention and Response

Regulations

WAC 317-10

WAC 317-31

WAC 317-40

WAC 173-180

WAC 173-182

WAC 173-184

Vessel Contingency Plan and Response Contractor Standards

Cargo and Passenger Vessels – Substantial Risk

Bunkering Operations

Facility Oil Handling Standards

Oil Spill Contingency Plan

Vessel Oil Transfer Advance Notice and Containment Requirements

Documents/Forms

Incident Report and Recommendation for Investigation

Daily “Boarding Alert” e-mail

USCG

Statutes

46 USC Chapter 61

33 USC 1321 et seq.

Reporting Marine Casualties

Federal Water Pollution Control Act

Regulations

33 CFR 160

46 CFR Part 4

33 CFR 164.53

33 CFR Part 161

33 CFR 153.203

Notification of Arrival in U.S. Ports

Marine Casualties and Investigations

Deviations from rules and reporting: Non-Operating equipment

Vessel Traffic Management

Procedures for the notice of discharge

Documents/Forms

Form CG-2692 Report of Marine Accident, Injury or Death

Form CG-2692A Barge Addendum

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3. EFFECTIVE DATE/POINTS OF CONTACT

This protocol will become effective upon signature of both parties.

The following persons will serve as points of contact for questions concerning this protocol and its implementation:

Ecology

Headquarters Spills Program

Marine Information System (MIS) Manager

Phone: 360-407-7483

Fax: 360-407-7288

Mailing Address: Washington Department of Ecology, Spills Program, P.O. Box 47600, Olympia, WA 98504-7600

Spill Prevention Unit Supervisor, Southwest Regional Office

Phone: 360-407-6238

Fax: 360-407-6305

Mailing Address: Washington Department of Ecology, Southwest Regional Office, P.O. Box 47600, Olympia, WA 98504-7600.

Spill Prevention Unit Supervisor, Northwest Regional Office

Phone: 425-649-4491

Fax: 425-649-7098

Mailing Address: Washington Department of Ecology, Northwest Regional Office, 3190 - 160th Ave. SE, Bellevue, WA 98008-5452

USCG

13th District

Chief, Compliance and Investigations Branch, D13

Phone: 206-220-7224

Fax: 206-220-7225

Mailing Address: Commander, Thirteenth Coast Guard District (mc), 915 Second Avenue, Room 3506, Seattle, WA 98174

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Sector Portland

Chief, Incident Management Branch

Phone: 503-240-9370

Fax: 503-240-9345

Mailing Address: Commander, USCG Sector Portland, 6767 N. Basin Ave.,
Portland, OR 97217

Sector Seattle

Chief, Incident Management Branch

Phone: 206-217-6214

Fax: 206-217-6345

Mailing Address: Commander, USCG Sector Seattle, 1519 Alaskan Way S., Bldg. 4,
Seattle, WA 98134-1192

4. POLICIES

It is the policy of the USCG and Ecology:

- A. That documents will be shared pursuant to the Disclosure of Records protocol.
- B. To share vessel arrival information. The two agencies will strive to include Canada-bound vessels in the arrival information exchanged under this protocol.¹
- C. To share, in real-time, information on incidents that pose a substantial threat of an oil spill or threat to public health and safety, including:
 - Facility fires or explosions.
 - Other facility incidents.
 - Vessel fires or explosions.
 - Vessel collisions.
 - Vessel allisions.

¹ The USCG will work to provide read-only access by Ecology to the National Vessel Movement Center Shipboard Arrival Notification System (NVMS SANS) database. Until such time as such access is granted, Ecology will work with the Coast Guard to develop a program that can extract non-restricted data from the NVMS SANS database.

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- Vessel groundings.
- Vessel structural failures.
- Other vessel casualties or deficiencies affecting the propulsion, steering, or seaworthiness of vessels inbound to, near, or within the waters of Washington State.

Information to be shared includes near misses of such incidents, and actions undertaken to mitigate the risk posed by these vessels and facilities.

- D. To share information on risk factors which are assessed in their respective screening processes, such as vessel detentions, enforcement actions, incident history, and targeted Flag, Class, Owner/Operator, and Charterer lists.
- E. To coordinate results of vessel screening and boarding priority decisions. Ecology will conduct boardings to avoid conflict with USCG boardings.
- F. To regularly exchange vessel incident and causal information.

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5. STANDARD OPERATING PROCEDURES

Operational Task Matrix (entries keyed to paragraph 4, POLICIES)

TASK	USCG RESPONSIBILITY		ECOLOGY RESPONSIBILITY	TASK DETAIL
<i>A. USCG sends Notice of Arrival (NOA) Summary to Ecology.</i>	Sector (Prevention)	<input type="checkbox"/> <input type="checkbox"/>	HQ	Mon-Fri prior to 0830, by fax or e-mail
B. USCG/Ecology exchange information on incidents, vessel casualties, mitigating actions.	Sector (Prevention) Puget Sound VTS (Ops Chief)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	Regional Office	(1) <u>Emergent</u> : within 1 hour of notification, by telephone (2) <u>Pre-existing</u> : same day, by copy of COTP Order, Dev Letter, Ecology Event Report, Ecology MIS printout
<i>C. USCG/Ecology exchange information on risk data (detentions, enforcements, incident history, etc.) which supports vessel screening.</i>	D13 (Prevention)	<input type="checkbox"/> <input type="checkbox"/>	HQ	Access to M-HS OPS, MISLE, PSIX databases and to flag/class/owner/operator/charterer targeted lists.
	Sector (Prevention))	<input type="checkbox"/> <input type="checkbox"/>	HQ	Daily "Boarding Alert" e-mail prior to 0800.
D. USCG/Ecology coordinate results of vessel screening/ boarding priority decisions.	Sector (Prevention)	<input type="checkbox"/> <input type="checkbox"/>	Regional Office	Prior to 0800 during normal work days, e-mail or fax summary of vessel bunkerings and USCG boarding intentions.
	Sector (Prevention)	<input type="checkbox"/> <input type="checkbox"/>	Regional Office	E-mail or fax summary of vessel boarding intentions (after receipt of USCG boarding intentions)
<i>E. USCG/Ecology exchange information on vessel incidents.</i>	Sector (Prevention)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	Investigations Coordinator	In accordance with investigation protocol to discuss cases and issues of mutual concern.

Italics: Preferred method for this task is to enable read-only access of the data provider's database.

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6. Details of Communication (entries keyed to paragraph 5, Task Matrix)

A. Preferred: Enable read-only access by Ecology of NVMC SANS database.

Alternatively: Ecology works with USCG to develop a system to provide a tabular daily summary of NOA data, to include the data fields specified on the “Notice of Arrival” form on the NVMC website. Crew list data is not needed or desired.

B. (1) Emergent incidents include vessel or facility fires or explosions, vessel collisions, allisions, groundings, structural failures, and other casualties or deficiencies affecting the propulsion, steering, or seaworthiness of vessels, or and near misses as defined in Section 4 (C), Policies.

(2) Pre-existing casualties or deficiencies include those affecting the propulsion, steering, or seaworthiness of inbound vessels.

C. Preferred: Enable read-only access by the USCG to Ecology’s Marine Information System database, and read-only access by Ecology to the USCG MISLE and PSIX databases and the flag/class/owner/operator/charterer targeted lists, to the extent authorized by the respective database managers.

Alternatively: Ecology provides the USCG with the daily “Boarding Alert” e-mail listing for inbound vessels, foreign detentions, vessels screening “Very High,” (priority one) vessels making first local visit. USCG maintains access by Ecology to the PSIX database and the flag/class/owner/operator/charterer targeted lists.

D. USCG provides daily vessel bunkering notifications² and USCG Safety and Environmental Protection boarding intentions.

E. USCG and Ecology exchange:

- Reports of closed pollution events, vessel and facility accident cases (as defined in Paragraph 4.C.), and near misses.
- Correspondence with companies outlining proposed corrective action drafted in response to pollution events, vessel and facility accident cases (as defined in Paragraph 4.C.), and near misses.

² Bunkering notifications also addressed in Oil Transfer Protocol.

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6. MEASURES OF EFFECTIVENESS

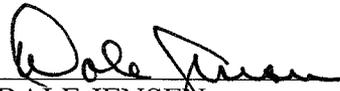
- Progress on information sharing between agencies will be compiled and discussed at Ecology/USCG quarterly coordination meetings using Section 5, Standard Operating Procedures - Operational Task Matrix as performance criteria.

Note: Many of the measures noted in the other operational protocols will equally reflect on the effectiveness of the information exchange between the agencies in their program execution. To avoid duplication, they are not repeated in this protocol.

7. APPROVAL



W. T. DEVEREAUX
 Captain, U. S. Coast Guard
 Thirteenth Coast Guard District
 Chief, Prevention Division



DALE JENSEN
 Program Manager
 Spill Prevention, Preparedness, and
 Response Program
 Washington Department of Ecology

DATE: 26 JUN 2007

DATE: 6-26-07