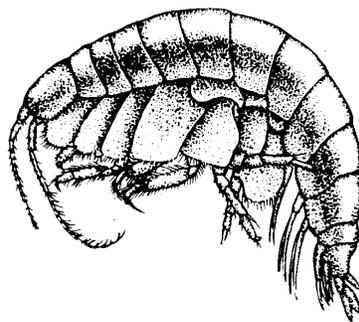


WASHINGTON STATE
DEPARTMENT OF
E C O L O G Y

Sediment Sampling and Analysis Plan Appendix

**Guidance on the Development of Sediment Sampling and
Analysis Plans Meeting the Requirements of the
Sediment Management Standards (Chapter 173-204 WAC)**

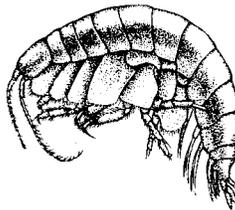


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Sediment Sampling and Analysis Plan Appendix

Guidance on the Development of Sediment Sampling and
Analysis Plans Meeting the Requirements of the
Sediment Management Standards (Chapter 173-204 WAC)



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Revised April 2003

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ACRONYMS AND ABBREVIATIONS

AET	apparent effects threshold
CERCLA	Comprehensive Environmental Response, Compensation, and Liability Act
CSL	cleanup screening level
DGPS	differential global positioning system
DMMP	Dredged Material Management Program
Ecology	Washington Department of Ecology
GPS	global positioning systems
HPAH	high molecular weight polycyclic aromatic hydrocarbon
LPAH	low molecular weight polycyclic aromatic hydrocarbon
MCUL	minimum cleanup level
ML	maximum level
MTCA	Washington Model Toxics Control Act
NPDES	National Pollutant Discharge Elimination System
PCB	polychlorinated biphenyl
ppb	parts per billion
ppm	parts per million
ppt	parts per thousand
PLP	potentially liable party
PSDDA	Puget Sound Dredged Disposal Analysis
PSEP	Puget Sound Estuary Program
PQL	Practical Quantitation Limit
QA/QC	quality assurance and quality control
SCUM1	Sediment Source Control Standards User Manual
SCUM2	Sediment Cleanup Standards User Manual
SIZ	sediment impact zone
SIZ _{max}	sediment impact zone maximum criterion
SL	screening level
SMS	Washington Sediment Management Standards
SQS	sediment quality standard
TOC	total organic carbon
WAC	Washington Administrative Code

1. INTRODUCTION

1.1 PURPOSE OF THIS DOCUMENT

This document provides technical guidance for developing sampling and analysis plans for sediment investigations to be conducted under the Washington Sediment Management Standards (SMS) (Washington Administrative Code [WAC] Chapter 173-204). The SMS provide the framework for the following two important regulatory programs administered by the Washington Department of Ecology (Ecology):

- **Sediment Source Control Program**—Under provisions of the Sediment Source Control Standards (WAC 173-204-400 through 420), methods are described for controlling the effects of point and nonpoint source discharges through the National Pollutant Discharge Elimination System (NPDES) permit program, state water quality permit programs, issuance of administrative orders, or other means determined appropriate by Ecology.
- **Sediment Cleanup Program**—Under provisions of the Sediment Cleanup Standards (WAC 173-204-500 through 590), administrative procedures and criteria are established to identify, screen, rank, prioritize, and clean up contaminated surface sediment sites.

Technical guidance on implementing the Sediment Source Control Standards and the Sediment Cleanup Standards is provided in the Sediment Source Control Standards User Manual (SCUM1, Ecology 1993) and the Sediment Cleanup Standards User Manual (SCUM2, Ecology 1991), respectively. This document serves as an appendix to both SCUM1 and SCUM2. It is assumed that the reader of this document is familiar with those two documents.

Both SCUM1 (Ecology 1993) and SCUM2 (Ecology 1991) provide general discussions of the objectives and rationale for sediment investigations to be conducted under the above two programs. Technical guidance on various aspects of sediment sampling and analysis procedures that will need to be taken into account in the design and implementation of sediment investigations is available in the Puget Sound Estuary Program (PSEP) protocols. The PSEP protocols are available from the web site at:

http://www.wa.gov/puget_sound/Publications/protocols/protocol.html

However, additional technical guidance is needed to assist those responsible (e.g., permitted dischargers, property owners, potentially liable parties (PLPs), and consultants) for the design and implementation of sediment investigations under the SMS. This document draws on other available sources of technical guidance and makes specific recommendations about applying that guidance under the SMS.

1.2 OBJECTIVES OF SEDIMENT INVESTIGATIONS CONDUCTED UNDER THE SEDIMENT SOURCE CONTROL PROGRAM

The Sediment Source Control Standards of the SMS set forth a process for controlling the release of substances from point and non-point sources (e.g., NPDES permitted discharges) that may contribute to sediment contamination. This process is designed to support the long-term management goal for sediment quality throughout the state, established by WAC 173-204-100. WAC 173-204-100(2) states that the purpose of the SMS is:

- “to reduce and ultimately eliminate adverse effects on biological resources and significant health threats to humans from surface sediment contamination by:
- (a) Establishing standards for the quality of surface sediments;
 - (b) Applying these standards as the basis for management and reduction of pollutant discharges; and
 - (c) Providing a management and decision process for the cleanup of contaminated sediments.”

WAC 173-204-100(3) defines a “narrative standard” or goal for the sediment quality regulation and management as “no adverse effects, including no acute or chronic adverse effects on biological resources and no significant health risk to humans”.

The long-term management goal is specifically addressed in WAC 173-204-320 through WAC 173-204-340 by the establishment of numerical chemical concentration criteria; biological effects criteria; human health criteria; other toxic, radioactive, biological, or deleterious substances criteria; and nonanthropogenically affected sediment quality criteria. The marine sediment quality standards (SQS) of WAC 173-204-320 include numerical chemical concentration criteria (Table 1) and biological effects criteria (Table 2) for SQS that define the degree of sediment quality that is expected to cause no adverse effects to biological resources in Puget Sound marine sediments. However, there are no adopted SQS numerical chemical concentration criteria or biological effects criteria for Puget Sound marine sediments for protection of human health or for other toxic, radioactive, biological, or deleterious substances. Ecology will therefore address these issues on a case-by-case basis using best professional judgment under authority of the federal Clean Water Act and RCW 90.48, the Water Pollution Control Act. Although the narrative standard also applies to freshwater, low-salinity, and non-Puget Sound marine sediments, the establishment of numerical chemical concentration criteria and biological effects criteria for these sediments is currently reserved in the rule. Ecology will therefore also address these issues on a case-by-case basis using best professional judgment.

Adverse effects of contaminated sediments on biological resources and threats to human health generally will only occur when there is a pathway to ecological or human receptors. In most cases, such a pathway will only exist when surface sediments (defined by the SMS as those within the biologically active zone) are contaminated. Contaminated sediments existing at depths below the biologically active zone are unlikely to result in such effects unless the overlying sediments are removed by natural (e.g., erosion, scouring) or anthropogenic (e.g., dredging, propeller scour) means, or there are other mechanisms for the release of sediment contaminants such that exposure may occur. Hence, the focus of sediment sampling in the sediment source control process is generally on the sediments within the biologically active zone. Additionally, the surface sediment will be most likely to exhibit impacts from recent discharges of contaminants.

The Sediment Source Control Standards of the SMS include provisions for allowing the sediment quality within the immediate vicinity of a permitted discharge to exceed the SQS. The authorized area within which the SQS may be exceeded is referred to as a sediment impact zone (SIZ) and is analogous to a mixing zone within the water column, which represents a volume of water where water quality standards may be exceeded. WAC 173-204-100(7) defines a goal of “minor adverse effects” as the maximum level of sediment contamination that will be allowed within an authorized SIZ.

WAC 173-204-420 establishes “minor adverse effects” as the maximum chemical concentration; human health risk based concentration; biological effects level; other toxic, radioactive, biological, or deleterious substance level; and nonanthropogenically affected sediment quality level allowed within an authorized SIZ. The ceiling on allowable sediment contamination is referred to as the SIZ maximum (SIZmax) allowable contamination level. WAC 173-204-420 includes numerical chemical concentration criteria (Table 1) and biological effects criteria (Table 2) for SIZmax that define minor adverse effects for Puget Sound marine sediments. However, there are no adopted SIZmax numerical chemical concentration criteria or biological effects criteria for Puget Sound marine sediments for protection of human health or for other toxic, radioactive, biological, or deleterious substances. Ecology will therefore address these issues on a case-by-case basis using best professional judgment. The establishment of specific SIZmax criteria for freshwater, low-salinity, and non-Puget Sound marine sediments is currently reserved in the rule and will also be addressed by Ecology on a case-by-case basis using best professional judgment.

TABLE 1. CHEMICAL CRITERIA FOR PUGET SOUND MARINE SEDIMENTS

Chemical Parameter	Sediment Management Standards		Dredged Material Management Program		
	SQS	SIZ _{max} , CSL, MCUL	1998 SL	1998 BT	1998 ML
Metals	(mg/kg dry weight, ppm)		(mg/kg dry weight, ppm)		
Antimony	--	--	150	150	200
Arsenic	57	93	57	507	700
Cadmium	5.1	6.7	5.1		14
Chromium	260	270	--		--
Copper	390	390	390		1300
Lead	450	530	450		1200
Mercury	0.41	0.59	0.41	1.5	2.3
Nickel	--	--	140	370	370
Silver	6.1	6.1	6.1	6.1	8.4
Zinc	410	960	410		3800
Tributyl tin (ug TBT/liter – interstitial water)	--	--	0.15	0.15	
Nonionizable Organic Compounds	(mg/kg organic carbon ^a , ppm OC)		(µg/kg dry weight, ppb)		
Aromatic Hydrocarbons					
Total LPAH ^b	370	780	5,200		29,000
Naphthalene	99	170	2,100		2,400
Acenaphthylene	66	66	560		1,300
Acenaphthene	16	57	500		2,000
Fluorene	23	79	540		3600
Phenanthrene	100	480	1,500		21,000
Anthracene	220	1,200	960		13,000
2-Methylnaphthalene	38	64	670		1900
Total HPAH ^c	960	5,300	12,000		69,000
Fluoranthene	160	1,200	1,700	4,600	30,000
Pyrene	1,000	1,400	2,600		16,000
Benz[a]anthracene	110	270	1,300		5,100
Chrysene	110	460	1,400		21,000
Total benzofluoranthenes ^d	230	450	3,200		9,900
Benzo[a]pyrene	99	210	1600	3,600	3,600
Indeno[1,2,3-c,d]pyrene	34	88	600		4,400
Dibenzo[a,h]anthracene	12	33	230		1,900
Benzo[g,h,i]perylene	31	78	670		3,200
Chlorinated Benzenes					
1,2-Dichlorobenzene	2.3	2.3	35	37	110
1,3-Dichlorobenzene	--	--	170		--
1,4-Dichlorobenzene	3.1	9	110	120	120
1,2,4-Trichlorobenzene	0.81	1.8	31		64
Hexachlorobenzene	0.38	2.3	22	168	230

Table 1. (continued)

Chemical Parameter	Sediment Management Standards		Dredged Material Management Program		
	SQS	SIZ _{max} , CSL, MCUL	1998 SL	1998 BT	1998 ML
Nonionizable Organics (cont.)	(mg/kg organic carbon ^a , ppm OC)		(µg/kg dry weight, ppb)		
Phthalate Esters					
Dimethyl phthalate	53	53	1,400	1,400	--
Diethyl phthalate	61	110	1,200		--
Di-n-butyl phthalate	220	1,700	5,100	10,220	--
Butyl benzyl phthalate	4.9	64	970		--
Bis[2-ethylhexyl]phthalate	47	78	8,300	13,870	--
Di-n-octyl phthalate	58	4,500	6,200		--
Miscellaneous					
Dibenzofuran	15	58	540		1,700
Hexachlorobutadiene	3.9	6.2	29	212	270
Hexachloroethane	--	--	1,400*	10,220	14,000*
N-nitrosodiphenylamine	11	11	28	130	130
Total PCBs	12	65	130	38**	3,100
Chlorinated Pesticides					
Total DDT	--	--	6.9	50	69
Aldrin	--	--	10	37	--
Chlordane	--	--	10	37	--
Dieldrin	--	--	10	37	--
Heptachlor	--	--	10	37	--
Lindane	--	--	10		--
Volatile Organic Compounds					
Ethylbenzene	--	--	10	27	50
Tetrachloroethene	--	--	57	102	210
Total xylene	--	--	40		160
Trichloroethene	--	--	160*	1,168*	1,600*
Ionizable Organic Compounds	(µg/kg dry weight, ppb)		(µg/kg dry weight, ppb)		
Phenol	420	1,200	420	876	1,200
2-Methylphenol	63	63	63		77
4-Methylphenol	670	670	670		3,600
2,4-Dimethylphenol	29	29	29		210
Pentachlorophenol	360	690	400	504	690
Benzyl alcohol	57	73	57		870
Benzoic acid	650	650	650		760

Notes on next page.

Table 1. (continued)

Note:	--	- no numerical criterion of this type for this chemical
	AET	- apparent effects threshold
	BT	- bioaccumulation trigger
	CSL	- cleanup screening level
	DMMP	- Dredged Material Management Program
	HPAH	- high molecular weight polycyclic aromatic hydrocarbon
	LPAH	- low molecular weight polycyclic aromatic hydrocarbon
	MCUL	- minimum cleanup level
	ML	- maximum level
	PCB	- polychlorinated biphenyl
	SIZ _{max}	- Sediment Impact Zone maximum allowable contamination level (WAC 173-204-420)
	SL	- screening level
	SMS	- Sediment Management Standards (WAC 173-204)
	SQS	- Sediment Quality Standards (WAC 173-204-320)

Where laboratory analysis indicates a chemical is not detected in a sediment sample, the detection limit shall be reported with U (Undetected) qualifier code and shall be at or below the Marine Sediment Quality Standards (SQS) chemical criteria (Table 1). Where chemical criteria in Table 1 represent the sums of individual compounds (e.g., total LPAHs and total HPAHs), isomers (e.g., total benzofluoranthenes), or groups of congeners (e.g., total PCBs), the following methods shall be applied: (i) Where chemical analyses identify an undetected value for every individual compound/isomer/congener, then the single highest detection limit shall represent the sum of the respective compounds/isomers/congeners; and (ii) Where chemical analyses detect one or more individual compound/isomers/congeners, only the detected concentrations will be added to represent the group sum.

Both the SMS and DMMP numerical criteria are based on Puget Sound apparent effects threshold (AET) values (Barrick et al. 1988). Conceptually, the SMS and DMMP numerical criteria provide two regulatory levels for the evaluation of sediment contaminant concentrations. The SQS under the SMS and the SL under the DMMP represent concentrations below which adverse biological effects are considered to be unlikely. The SIZ_{max}, CSL, and MCUL under the SMS and the ML under the DMMP represent concentrations above which adverse biological effects are considered to be significant. The derivation of these numerical criteria from the AET values is somewhat different because of the different regulatory uses of these criteria in the two applications. In addition, the fact that the concentrations of nonionizable organic compounds are expressed on a TOC-normalized basis under the SMS but on a dry-weight basis under the DMMP means that direct comparison of these two sets of numerical criteria is not possible.

^a The listed values represent concentrations in parts per million “normalized” on a total organic carbon basis. To normalize to total organic carbon, the dry-weight concentration for each parameter is divided by the decimal fraction representing the percent total organic carbon content of the sediment.

^b The total LPAH criterion under the SMS represents the sum of the concentrations of the following LPAH compounds: naphthalene, acenaphthylene, acenaphthene, fluorene, phenanthrene, and anthracene. 2-Methylnaphthalene is not included in the LPAH definition under the SMS, but is included in the LPAH definition under the DMMP. The total LPAH criterion is not the sum of the corresponding criteria listed for the individual LPAH compounds.

^c The total HPAH criterion under the SMS represents the sum of the concentrations of the following HPAH compounds: fluoranthene, pyrene, benz[a]anthracene, chrysene, total benzofluoranthenes, benzo[a]pyrene, indeno[1,2,3-c,d]pyrene, dibenzo[a,h]anthracene, and benzo[g,h,i]perylene. The total HPAH criterion is not the sum of the corresponding criteria listed for the individual HPAH compounds.

^d The total benzofluoranthenes criterion represents the sum of the concentrations of the b, j, and k isomers of benzofluoranthene.

*Values derived through equilibrium partitioning.

** Value normalized to total organic carbon, mg/kg (TOC normalized).

TABLE 2. BIOLOGICAL EFFECTS CRITERIA FOR PUGET SOUND MARINE SEDIMENTS

Biological Test	Sediment Quality Standards ^a	Sediment Impact Zone Maximum Levels, Cleanup Screening Levels, or Minimum Cleanup Levels ^b
Amphipod	The test sediment has a significantly higher (t-test, $P \leq 0.05$) mean mortality than the reference sediment, and the test sediment mean mortality is more than 25 percent greater, on an absolute basis, than the reference sediment mean mortality.	The test sediment has a significantly higher (t-test, $P \leq 0.05$) mean mortality than the reference sediment, and the test sediment mean mortality is more than 30 percent greater, on an absolute basis, than the reference sediment mean mortality.
Larval	The test sediment has a mean survivorship of normal larvae that is significantly less (t-test, $P \leq 0.1$) than the mean normal survivorship in the reference sediment, and the mean normal survivorship in the test sediment is less than 85 percent of the mean normal survivorship in reference sediment.	The test sediment has a mean survivorship of normal larvae that is significantly less (t-test, $P \leq 0.1$) than the mean normal survivorship in the reference sediment, and the mean normal survivorship in the test sediment is less than 70 percent of the mean normal survivorship in the reference sediment.
Benthic infauna	The test sediment has less than 50 percent of the reference sediment mean abundance of any one of the following major taxa: Class Crustacea, Phylum Mollusca, or Class Polychaeta, and the test sediment abundance is statistically different (t-test, $P \leq 0.05$) from the reference sediment abundance.	The test sediment has less than 50 percent of the reference sediment mean abundance of any two of the following major taxa: Class Crustacea, Phylum Mollusca, or Class Polychaeta, and the test sediment abundance is statistically different (t-test, $P \leq 0.05$) from the reference sediment abundances.
Juvenile polychaete	The mean individual growth rate of polychaetes in the test sediment is less than 70 percent of the mean individual growth rate of the polychaetes in the reference sediment, and the test sediment mean individual growth rate is statistically different (t-test, $P \leq 0.05$) from the reference sediment mean individual growth rate.	The mean individual growth rate of polychaetes in the test sediment is less than 50 percent of the mean individual growth rate of the polychaetes in the reference sediment, and the test sediment mean individual growth rate is statistically different (t-test, $P \leq 0.05$) from the reference sediment mean individual growth rate.
Microtox® (porewater)	The mean light output of the highest concentration of the test sediment is less than 80 percent of the mean light output of the reference sediment, and the two means are statistically different (t-test, $P \leq 0.05$).	Not applicable

Source: Ecology (1993).

^a The sediment quality standards are exceeded if one test fails the listed criteria [WAC 173-204-320(3)].

^b The sediment impact zone maximum level, cleanup screening level, or minimum cleanup level is exceeded if one test fails the listed sediment impact zone maximum level, cleanup screening level, or minimum cleanup level criteria [WAC 173-204-520(3)] or if two tests fail the sediment quality standards criteria [WAC 173-204-320(3)].

SCUM1 (Ecology 1993) describes four general types of sediment monitoring (all of which are the responsibility of the discharger) that may be conducted in support of the sediment source control process:

- **Baseline monitoring**—Used to confirm the screening evaluation for determining potential of a discharge to cause sediment impacts (SCUM1, Chapter 3), conducted prior to authorization of an SIZ to collect information that will be used in determining whether such an authorization is likely to be necessary, and to establish the baseline conditions with which future conditions can be compared
- **SIZ application monitoring**—Conducted to collect information to support application of the SIZ models
- **SIZ maintenance monitoring**—Conducted during the term of a permit that includes an authorized SIZ, with the intent to determine whether the SIZ should be renewed, reduced, or eliminated; whether areas of special importance have been adversely impacted by the discharge; and the conditions for SIZ reauthorization
- **SIZ closure monitoring**—Conducted following closure of an SIZ to demonstrate successful restoration of sediment quality.

The monitoring objectives vary with the type of monitoring being conducted, and the design of the monitoring program varies with both discharge- and site-specific characteristics.

Most sediment monitoring currently being conducted in support of the sediment source control process represents baseline monitoring, and therefore that is the focus of the guidance in this document. SIZ application monitoring, SIZ maintenance monitoring, and SIZ closure monitoring represent specific types of monitoring not addressed in detail in this document. For further discussion of the latter three types of monitoring, the reader is referred to SCUM1 (Ecology 1993).

The primary objective of baseline monitoring is to confirm Ecology's determination that a discharge may potentially be contaminating sediments. The data collected will be used in determining whether the SQS are exceeded as a result of the discharge, in which case a SIZ authorization is likely to be necessary. Such data may be used for:

- Application of simple screening tools (e.g., information on the nature of the wastewater discharged, based either on knowledge of the type of facility or on actual chemical analyses of the wastewater)
- Definition of baseline environmental conditions in the vicinity of the discharge (e.g., chemical or biological characteristics of the sediments).

Baseline monitoring data can also be used to identify other potential contaminant sources in the area or to relieve the discharger from liability for sediment contamination contributed by other permitted or un-permitted (and possibly historical) discharges.

1.3 OBJECTIVES OF SEDIMENT INVESTIGATIONS CONDUCTED UNDER THE SEDIMENT CLEANUP PROGRAM

The Sediment Cleanup Standards of the SMS set forth a decision process for identifying contaminated sediment areas and determining appropriate cleanup responses. The sediment cleanup decision process includes procedures for screening and ranking contaminated areas of sufficient concern to warrant active cleanup, as well as procedures for selecting an appropriate cleanup alternative on a site-specific basis.

WAC 173-204-100(7) also defines a goal of “minor adverse effects” as the minimum degree of cleanup to be achieved for contaminated sediment sites. Similar to the SIZ_{max} criteria above, WAC 173-204-520 establishes “minor adverse effects” as the cleanup screening level (CSL) chemical concentration; human health risk based concentration; biological effects level; other toxic, radioactive, biological, or deleterious substance level; and nonanthropogenically affected sediment quality level to be used in the identification of contaminated sediment sites. WAC 173-204-520 contains numerical chemical concentration criteria (Table 1) and biological effects criteria (Table 2) for CSL that define minor adverse effects for Puget Sound marine sediments. These CSL criteria are equivalent to the SIZ_{max} criteria described earlier (Section 1.2). However, there are no adopted CSL numerical chemical concentration criteria or biological effects criteria for Puget Sound marine sediments for protection of human health or for other toxic, radioactive, biological, or deleterious substances. Ecology will therefore address these issues on a case-by-case basis using best professional judgment. The establishment of specific CSL criteria for freshwater, low-salinity, and non-Puget Sound marine sediments is currently reserved in the rule and will also be addressed by Ecology on a case-by-case basis using best professional judgment.

Because cleanup of contaminated sediments may require their removal, it is necessary for sediment sampling and analyses conducted in support of sediment cleanup studies to assess the total spatial extent (including both lateral and vertical) of the sediment contamination. In this respect, such sediment investigations differ from the sediment investigations conducted in support of the sediment source control process, where the focus is generally only on sediments within the biologically active zone.

The Sediment Cleanup Standards of the SMS include provisions for allowing the sediment quality within an identified cleanup site to exceed the SQS as a result of a historical discharge. The authorized area within which the sediment standards may be exceeded is referred to as a sediment recovery zone (SRZ), and the sediments within this area are expected to achieve an acceptable sediment quality (i.e., less than the SQS) through natural recovery processes over an extended period of time.

In addition to initial investigations and site characterization, which are described in this document, SCUM2 (Ecology 1991) describes the following three general types of monitoring (all of which are the responsibility of the project proponent) that may be conducted in support of the sediment cleanup process:

- **Source control monitoring**—Conducted prior to and following sediment cleanup to determine how ongoing sources at or near a site may affect the success of active cleanup and/or natural recovery
- **Compliance monitoring**—Conducted during the term of an authorized SRZ, with the intent to demonstrate that the site complies with the maximum allowable

contaminant concentrations and /or biologic effects have not been exceeded within the SRZ and that natural recovery is proceeding at the expected pace.

- **Closure monitoring**—Conducted following completion of active cleanup or closure of a SRZ to demonstrate successful cleanup of sediment contamination. Closure monitoring must be performed before a site can be considered for delisting.

The monitoring objectives vary with the type of monitoring being conducted, and the design of the monitoring program varies with site-specific characteristics. Source control monitoring, compliance monitoring, and closure monitoring represent specific types of monitoring not addressed in detail in this document. For further discussion of the three types of monitoring, the reader is referred to SCUM2 (Ecology 1991).

The primary objectives of sediment sampling and analyses conducted as part of a preliminary investigation of a contaminated sediment site are to support the following SMS activities:

- Identifying sediment station clusters of potential concern
- Ranking identified cleanup sites

Additionally, other non-SMS objectives include:

- Aquatic lands lease transfers and renewals
- Property transfers (due diligence).

Such sampling and analyses must be sufficient to enable a determination of whether there are exceedances of the CSL numerical chemical criteria (Table 1) or biological effects criteria (Table 2) at three or more stations within a specific area of concern, but the spatial extent of such exceedances need not be defined as part of a preliminary investigation. Unless there are plans to dredge or otherwise disturb the sediments, sampling and analyses conducted as part of a preliminary investigation need only focus on surface sediments. After the need for cleanup has been identified, a more focused sediment sampling and analysis program would then be required to define the spatial extent of contamination (including its vertical extent) and to evaluate cleanup alternatives.

At smaller sites of known or suspected sediment contamination, the use of a relatively small number of stations or samples in a preliminary investigation may allow assessment of the spatial extent of contamination, gradients toward or away from other sources, or other important details. Hence, a single study could suffice, thereby precluding the need for a second focused investigation.

1.4 COMPARISON OF DATA REQUIREMENTS OF THE SEDIMENT MANAGEMENT STANDARDS (SMS) AND THE DREDGED MATERIAL MANAGEMENT PROGRAM (DMMP)

In addition to the SMS, the other major framework for sediment management activities in Puget Sound is the Dredged Material Management Program (DMMP). The SMS and DMMP are very similar in the suites of biological and chemical evaluations that are required, and in the evaluation criteria that are applied. While the two programs have the same goal, protection of sediment quality, the two programs have different applications and, as a result, there are some differences in data requirements. A brief comparison of the data requirements of the two programs is added here to assist those individuals who may be involved with projects subject to the requirements of both programs.

This document is intended to address SMS requirements for sampling and analysis plans, but the technical information contained here may have broader applicability to other programs. However, for specific requirements of the DMMP for sampling and analysis, the reader should contact the Dredged Material Management Office (DMMO) of the Seattle District Army Corps of Engineers at (206) 764-3768. A copy of the DMMP prototype sampling and analysis plan is available from the DMMO and their web site at:

http://www.nws.usace.army.mil/PublicMenu/Menu.cfm?sitename=dmmo&pagename=Useful_Stuff

Sediment sampling and analysis conducted under the SMS is to determine whether and to what extent surface sediments are contaminated, whether point or nonpoint source discharges have contributed or may still be contributing to such contamination, and whether contaminated sediments should be remediated. Sediment sampling and analysis conducted under the DMMP is to determine whether the sediment matrix (volume) proposed for dredging, when dredged and discharged at unconfined, open-water disposal sites within Puget Sound, could cause or contribute to unacceptable adverse effects on the aquatic environment. Because of these different purposes, sampling gear and compositing techniques and allowances will differ. However, both the DMMP and SMS data requirements attempt to evaluate “exposure potential” using a “sediment unit” concept. In dredging situations (DMMP), the exposure potential of concern is with the entire mass of sediments to be released at the DMMP disposal site(s) and the sediment unit of concern is the minimum dredge unit that can be effectively managed. In SMS situations, the exposure potential and sediment unit of concern is generally the surface, specifically the “biologically active zone” (often the top 10 cm). Because of these differences in purpose, sampling and analysis procedures under these two programs have a different focus.

DMMP sampling is designed to characterize the bulk properties of the sediments to be dredged, transported, and discharged. Sediment core samples are typically collected to characterize the sediment matrix to the depth of proposed dredging. Because dredging removes the material in bulk, the cores are typically segmented on a 4-foot basis and composited across that segment (rather than further subdivided). The number of samples collected and composited is often defined using a three dimensional “dredged material management unit.” Sediment sampling under the sediment source control process of the SMS is generally designed to characterize conditions near the sediment surface. In cases where the goal is to characterize the exposure potential, such sampling may target the biologically active zone of the sediments, which typically represents only the uppermost 0–10 cm. In other cases, where the goal is to sample only the most recently deposited sediment, such sampling may target only the uppermost 0–2 cm of sediments. Sediment sampling designed to

identify contaminated sediment sites under the sediment cleanup process of the SMS is initially focused on the near-surface, biologically active zone of the sediments. After a contaminated site is identified, however, collection of sediment cores will also generally be required to assess the vertical extent of contamination and to determine the sediment quality of any new surface to be exposed after cleanup.

The process of compositing samples from a range of depth intervals below the sediment surface may dilute higher concentrations of contaminants or vice-versa. Compositing over depth provides an assessment of the condition of the overall sediment matrix, but does not provide an assessment of the sediments within the biologically active zone. Compositing of samples from a range of depth intervals is therefore appropriate for DMMP purposes, but should ordinarily not be performed for SMS investigations. In addition, many more samples may be needed for SMS purposes to establish patterns or gradients of contamination, to identify contaminant sources, or to delimit the area of contamination.

There are also some differences in analytical requirements between the DMMP and the SMS. For example, under DMMP, chemical analyses are always required, but they may in some cases be followed by biological testing if chemical screening levels (SLs) are exceeded. Alternatively, a dredging applicant may, at their discretion, decide to conduct chemical and biological testing concurrently if there is reason to believe that SLs will be exceeded or if there are time limitations on the testing and analyses. Under the SMS, biological testing may in some cases be conducted first, and chemical characterization may only be required if significant biological effects are found.

Finally, there are differences in data interpretation procedures between the DMMP and the SMS. The DMMP has established SLs and maximum levels (MLs) for 61 chemicals or classes of chemicals in Puget Sound, ocean and Columbia River sediments (Table 1), whereas the SMS has established numerical criteria (SQS, SIZ_{max} , CSL, and minimum cleanup levels [MCUL]) for 47 chemicals or classes of chemicals in Puget Sound marine sediments (Table 1). Both the SMS and DMMP chemical numerical criteria are based primarily on Puget Sound apparent effects threshold (AET) values (Barrick et al. 1988). Conceptually, the SMS and DMMP chemical numerical criteria provide two regulatory levels for the evaluation of sediment contaminant concentrations. The SQS under the SMS and the SL under the DMMP represent concentrations below which adverse biological effects are considered to be unlikely. The SIZ_{max} , CSL, and MCUL under the SMS and the ML under the DMMP represent concentrations above which adverse biological effects are likely to be significant. The derivation of these chemical numerical criteria from the AET values is somewhat different because of the different regulatory uses of these criteria in the two programs. Because the concentrations of nonionizable organic compounds are expressed on a total organic carbon (TOC)-normalized basis under the SMS, but on a dry-weight basis under the DMMP, direct comparison of these two sets of chemical numerical criteria (Table 1) is not possible without conversion of data to the desired units. There are also some relatively minor differences between the DMMP and the SMS in the use and interpretation of biological test results. Because of these differences, it should not be assumed that sediments considered acceptable for DMMP disposal would pass the SMS standards, or vice versa. If sediments are initially sampled and analyzed under the SMS and it is later decided that it will be necessary to dredge those sediments, it will generally be necessary to resample the sediments for evaluation under the DMMP.

There is, however, the potential for assessing sediments at a given site for both SMS and DMMP purposes. If dredging and disposal at a DMMP disposal site were considered as a possible remedial option, it may be possible to coordinate the sediment sampling and analyses. In such cases, the project proponent is encouraged to contact both the DMMP

lead (the Corps of Engineers Dredged Material Management Office) and one of Ecology's sediment cleanup or source control specialists to coordinate between the two programs (see Subappendix A for the contact list).

1.5 DEVELOPMENT OF SEDIMENT SAMPLING AND ANALYSIS PLANS UNDER THE SMS

Although the specific details of individual sampling and analysis plans may be very different, all such plans submitted for review by Ecology should contain certain basic elements. Figure 1 provides a recommended outline for sediment sampling and analysis plans that can also serve as a checklist for those preparing or reviewing such plans. The outline contains cross-references to pertinent sections of this document for guidance.

To support the development of study-specific objectives for a given sediment investigation, it is necessary for a project proponent to review available background information on the site. Therefore, each sediment sampling and analysis plan, regardless of whether it is being prepared under the sediment source control process or the sediment cleanup process, should include as part of the introduction a summary of site background information. Alternatively, if the sampling and analysis plan is attached to a work plan (e.g., as part of a remedial investigation or cleanup study), the necessary background information may be provided in the work plan and does not need to be repeated in the sampling and analysis plan. The following background information should be provided in one of the two documents:

- Site ownership, management and use history
- Regulatory framework (e.g., NPDES; Model Toxics Control Act; SMS; Comprehensive Environmental Response, Compensation, and Liability Act, etc.)
- Summary of results of previous sediment quality investigations, if any, of the site
- Location and characteristics of any current and/or historical wastewater or stormwater discharge(s) at the site. These should be provided in latitude/longitude coordinates in North American Datum 1983 South zone, as an ArcView GIS v3.x or 8.x shape file and in hardcopy figures.
- Location and characteristics of any current and/or historical wastewater or stormwater discharge(s) in the local area. These should be provided in latitude/longitude coordinates in North American Datum 1983 South zone, as an ArcView GIS v3.x or 8.x shape file and in hardcopy figures.
- Locations of sub-tidal lease authorizations from the Washington Department of Natural Resources for historical or ongoing wastewater/stormwater outfall locations. These should be provided in latitude/longitude coordinates in North American Datum 1983 South zone, as an ArcView GIS v3.x or 8.x shape file and in hardcopy figures.
- Information on onsite waste disposal practices or chemical spills in the local area, if any
- Site location, including a location map showing the surrounding area and a site map.

The second section of a sampling and analysis plan should describe the objectives of the sediment investigation in the context of the appropriate regulatory framework (e.g., sediment source control process [see Section 1.2], sediment cleanup process [see Section 1.3]). Guidance on the selection of appropriate chemical analytes and biological tests is provided in Section 2 of this document. Guidance on the selection of sampling station locations is provided in Section 4 of this document.

Subsequent sections of this document provide guidance on appropriate field sampling methods (Section 5); sample handling procedures (Section 6); laboratory analytical methods (Sections 2.1.2 and 2.2.2); quality assurance and quality control requirements (Section 7); data analysis, record keeping, and reporting requirements (Section 8); health and safety plan (Section 9); schedule (Section 3.4); and project personnel and responsibilities (Section 10).

Strict adherence to the outline shown in Figure 1 is not required, but use of the outline is recommended by Ecology to ensure an efficient and timely review of sediment sampling and analysis plans.

Sediment Sampling and Analysis Plan Outline and Checklist

(With cross references to sections of this Sampling and Analysis Plan Appendix)

1. Introduction and Background Information [Section 1.5]

- Site history
- Regulatory framework (e.g., NPDES, MTCA, SMS, CERCLA)
- Summary of previous sediment quality investigations, if any, of the site
- Location and characteristics of any current and/or historical wastewater or storm water discharge(s) at the site
- Location and characteristics of any current and/or historical wastewater or storm water discharge(s) in the local area
- Locations of sub-tidal lease authorizations from the Washington Department of Natural Resources for historical or ongoing wastewater/stormwater outfall locations
- Information on on-site waste disposal practices or chemical spills in the local area, if any
- Site location map showing the surrounding area
- Site map showing site features

2. Objectives and Design of the Sediment Investigation

- Objectives of the sediment investigation [Sections 1.2 and 1.3]
- Overall design of the sediment investigation, including related investigations, if any
- Chemical analytes (including description of their relevance to the objectives and the regulatory framework) [Section 2.1.1]
- Biological tests (including description of their relevance to the objectives and the regulatory framework) [Section 2.2.1]
- Sampling Station Locations [Section 4]
 - Rationale for station locations
 - Site map(s) showing sampling stations and other pertinent features (e.g., bathymetry and current regime; outfall(s)/diffuser(s); authorized mixing zone(s), if any; sites of waste disposal, spills, or other activities that may have affected the sediments, such as sandblasting, boat repair, etc.; historical dredging activities)
 - Proposed reference stations
 - Table showing the water depth at each proposed station
 - Proposed depth(s) below the sediment surface where sediments will be collected

Figure 1. Sediment Sampling and Analysis Plan Outline and Checklist

3. Field Sampling Methods [Section 5]

- Station positioning methods [Section 5.1]
- Sampling equipment [Section 5.2]
- Decontamination procedures [Section 5.3]
- Sample compositing strategy and methods [Section 5.4]
- Sample containers and labels [Section 5.5]
- Field documentation procedures [Section 5.6]
- Procedures for disposal of contaminated sediments [Section 5.7]

4. Sample Handling Procedures [Section 6]

- Sample storage requirements (e.g., conditions, maximum holding times) for each type of sample [Section 6.1]
- Chain-of-custody procedures [Section 6.2]
- Delivery of samples to analytical laboratories [Section 6.3]

5. Laboratory Analytical Methods

- Chemical analyses and target detection limits [Section 2.1.2]
- Biological analyses [Section 2.2.2]
- Corrective actions [Section 7]

6. Quality Assurance and Quality Control Requirements [Section 7]

- QA/QC for chemical analyses [Section 7.1]
- QA/QC for biological analysis [Section 7.2]
- Data quality assurance review procedures [Section 7.3]

7. Data Analysis, Record Keeping, and Reporting Requirements [Section 8]

- Analysis of sediment chemistry data [Section 8.1.1]
- Analysis of biological test data [Section 8.1.2]
- Data interpretation [Section 8.1.3]
- Record keeping procedures [Section 8.2]
- Reporting procedures [Section 8.3]

Figure 1. Sediment Sampling and Analysis Plan Outline and Checklist (continued)

8. Health and Safety Plan (required for cleanup investigations) [Section 9]

- Description of tasks
- Key personnel and responsibilities
- Chemical and physical hazards
- Safety and health risk analysis for each task
- Air monitoring plan
- Personal protective equipment
- Work zones
- Decontamination procedures
- Disposal procedures for contaminated media and equipment
- Safe work procedures
- Standard operating procedures
- Contingency plan
- Personnel training requirements
- Medical surveillance program
- Record keeping procedures

9. Schedule [Section 3.4]

- Table or figure showing key project milestones

10. Project Personnel and Responsibilities [Section 10]

- Description of sediment sampling program personnel
- Table identifying the project team members and their responsibilities

11. References

- List of references

Figure 1. Sediment Sampling and Analysis Plan Outline and Checklist (continued)

2. SELECTION OF STUDY-SPECIFIC PARAMETERS AND LABORATORY ANALYTICAL METHODS

This section provides guidance on the selection of appropriate study-specific parameters and laboratory analytical methods. Input from Ecology should be sought early in the process of designing the sediment investigation to ensure that appropriate parameters are selected and other similar issues are addressed. See the Ecology contact list in Subappendix A for the appropriate contact person.

2.1. CHEMICAL ANALYSES OF SEDIMENTS

2.1.1. Selection of Chemical Analytes

Sediment investigations in virtually all cases will involve measurement of chemical concentrations in the sediment. The list of analytes should include those chemicals for which there are numerical criteria under the SMS (SQS and SIZ_{max} /CSL/MCUL in Table 1). All sediment investigations should also include measurement of conventional sediment variables (Table 3) that are useful in interpreting other sediment chemical or biological data.

There also may be potentially toxic contaminants known or suspected to be associated with a given site for which there are presently no numerical criteria (i.e., “other toxic, radioactive, biological, or deleterious substances,” see WAC 173-204-320(5)). The association of these contaminants with a site may be either because of their presence in wastewater discharged from the site or from other nearby locations or because of other historical activities at the site (e.g., spills, mining activities, waste disposal). Examples of such contaminants are listed in Table 4. When there is reason to believe that any such potentially toxic contaminants may be present in the sediments at a site, they should also be measured.

2.1.2. Chemical Laboratory Analytical Methods

Guidelines for the analyses of conventional sediment variables are provided in PSEP (1986). However, the analytical method for TOC in PSEP (1986a) is now out of date. Method 9060 (U.S. EPA 1986) should be used instead. Metals should be analyzed according to the guidelines provided in PSEP (1997a), and organic compounds should be analyzed according to the guidelines provided in PSEP (1997b). Recommended sample preparation methods, cleanup methods, analytical methods, and practical quantitation limits for sediments are summarized in Table 5. Selected ion monitoring may improve the sensitivity of Method 8270C (U.S. EPA 1996) and is recommended in cases when practical quantitation limits must be lowered to human health criteria levels or when TOC levels elevate practical quantitation limits above ecological criteria levels as described below. Alternative methods of analysis that satisfy quality assurance standards described in Section 7 of this

document, may be approved by Ecology on a case by case basis. Accredited, alternative methods will be given highest consideration for approval.

For the analysis of organic compounds, special attention must be paid to achieving sufficiently low practical quantitation limits, especially when the sediment analyzed has low TOC. Achievement of the recommended practical quantitation limits in Table 5 will generally allow comparison with the numerical criteria in Table 1 for sediments with a normal range of TOC values. However, at low TOC values, the TOC-normalized detection limits for certain chemicals may be above the numerical criteria expressed on a TOC-normalized basis (i.e., SQS and $SIZ_{max}/CSL/MCUL$ in Table 1). If the analytical laboratory achieves detection limits that are above the numerical criteria after TOC normalization, the sample should be reanalyzed, correcting for matrix interferences through appropriate clean-up procedures (Table 5) and other measures. The analytical laboratory should contact the quality assurance and quality control (QA/QC) coordinator and/or the project manager and identify the steps being taken to lower the practical quantitation limits. It is unacceptable for the laboratory to report high practical quantitation limits after holding time has been exceeded and reanalysis is precluded. In some case where low TOC values unavoidably cause SMS criteria exceedance, Ecology may allow case-by-case comparison of dry-weight test sediment chemistry values to alternative dry weight-based sediment guidance values. For further information on TOC analysis/normalization, see Bragdon-Cook (1995).

To determine metal concentrations in sediment samples, the metals must be extracted prior to quantitative analysis. For the analysis of metals other than mercury, there are two options for digesting the sediment sample: total acid digestion and strong acid digestion. Total acid digestion may be performed using either a combination of nitric, perchloric, and hydrofluoric acids (Method 200.4, U.S. EPA [1983]) or a combination of hydrofluoric acid and aqua regia (Rantala and Loring [1975]). Although both total acid digestion methods result in the release of all mineral-bound metals into solution, including naturally occurring metals, the method of Rantala and Loring is preferred by some laboratories because the use of perchloric acid in the Method 200.4 procedure requires the use of a fume hood. Method 3050 (U.S. EPA 1986) is a strong acid digestion method using nitric acid and hydrogen peroxide. Strong acid digestion is recommended by PSEP (1997a), acceptable for most applications, and more commonly used.

Ecology has a laboratory accreditation program designed to ensure that analytical laboratories meet certain performance standards. Attention should be given in the planning stage to select laboratories accredited within the "Solids and Chemical Materials" matrix category for the sediment analysis methods that will be performed for the project. Laboratory accreditation requirements are specified in WAC 173-50, Accreditation of Environmental Laboratories and the accompanying Procedural Manual for the Environmental Laboratory Accreditation Program. The requirement to use accredited laboratories for sediment analyses currently exists under the Toxics Cleanup Program rule (Chapter 173-340 WAC) and the water quality rules (Chapter 173-216 WAC, Chapter 173-220 WAC, Chapter 173-226 WAC). These rules require that laboratories be accredited for the methods used to analyze environmental samples for regulatory purposes. The Questions on the accredited laboratories and methods may be directed to Ecology's Quality Assurance Section at (360) 895-6145. A current list of accredited laboratories can be obtained and queried on line at the following websites:

http://www.ecy.wa.gov/programs/eap/labs/labs_main.html

<http://www.ecy.wa.gov/apps/eap/acclabs/labquery.asp>

Method accreditation requirements for the analysis of chemical parameters described in the SMS rule, Chapter 173-204-320(5) as “other toxic, radioactive, biological, or deleterious substances” (e.g., organic debris, tributyltin, DDT, dioxin, resin acids, guaiacols, etc.) for which there are presently no numerical criteria, will be determined on a case by case basis by the department. As authorized under the SMS Chapters 173-204-110(6) WAC and 173-204-310(3) WAC, the department may identify appropriate and practicable sampling and analysis methodologies as standard analytical methods are developed for these parameters. At that time, Ecology may require the use of the laboratories accredited for such methods of sediment analyses.

TABLE 3. CONVENTIONAL SEDIMENT VARIABLES AND THEIR USE IN SEDIMENT INVESTIGATIONS

Conventional Sediment Variable	Use
Total organic carbon (TOC)	<ul style="list-style-type: none"> ■ Normalization of the concentrations of nonionizable organic compounds ■ Identification of appropriate reference sediments for biological tests ■ Presence of eutrophic and/or low dissolved oxygen conditions
Sediment grain size	<ul style="list-style-type: none"> ■ Identification of appropriate reference sediments for biological tests ■ Interpretation of sediment toxicity test data and benthic macroinvertebrate abundance data ■ Evaluation of sediment transport and deposition ■ Evaluation of remedial alternatives
Total solids	<ul style="list-style-type: none"> ■ Expression of chemical concentrations on a dry-weight basis
Ammonia	<ul style="list-style-type: none"> ■ Interpretation of sediment toxicity test data and/or other deleterious substances
Total sulfides	<ul style="list-style-type: none"> ■ Interpretation of sediment toxicity test data and/or other deleterious substances

TABLE 4. EXAMPLES OF CHEMICAL CONTAMINANTS THAT SHOULD BE MEASURED ON A SITE-SPECIFIC BASIS

Chemical Contaminant	Reason for Suspected Presence in Sediments
Ammonia	Associated with stormwater/CSOs, fish processing plants and aquaculture
Other potentially toxic metals (e.g., antimony, beryllium, nickel)	Associated with mining wastes and metal plating operations
Organotin complexes (especially tributyltin)	Used historically in antifouling paint and, therefore, potentially associated with shipyards and marinas
Pesticides, herbicides	Associated with agriculture or with agricultural chemical companies
Petroleum compounds (e.g., benzene, toluene, ethylbenzene, xylene)	Associated with refineries, fuel storage facilities, marinas, gas stations
Polychlorinated dibenzo-p-dioxins and polychlorinated dibenzofurans (PCDDs/PCDFs)	Associated with the presence of PCBs, 2,4,5-T and pentachlorophenol, pulp and paper mills using chlorination, waste incinerators, cement kilns, metals smelting, refining & processing and burning of coal, wood & petroleum products
Guaiacols and resin acids	Associated with pulp and paper mills and other wood products operations
Volatile organic compounds (e.g., trichloroethene, tetrachloroethene)	Used as solvents and in chemical manufacturing operations
Radioactive substances, explosives compounds	Associated with nuclear power plants, nuclear processing plants, medical wastes, and military installations

TABLE 5. RECOMMENDED SAMPLE PREPARATION METHODS, CLEANUP METHODS, ANALYTICAL METHODS, AND PRACTICAL QUANTITATION LIMITS FOR SEDIMENTS

Chemical	Recommended Sample Preparation Methods ^a	Recommended Sample Cleanup Methods ^b	Recommended Analytical Methods ^c	Recommended Practical Quantitation Limits ^{d,e}
Metals				(mg/kg dry weight)
Antimony	PSEP/3050B	--	6010B/6020/B7041	50
Arsenic	PSEP/3050B	--	6010B/6020/7061A	19
Cadmium	PSEP/3050B	--	6010B/6020/7131A	1.7
Chromium	PSEP/3050B	--	6010B/6020/7191	87
Copper	PSEP/3050B	--	6010B/6020	130
Lead	PSEP/3050B	--	6010B/6020	150
Mercury	-- ^f	--	7471A/245.5	0.14
Nickel	PSEP/3050B	--	6010B/6020	47
Silver	PSEP/3050B	--	6010B/6020	2
Zinc	PSEP/3050B	--	6010B/6020	137
Nonionizable Organic Compounds				(µg/kg dry weight or as listed)
LPAH Compounds				
Naphthalene	3540C/3550B/3545	3640A/3660B	8270C/1625C	700
Acenaphthylene	3540C/3550B/3545	3640A/3660B	8270C/1625C	433
Acenaphthene	3540C/3550B/3545	3640A/3660B	8270C/1625C	167
Fluorene	3540C/3550B/3545	3640A/3660B	8270C/1625C	180
Phenanthrene	3540C/3550B/3545	3640A/3660B	8270/1625C	500
Anthracene	3540C/3550B/3545	3640A/3660B	8270C/1625C	320
2-Methylnaphthalene	3540C/3550B/3545	3640A/3660B	8270C/1625C	223
HPAH Compounds				
Fluoranthene	3540C/3550B/3545	3640A/3660B	8270C/1625C	567
Pyrene	3540C/3550B/3545	3640A/3660B	8270C/1625C	867
Benzo[a]anthracene	3540C/3550B/3545	3640A/3660B	8270C ^h /1625C	433
Chrysene	3540C/3550B/3545	3640A/3660B	8270C ^h /1625C	467
Total benzofluoranthenes ^g	3540C/3550B/3545	3640A/3660B	8270 ^h /1625C	1067
Benzo[a]pyrene	3540C/3550B/3545	3640A/3660B	8270C ^h /1625C	533
Indeno[1,2,3-cd]pyrene	3540C/3550B/3545	3640A/3660B	8270C ^h /1625C	200
Dibenz[a,h]anthracene	3540C/3550B/3545	3640A/3660B	8270C ^h /1625C	77
Benzo[ghi]perylene	3540C/3550B/3545	3640A/3660B	8270C/1625C	223
Chlorinated Benzenes				
1,2-Dichlorobenzene	3540C/3550B/3545	3640A/3660B	8270C ^h /1625C	35
1,3-Dichlorobenzene	3540C/3550B/3545	3640A/3660B	8270C ^h /1625C	57
1,4-Dichlorobenzene	3540C/3550B/3545	3640A/3660B	8270C ^h /1625C	37
1,2,4-Trichlorobenzene	3540C/3550B/3545	3640A/3660B	8270C ^h /1625C	31
Hexachlorobenzene	3540C/3550B/3545	3640A/3660B	8270C ^h /1625C	22
Phthalate Esters				
Dimethyl phthalate	3540C/3550B/3545	3640A/3660B	8270C/1625C	24
Diethyl phthalate	3540C/3550B/3545	3640A/3660B	8270C/1625C	67
Di-n-butyl phthalate	3540C/3550B/3545	3640A/3660B	8270C/1625C	467
Butyl benzyl phthalate	3540C/3550B/3545	3640A/3660B	8270C/1625C	21
Bis[2-ethylhexyl]phthalate	3540C/3550B/3545	3640A/3660B	8270C/1625C	433
Di-n-octyl phthalate	3540C/3550B/3545	3640A/3660B	8270C/1625C	2067

TABLE 5. (continued)

Chemical	Recommended Sample Preparation Methods ^a	Recommended Sample Cleanup Methods ^b	Recommended Analytical Methods ^c	Recommended Practical Quantitation Limits ^{d,e}
Miscellaneous Extractable Compounds				($\mu\text{g/kg}$ dry weight or as listed)
Dibenzofuran	3540C/3550B/3545	3640A/3660B	8270C/1625C	180
Hexachlorobutadiene	3540C/3550B/3545	3640A/3660B	8270C/1625C	11
Hexachloroethane	3540C/3550B/3545	3640A/3660B	8270C/1625C	47
N-nitrosodiphenylamine	3540C/3550B/3545	3640A/3660B	8270C/1625C	28
PCBs				
PCB Aroclors®	3540/3550	3620B/3640A/3660B	8082	6
Chlorinated Pesticides				
DDD	3540C/3550B/3545	3620B/3640A/3660B	8081A/8085	3.3
DDE	3540C/3550B/3545	3620B/3640A/3660B	8081A/8085	2.3
Total DDT	3540C/3550B/3545	3620B/3640A/3660B	8081A/8085	6.7
Aldrin	3540C/3550B/3545	3620B/3640A/3660B	8081A/8085	1.7
Chlordane	3540C/3550B/3545	3620B/3640A/3660B	8081A/8085	1.7
Dieldrin	3540C/3550B/3545	3620B/3640A/3660B	8081A/8085	2.3
Heptachlor	3540C/3550B/3545	3620B/3640A/3660B	8081A/8085	1.7
Lindane	3540C/3550B/3545	3620B/3640A/3660B	8081A/8085	1.7
Volatile Organic Compounds				
Ethylbenzene	--	--	8260B/1624C	3.2
Tetrachloroethene	--	--	8260B/1624C	3.2
Total xylene	--	--	8260B/1624C	3.2
Trichloroethene	--	--	8260B/1624C	3.2
Ionizable Organic Compounds				
Phenol	3540C/3550B/3545	3640A/3660B	8270C/1625C	140
2-Methylphenol	3540C/3550B/3545	3640A/3660B	8270C/1625C	63
4-Methylphenol	3540C/3550B/3545	3640A/3660B	8270C/1625C	223
2,4-Dimethylphenol	3540C/3550B/3545	3640A/3660B	8270C/1625C	29
Pentachlorophenol	3540C/3550B/3545	3640A/3660B	8270C/1625C	120
Benzyl alcohol	3540C/3550B/3545	3640A/3660B	8270C/1625C	57
Benzoic acid	3540C/3550B/3545	3640A/3660B	8270C/1625C	217
Conventional Sediment Variables				
Ammonia	--	--	Plumb (1981)	100 mg/L
Grain size	--	--	Plumb (1981)	1%
Total solids	--	--	PSEP	0.1% (wet wt)
Total organic carbon (TOC)	--	--	9060	0.1%
Total sulfides	--	--	Plumb (1981)/ 9030B	10 (mg/kg)
Acid Volatile Sulfides			AVS (U.S. EPA 1991)	10 (mg/kg)
Site Specific Compounds				($\mu\text{g/kg}$ dry weight or as listed)
Ammonia	--	--	See above	100
Other potentially toxic metals (e.g., antimony, beryllium, nickel)	PSEP	--	See above	Sb 50, Ni 47
Organotin complexes			Bulk sediment: Krone (1989); Interstitial water: Krone (1989) extraction, performance based analysis	1 - 5 3 - 5 $\mu\text{g/L}$
Pesticides, herbicides	3540C/3550B	3620B/3640A/3660B	8081A/8085/8151A	1.7-6.7
Petroleum compounds (e.g., benzene, toluene, ethylbenzene, xylene)	--	--	8021B/8260B/1624C	50
Total petroleum hydrocarbons	--	--	8440	20 mg/kg (gasoline), 50 mg/kg (#2 diesel),

Chemical	Recommended Sample Preparation Methods ^a	Recommended Sample Cleanup Methods ^b	Recommended Analytical Methods ^c	Recommended Practical Quantitation Limits ^{d,e}
Polychlorinated dibenzo-p-dioxins and polychlorinated dibenzofurans (PCDDs/PCDFs)	--	--	Ecology method - pub. 97-602 (1997) 1613	100 mg/kg (Imotor oil) based on 100% solids 1 - 10 ng/kg
Guaiacols	3540C	--	NCASI Method CP – 86.02 Chlorinated Phenols	50-100
Resin acids	3540C (using acetone)	--	NCASI Method RA/FA 85.02	50-100
Radioactive substances, Explosive compounds	8330	--	8095/8330	250-2200 (method 8330)

Note:

- AVS - acid volatile sulfide
- EPA - U.S. Environmental Protection Agency
- GPC - gel permeation chromatography
- HPAH - high molecular weight polycyclic aromatic hydrocarbon
- LPAH - low molecular weight polycyclic aromatic hydrocarbon
- PCB - polychlorinated biphenyl
- PSEP - Puget Sound Estuary Program
- TOC - total organic carbon

^a Recommended sample preparation methods are:

PSEP (1997a)

Method 3050B and 3500 series - sample preparation methods from SW-846 (U.S. EPA 1996) and subjected to changes by EPA updates.

^b Recommended sample cleanup methods are:

Sample extracts subjected to GPC cleanup follow the procedures specified by EPA SW-846 Method 3640A. Special care should be used during GPC to minimize loss of analytes.

If sulfur is present in the samples (as is common in most marine sediments), cleanup procedures specified by EPA SW-846 Method 3660B should be used.

All PCB extracts should be subjected to sulfuric acid/permanaganate cleanup as specified by EPA SW-846 Method 3665A.

Additional cleanup procedures may be necessary on a sample-by-sample basis. Alternative cleanup procedures are described in PSEP (1997b) and U.S. EPA (1986).

^c Recommended analytical methods are:

Method 6000, 7000, 8000, and 9000 series - analytical methods from SW-846 (U.S. EPA 1986) and updates

The SW-846 and updates are available from the web site at:

<http://www.epa.gov/epaoswer/hazwaste/test/sw846.htm>

Method 1613 - analytical method from U.S. EPA-821/B-94-005 (1994)

Method 1624C/1625C - isotope dilution method (U.S. EPA 1989)

NCASI – analytical methods from the National Council for Air and Stream Improvement, Inc.

Plumb (1981) - U.S. EPA/U.S. Army Corps of Engineers Technical Report EPA/CE-81-1

PSEP (1986a)

Acid volatile sulfide method for sediment (U.S. EPA 1991).

Krone (1989) – Krone, C. A., D. W. Brown, D. G. Burrows, R. G. Bogar, S. L. Chan and U. Varanasi, 1989. *A Method for the Analysis of Butyltin Species and the Measurement of Butyltins in Sediment and English Sole Livers from Puget Sound. Marine Environmental Research 27:1-18.*

To achieve the recommended practical quantitation limits for organic compounds, it may be necessary to use a larger sample size (approximately 100 g), a smaller final extract volume for gas chromatography/mass spectrometry analyses (0.5 mL), and one of the recommended sample cleanup methods as necessary to reduce interference, using different analytical methods with better sensitivity. Detection limits are on a dry-weight basis unless otherwise indicated. For sediment samples with low TOC, it may be necessary to achieve even lower detection limits for certain analytes in order to compare the TOC-normalized concentrations with applicable numerical criteria (see Table 1).

(Footnotes continued on next page)

^e The recommended practical quantitation limits are based on a value equal to one third of the 1988 dry weight lowest apparent effects threshold value (LAET, Barrick et al 1988) except for the following chemicals: 1,2-dichlorobenzene, 1,2,4-trichlorobenzene, hexachlorobenzene, hexachlorobutadiene, n-nitrosodiphenylamine, 2-methylphenol, 2,4-dimethylphenol, and benzyl alcohol, for which the recommended maximum detection limit is equal to the full value of the 1988 dry weight LAET.

^f The sample digestion method for mercury is described in the analytical method (Method 7471A, September 1994).

^g Total benzofluoranthenes represent the sum of the b, j, and k isomers.

^h Selected ion monitoring may improve the sensitivity of method 8270C and is recommended in cases when detection limits must be lowered to human health criteria levels or when TOC levels elevate detection limits above ecological criteria levels. See PSEP organics chapter, appendix B–Guidance for Selected Ion Monitoring (1997b).

ⁱ Sample preparation methods for volatile organic compound analyses are described in the analytical methods.

^j Sample preparation methods for sediment conventional analyses are described in the analytical methods.

2.2. BIOLOGICAL ANALYSES OF SEDIMENTS

2.2.1. Selection of Biological Tests

In marine and estuarine environments, biological testing may only be necessary if SMS chemical criteria are exceeded and biological confirmation is desired. However, if there is reason to believe that potentially toxic chemicals other than those with adopted SMS chemical criteria, biological testing may also be warranted. In certain cases (see Section 3.3), biological testing may even be conducted prior to or instead of analyses of chemical contaminants in the sediments. In freshwater environments, Ecology recommends and may require, on a case-by-case basis, that biological tests always be conducted to directly assess biological effects because of the current absence of adopted numerical criteria for chemical contaminants in freshwater sediments.

Biological testing to assess existing sediment quality may include conducting sediment toxicity tests and/or assessing the naturally occurring community of benthic macroinvertebrates in sediment samples. The applicable biological tests vary depending on whether the sediment environment is marine, estuarine, or freshwater.

2.2.1.1. Marine and Estuarine Sediment Biological Tests

For marine sediments, the SMS require the use of two acute effects biological tests and one chronic effects biological test for each of the following purposes:

- To determine whether the SQS biological effects level is exceeded [WAC 173-204-310(2)(a)]
- To determine whether the SIZ_{max} biological effects level is exceeded [WAC 173-204-420(3)(a)]
- To determine whether the CSL or MCUL biological effects levels are exceeded [WAC 173-204-520(3)(b)].

Four of the biological tests that can be applied to assessments of marine sediment quality are laboratory sediment toxicity tests (Table 6). Assessment of the naturally occurring community of benthic macroinvertebrates is also considered to be a chronic/sublethal biological test. Although the biological tests described in the SMS are strictly applicable only to marine sediments (i.e., those with interstitial salinities ≥ 25 parts per thousand [ppt]), application of these tests, as appropriate, and the associated biological effects criteria may be approved by Ecology for low salinity estuarine sediments (i.e., those with interstitial salinities between 0.5 and 25 ppt) on a case-by-case basis. The five applicable marine biological tests include:

- Acute Effects Tests
 - **Amphipod:** A 10-day acute sediment toxicity test that assesses mortality of one of the following amphipods: *Rhepoxynius abronius*, *Ampelisca abdita* or

Eohaustorius estuaries, which is chosen based on the interstitial water salinity and the percentage of sediment fines as indicated in Figure 2.

- **Larval:** Any one of several acute sediment toxicity tests that assess mortality and/or abnormality of larvae of the following organisms:
 - Pacific oyster, *Crassostrea gigas*.
 - Blue mussel, *Mytilus galloprovincialis*
 - Purple sea urchin, *Strongylocentrotus purpuratus*.
Green sea urchin, *Strongylocentrotus droebachiensis* .
 - Sand dollar, *Dendraster excentricus*.

■ Chronic Effects Tests

- **Juvenile polychaete:** A 20-day sublethal sediment toxicity test that assesses decreases in biomass of the juvenile polychaete *Neanthes* sp.
- **Microtox® 100 percent sediment porewater extract:** A 15-minute toxicity test that assesses decreased bioluminescence of the bacteria *Vibrio fischeri* (strain NRRL B-11177) exposed to a pH, dissolved oxygen and salinity-adjusted 100 percent porewater extract of the marine and estuarine sediment sample. For more information of marine Microtox® 100 percent sediment porewater extract toxicity assessment, see Subappendix B.
- **Benthic Macroinvertebrate Abundance:** This test assesses statistically significant alterations in the naturally occurring abundances of the following major taxa: Crustacea, Mollusca, and Polychaeta.

Two acute effects tests and one chronic effects test are required. A project proponent must conduct the amphipod acute effects test, one of the larval acute effects tests, and one chronic effects test. It should be noted, however, that the SMS do not have a one-hit rule criteria for the marine Microtox® porewater test to be used for determining compliance with the SIZ_{max} , CSL, or MCUL biological effects levels.

The selection of the most appropriate amphipod species should follow the decision tree in Figure 2, considering both the interstitial salinity and grain size of the sediments to be tested. Among the three amphipod species (Table 6), *R. abronius* is considered to be a marine species and is generally appropriate for testing sediments having interstitial salinities ≥ 25 ppt. *E. estuarius* is tolerant of interstitial salinities < 25 ppt. *A. abdita* is euryhaline (i.e., tolerant of a wide range in interstitial salinities: 2–28 ppt). **Note: If the interstitial salinity of the sediments is < 25 ppt, the choice of low salinity biological tests must be approved by Ecology in advance on a case-by-case basis.** If the interstitial salinity of the sediments to be analyzed in marine and estuarine environments is < 25 ppt but ≥ 20 ppt, either *A. abdita* or *E. estuarius* could be used. At interstitial salinities < 20 ppt but > 2 ppt, only *A. abdita* should be selected. If sediments with interstitial salinities between 15 and 24 ppt are being evaluated for dredging and disposal at a DMMP site, the PSEP (1995) protocols

allow for upward adjustment of the interstitial salinity so that *R. abronius* can be used, but for other evaluation purposes, upward adjustment of the interstitial salinity is generally not considered appropriate for the amphipod toxicity tests.

R. abronius is known to be adversely affected by sediments having a high proportion of fine sediments. Therefore, if the proportion of fines (i.e., particles having diameters $<62.5 \mu\text{m}$) is more than or equal to 60 percent, *A. abdita* should be selected because it is relatively tolerant of a wide range of sediment grain sizes.

The primary factor affecting the selection of an appropriate species for the larval test is the time of the year. It is generally desirable to select a species that is naturally spawning at the time of the year the biological test will be conducted. The natural spawning seasons for the test species in the Puget Sound area are as follows:

- **Oyster**—summer
- **Mussel**—late spring through early summer
- **Sea urchin**—December through April
- **Sand dollar**—April through October.

Although all of these species can be induced to spawn at other times of the year, the larvae may then be subject to higher mortality, so this practice is not recommended.

The PSEP (1995) protocols recommend against use of the larval toxicity tests for sediments with interstitial salinities <10 ppt because of the limited experience with the tests at these salinities. However, all of the larval toxicity tests can probably be used over a wide range of interstitial salinities (from full-strength seawater to <1 ppt) because a small volume of sediments is mixed with a much larger volume of seawater, which has a salinity of 28 ppt, prior to testing. Use of the larval toxicity tests for such low salinity sediments should therefore be discussed with Ecology and considered on a case-by-case basis.

Oyster larvae may be adversely affected by small sediment grain sizes. Use of oyster larvae for sediments known to have a high proportion of silt- and clay-size particles is therefore not recommended (PSEP 1995). Instead, either a sea urchin or sand dollar test would be preferable.

Among the chronic effects tests, the benthic macroinvertebrate community analysis requires more time for the collection of samples because five replicate grab samples from each station are necessary for this analysis (in addition to sediment samples collected for chemical and other biological tests). The benthic macroinvertebrate community analysis is also generally more expensive than any of the sediment toxicity tests because of the additional sample processing time in the field and the cost of sorting and taxonomically identifying the samples. Ecology has identified additional procedures for benthic macroinvertebrate interpretation and is considering future revision of the SMS rule to incorporate these decision criteria for interpreting the results of the benthic macroinvertebrate test.

The choice between the other two chronic tests may depend on the use of the data. The Microtox® test is quick, relatively inexpensive, unaffected by interstitial salinity or grain size characteristics, and available throughout the year, but the SMS do not have one-hit rule marine criteria for this test

for determining compliance with the SIZ_{max} , CSL, or MCUL biological effects levels. The SMS do have criteria for the juvenile polychaete test for any of these purposes. However, *Neanthes* sp. may be adversely affected by interstitial salinities <20 ppt. Use of the juvenile polychaete test for sediments having interstitial salinities <20 ppt will only be approved by Ecology on a case-by-case basis.

TABLE 6. MARINE AND LOW SALINITY ESTUARINE SEDIMENT TOXICITY TEST CHARACTERISTICS

Toxicity Test	Test Species	Test Duration	Primary Endpoints	Interstitial Salinity ^a (ppt)
Acute Effects Tests				
Amphipod	<i>Rhepoxynius abronius</i> ^b	10 days	Mortality	≥25 ^c
	<i>Ampelisca abdita</i> ^b	10 days	Mortality	2–28
	<i>Eohaustorius estuarius</i> ^b	10 days	Mortality	<25
Larval	Oyster (<i>Crassostrea gigas</i>) ^d	48–60 hours	Abnormality Mortality	≥10 ^{h,i}
	Mussel (<i>Mytilus galloprovincialis</i>) ^e	48–60 hours	Abnormality Mortality	≥10 ⁱ
	Sand dollar (<i>Dendraster excentricus</i>)	48–96 hours	Abnormality Mortality	≥10 ⁱ
	Sea urchin (<i>Strongylocentrotus purpuratus</i> or <i>S. droebachiensis</i>)	48–96 hours	Abnormality Mortality	≥10 ⁱ
Chronic Effects Tests				
Juvenile polychaete	<i>Neanthes</i> sp.	20 days	Biomass	≥20 ^f
Microtox® (100 percent sediment porewater extract)	<i>Vibrio fischeri</i> ^g	15 minutes	Luminescence	NA

Note: NA - not applicable
ppt - parts per thousand

^a In situ test sediments should have interstitial salinities corresponding to the guidelines, except as noted. The use of any of these tests for low salinity sediments (interstitial salinities < 25 ppt) must be approved by Ecology on a case-by-case basis.

^b *Rhepoxynius abronius* is known to be adversely affected by sediments having ≥ 60 percent fine sediments (<62.5 μm diameter). To test sediments having ≥ 60 percent fines, use *Ampelisca abdita*.

^c For assessments of sediments for dredging and DMMP disposal, upward adjustment of interstitial salinities between 15 and 24 ppt is possible, but for interstitial salinities <25 ppt, use of *Ampelisca abdita* or *Eohaustorius estuarius* is preferred (see PSEP [1995] for further details).

^d *C. gigas* larvae may be adversely affected by small sediment grain sizes. Use of *C. gigas* larvae for sediments known to have a high proportion of silt- and clay-size particles is therefore not recommended (PSEP 1995).

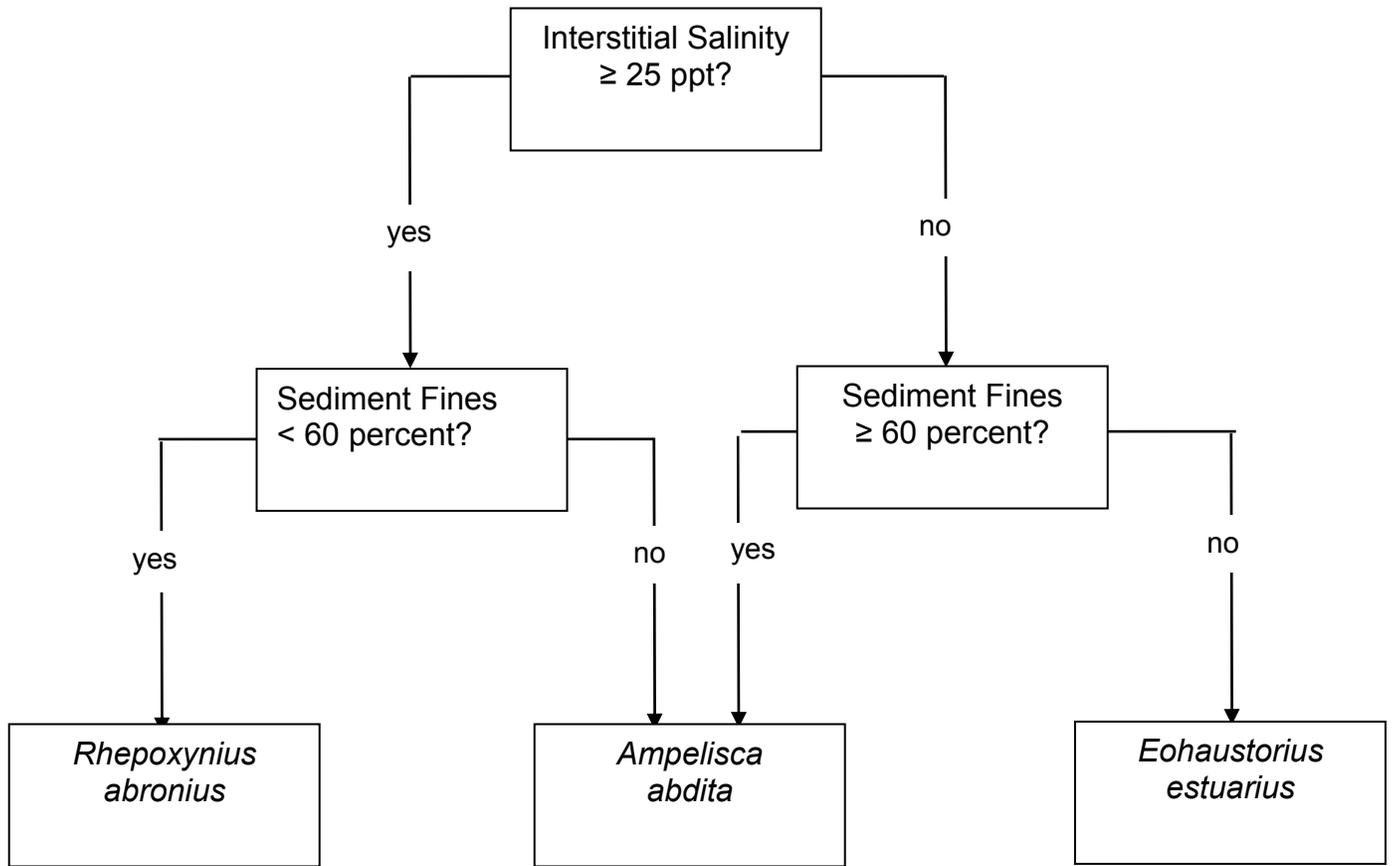
^e PSEP (1995) and the SMS refer only to the use of *Mytilus edulis* in this test. However, it may be more accurate to refer to the test organisms used as members of the *Mytilus edulis* sibling species complex. Recent taxonomic studies of west coast mussels (McDonald and Koehn 1988; McDonald et al. 1991; Geller et al. 1993) indicate that the mussels in Washington state are either *M. trossulus* (a more northerly species) or *M. galloprovincialis* (a more southerly species). The mussel species being used by most biological laboratories in the Pacific Northwest is *M. galloprovincialis*. *M. edulis* does not occur locally and is therefore unlikely to be used in toxicity tests. This does not constitute a change in test organisms, but an acknowledgment that the organisms may have been previously misidentified.

^f *Neanthes* sp. may be adversely affected by interstitial salinities <20 ppt. Use of the test for sediments having interstitial salinities <20 ppt will only be approved by Ecology on a case-by-case basis.

^g Formerly known as *Photobacterium phosphoreum*.

^h Oyster larvae may be adversely affected by small sediment grain sizes. Use of oyster larvae for sediments known to have a high proportion of silt- and clay-size particles is therefore not recommended (PSEP 1995). Instead, either a sea urchin or sand dollar test would be preferable.

ⁱ The PSEP (1995) protocols recommend against use of the larval toxicity tests for sediments with interstitial salinities <10 ppt because of the limited experience with the tests at these salinities. However, all of the larval toxicity tests can probably be used over a wide range of interstitial salinities (from full-strength seawater to <1 ppt) because a small volume of sediments is mixed with a much larger volume of seawater, which has a salinity of 28 ppt, prior to testing. Use of the larval toxicity tests for such low salinity sediments should therefore be discussed with Ecology and considered on a case-by-case basis.



Note: ppt – parts per thousand
 Fines – sediment grain size < 62.5 μm diameter

Figure 2. Decision tree for selecting the appropriate amphipod species for marine/estuarine toxicity tests

2.2.1.2 Freshwater Sediment Biological Tests

The SMS do not recommend specific biological tests for use in freshwater sediment investigations, nor do they provide decision criteria for interpreting the results of such tests. On a case-by-case basis, Ecology will recommend and may require biological testing as a routine component of freshwater sediment investigations to meet the intent of the narrative standards provided in WAC 173-204-100(3) and (7). Ecology recommends the following sediment toxicity tests (Table 7) for the evaluation of freshwater sediment quality:

- **Amphipod:** A 10-day and 28-day sediment toxicity test that assesses mortality and growth of the amphipod *Hyalella azteca*
- **Midge:** A 10-day and 21-day sediment toxicity test that assesses mortality and growth of the midge *Chironomus tentans*
- **Frog embryo:** A 96-hour sediment toxicity test that assesses mortality and developmental malformations in embryos of the frog *Xenopus laevis*
- **Microtox® 100 percent sediment porewater extract test:** A 15-minute toxicity test that assesses decreased bioluminescence of the bacteria *Vibrio fischeri* (strain NRRL B-11177) exposed to a pH, dissolved oxygen and salinity-adjusted 100 percent porewater extract of the freshwater sediment sample. For more information of freshwater marine Microtox® 100 percent sediment porewater extract toxicity assessment, see Subappendix C.

All of the recommended freshwater sediment bioassay species are available year round. There are many other freshwater sediment toxicity tests that could potentially be applied (see, for example, Burton 1992). Selection of the most appropriate freshwater sediment toxicity tests should be discussed in advance with Ecology on a case-by-case basis.

2.2.2. Biological Laboratory Methods

PSEP (1995) provides guidelines for conducting the amphipod, larval, and juvenile polychaete tests for marine sediments. Guidelines for conducting Microtox® 100 percent sediment porewater extract test for marine, estuarine and freshwater sediments are in Subappendices B and C. For more specific questions in Microtox® 100 percent sediment porewater extract test, contact Peter Adolphson at (360)407-7557. Although PSEP (1995) refers to the use of only *Mytilus edulis* in the mussel larval test, *M. galloprovincialis* is the species routinely used in this test by biological laboratories in the Pacific Northwest. On a case-by-case basis, the marine sediment toxicity tests may be approved by Ecology for use in estuarine sediment investigations as well.

In addition to the juvenile polychaete test listed in Table 6, assessment of the naturally occurring community of benthic macroinvertebrates is the third chronic effects test that can be applied under the SMS. Guidelines for collecting and analyzing benthic macroinvertebrate samples are provided in PSEP (1987).

Guidelines for conducting the suggested freshwater sediment toxicity tests can be found in the following references: amphipod, *Hyalella azteca*, and midge, *Chironomus tentans*, (ASTM 2000); and Microtox® 100 percent sediment porewater extract (Subappendices B & C). The frog embryo, *Xenopus laevis*, test protocols are reported in ASTM (1998).

Polycyclic aromatic hydrocarbon (PAH) toxicity can be significantly increased if benthic organisms are exposed to certain PAHs and UV light (Ahrens and Hickey [2002]). Therefore, toxicity tests for sediments collected in shallow water or the intertidal area should be carefully designed. Recommendations for conducting bioassays on sediments containing PAHs exposed to UV light are provided in Subappendix D. For more specific questions in conducting bioassays on sediments containing PAHs exposed to UV light, contact Peter Adolphson at (360)407-7557.

QA/QC requirements for the biological tests are described in Section 7.2.

TABLE 7. FRESHWATER SEDIMENT TOXICITY TEST CHARACTERISTICS

Toxicity Test	Test Species	Test Duration	Primary Endpoint
Amphipod	<i>Hyalella azteca</i>	10 & 28 days	Mortality Growth
Midge	<i>Chironomus tentans</i>	10 & 21 days	Mortality Growth
Frog embryo	<i>Xenopus laevis</i>	96 hours	Mortality Developmental malformations
Microtox® 100 percent porewater extract	<i>Vibrio fischeri</i> ^a	15 minutes	Luminescence

^a Formerly known as *Photobacterium phosphoreum*.

3. FREQUENCY AND TIME OF SAMPLING

This section provides guidance on the appropriate frequency and time of sampling for different types of sediment investigations.

3.1 FREQUENCY OF SAMPLING

Certain types of sediment sampling (e.g., baseline monitoring) may occur only once; other types (e.g., SIZ maintenance monitoring, compliance monitoring, or cap monitoring) may occur periodically. In baseline monitoring, a single sampling event will generally suffice to determine the present state of sediment conditions. In situations where baseline monitoring identifies a problem (e.g., exceedance of applicable numerical chemical criteria or biological effects criteria), further sediment sampling and analysis may sometimes be required to define the spatial extent of the problem or to establish gradients that may be useful in interpreting the source of the problem. In other types of sediment investigations where the goal is to establish whether there are temporal changes in sediment conditions, the selection of an appropriate sampling frequency depends on the expected rate of change of sediment conditions.

In relatively quiescent marine or estuarine environments away from large sources of sediments such as river deltas, conditions within the surface sediments are unlikely to change appreciably in less than 2 years, even if nearby sources of contaminants are totally eliminated. This slow rate of change is because 1) natural rates of sedimentation are very slow, 2) the sediments are subject to bioturbation by organisms (which may mix relatively clean, newly deposited sediments with more contaminated sediments at greater depth below the sediment surface), and 3) many of the contaminants of interest either are not subject to degradation or are only very slowly degraded in the environment. Therefore, in marine or estuarine areas with very slow rates of sedimentation, a period of 2 or more years may be required for appreciable changes to occur in surface sediment conditions.

In freshwater environments, the rate of change in surface sediment conditions may also be relatively slow if there is little flow (e.g., in lakes, reservoirs, or ponds). However, the rate of change may be very rapid in rivers or streams, especially where there are large seasonal fluctuations in flow. Sediments may be deposited near sources during periods of low flow, only to be swept away and redeposited elsewhere during later periods of high flow. Knowledge of the local hydrological conditions is therefore essential in selecting an appropriate sampling frequency in freshwater environments subject to periodic variations in flow.

3.2 TIME OF SAMPLING

In many sediment investigations, the time of year when sampling is conducted is generally not an issue. However, factors that could influence the selection of an appropriate time of year may include the following:

- **The seasonal availability of appropriate sediment toxicity test organisms**—As described in Sections 2.2.1.1 and 2.2.1.2, certain test organisms are only available during some times of the year, and, if it is necessary to use those organisms, sampling will have to be scheduled accordingly.
- **Normal seasonal variations in the abundance of benthic macroinvertebrate organisms**—Benthic macroinvertebrate assemblages are constantly changing over time. Although the ways in which they change over time are not always known in detail, it is preferable to sample when the population estimates are subject to the least natural variability. In Puget Sound, for example, both the numbers of individuals per sample and the variability among stations are lowest in late winter or early spring, making that the best time of the year for sampling benthic macroinvertebrate assemblages (PSEP 1987). Sampling of benthic macroinvertebrate assemblages can certainly occur at other times of the year, but the higher natural variability makes it more difficult to discern differences among stations. It may be necessary, for example, to collect and analyze additional replicate samples to achieve the same statistical power. Regardless of the time of year selected, however, it is essential that all samples being compared (e.g., site stations vs. reference stations, site stations vs. stations sampled historically) be collected at the same time of year. If multi-year temporal trends are of interest, sampling in successive years should be conducted during the same season.
- **Periodic variations in the quantity or quality of a wastewater discharge**—If the goal is to investigate potential effects of a wastewater discharge, periodic variations in the quantity or quality of the wastewater discharge must be taken into account. For example, sediments in the vicinity of a wastewater discharge from a seasonal food processing plant should be sampled during or soon after periods of high food processing activity.
- **Tidal stage**—In coastal areas, the stage of the tide (e.g., neap tide, spring tide) may influence selection of the time of sampling, either because of access restrictions to the site (e.g., a large sampling vessel may only have access during high spring tides; sediments may be sampled by personnel on foot during low spring tides) or because of the effect of tidal currents on the sediment regime (e.g., the strongest tidal currents occur during spring tides and may scour the bottom, while periods of neap tides may be relatively quiescent).
- **River stage**—For sediment sampling in riverine environments subject to pronounced seasonal variations in flow, it may be more appropriate to sample during or near the end of periods of low flow, when sedimentation is more likely to occur. Periods of low flow may also represent the optimal time for sampling if there is reason to believe upland contamination may be migrating to aquatic areas through seeps. Alternatively, periods of high flow may scour away a veneer of relatively clean

sediments, exposing more contaminated sediments deposited earlier. Also, drawdown of the water level behind Columbia River dams for fish passage may be an important consideration.

3.3 PHASING OF SAMPLING AND/OR ANALYSES, IF APPROPRIATE

In some cases, it may be desirable to conduct certain aspects of a sediment investigation before others. For example, when the results are to be compared with the marine SQS or $SIZ_{max}/CSL/MCUL$ (Table 1), it is often desirable to sample and analyze sediments for chemical contaminants first and then to conduct biological tests only in the event that chemical concentrations exceed applicable numerical criteria. Biological results from a full suite of acute and chronic tests can be used to override a determination based on numerical chemical criteria exceedances alone. The relatively high cost of biological testing generally represents a strong argument against its inclusion unless numerical chemical criteria are exceeded. Nevertheless, it is less time consuming and more economical to collect enough sediment samples during a single sampling event to perform both chemical and biological testing without having to remobilize and resample, when the need for biological testing is needed. This strategy is only practical, however, if the chemical analyses can be conducted and the results evaluated within the maximum holding times of the sediments for biological testing (see Section 6.1). Such a strategy is particularly valuable because both chemical analyses and biological tests can be conducted on subsamples of the same homogenized sediment sample, which facilitates interpretation of the data. If, on the other hand, a separate field sampling effort must be conducted to collect sediments for biological testing, it is generally impossible to resample the exact locations where the previous chemical samples were collected and chemical analyses may need to be repeated to facilitate biological test interpretation.

There are at least three situations in which it may not be appropriate to wait for the results of chemical analyses before deciding whether to conduct biological tests. In freshwater environments where there are no adopted numerical chemical criteria under the SMS, Ecology recommends that biological testing be conducted first or concurrently with sediment chemistry to provide a direct assessment of whether there are any adverse biological effects. In marine and estuarine environments, Ecology may require that biological tests be conducted regardless of whether there are any exceedances of numerical chemical criteria in situations where there is reason to believe that there may be other potentially toxic contaminants in the sediments for which there are no numerical criteria. Biological testing may also be recommended if the chemicals of concern have numerical criteria, but there is reason to believe they may be present in a less bioavailable form (e.g., metals in sandblast grit, slag, or paint chips). Ecology has the authority to require such testing under the SMS rule, WAC 173-204.

For sediment investigations conducted as part of a sediment cleanup evaluation, consideration may be given to conducting the biological testing before the sediment chemical analyses. If it can be shown that there are no exceedances of the CSL biological effects criteria, there may be no need to chemically analyze the sediments because the biological results would override any determination based on SMS sediment chemistry criteria alone. If there are exceedances of the CSL biological effects criteria, chemical analyses of the sediments may then be required to attempt to identify the responsible chemical(s), define the spatial extent of contamination, and identify prospective potential liable parties (PLP).

The strategy of conducting biological testing prior to and potentially in lieu of chemical analyses is generally not relevant to the sediment source control process, because information on sediment chemical contaminants is necessary to link observed effects to permitted discharges.

3.4 SCHEDULE

Each sampling and analysis plan should include a schedule that clearly specifies the time when each element of the sediment investigation will be completed. Elements to be scheduled include:

- Field mobilization
- Field sampling
- Field demobilization
- Shipment of samples to laboratories
- Initiation and completion of chemical analyses
- Initiation and completion of biological testing
- Initiation and completion of data validation
- Submittal of draft report to Ecology
- Submittal of final report to Ecology.

Maximum holding times for each type of sample should be explicitly specified. Along with the schedule, a brief discussion should be provided describing the rationale for the frequency, timing, and phasing (if any) of the sediment sampling and analyses.

4. SAMPLING STATION LOCATIONS

Selecting locations for sampling is potentially one of the most subjective aspects of designing a sediment monitoring program and, therefore, one of the areas potentially requiring the most guidance. This section provides guidance on locating stations relative to known contaminant sources (e.g., permitted wastewater discharges) or known or suspected areas of sediment contamination, selecting appropriate water depths for sampling stations, and selecting the appropriate depth interval in the sediments to be sampled. A brief discussion is then provided of other factors that should be considered in the selection of appropriate sampling station locations.

4.1 LOCATIONS OF SAMPLING STATIONS RELATIVE TO POINT SOURCES

Sediment sampling and analysis is conducted in the vicinity of known point sources (e.g., permitted wastewater discharges) under the sediment source control process to satisfy several purposes (see Section 1.2 of this document for a brief discussion or Chapter 8 of SCUM1 (Ecology 1993) for a detailed discussion). Most sediment investigations under the sediment source control process are expected to be either baseline monitoring or SIZ maintenance monitoring. In the following sections, the selection of appropriate sampling station locations in the vicinity of existing point sources (e.g., permitted wastewater discharges) is discussed in the context of whether it is baseline monitoring or SIZ maintenance monitoring.

4.1.1 Locations of Sampling Stations for Baseline Monitoring

In developing baseline monitoring requirements, it is important to understand that the intent of such monitoring is only to determine whether there are current exceedances of SQS in depositional areas likely to be affected by a given discharge and whether those exceedances appear to be caused by the discharge. Baseline monitoring is generally not intended to accurately delimit the area over which there are exceedances of SQS or to definitively link those exceedances to the discharge. Baseline monitoring should provide for detection of such SQS exceedances and determination of whether those exceedances are greater in areas likely to be affected by the discharge or of a more general, area-wide nature, which might suggest contaminant inputs from other local sources.

The selection of appropriate sampling station locations for baseline monitoring is highly site-specific. The number of sampling stations is not fixed, but Ecology has found that a range from about 6 to 18 stations will generally suffice for most situations. The following paragraphs provide some examples of station arrays using that range of numbers of stations.

For discharges with a low likelihood of sediment impacts (e.g., those with relatively small volumes of wastewater and low concentrations of contaminants), an array of only six stations may suffice if the stations are located along a transect extending from the point of discharge to a point downstream (or in the direction of predominant current flow) sufficiently far away from the discharge to be beyond likely effects of the discharge (Figure 3). If flow is unidirectional (e.g., in a river), it may suffice to have one station of the transect upstream of the discharge to define background conditions. If flow is bidirectional (e.g., as in many Puget Sound marine environments where tidal currents predominate), the six stations might be arrayed along a transect spanning the discharge

along the axis of predominant current flow. In general, these stations will be at a similar depth because currents typically flow along contours of equal depth. For discharges with a high likelihood of sediment impacts (e.g., those with relatively large volumes of wastewater and high concentrations of contaminants), or for discharges to more complex receiving environments, it may be necessary to have two to three transects, each with up to six stations extending out from the point of discharge (Figure 3).

The appropriate spacing of stations along a transect will vary with both the volume of the discharge and the velocity of currents in the vicinity of the discharge. In the case of minor discharges and relatively weak currents, the entire transect may be on the order of several tens of meters in length. As the volume of the discharge or the velocity of currents in the receiving water increases, the length of the transect should increase. For the very largest volume discharges (e.g., major municipal sewage discharges of approximately 100 million gallons per day) to receiving waters with significantly stronger currents, an appropriate transect could be on the order of hundreds of meters in length. If the current in the immediate vicinity of the discharge is so strong that sediments are unlikely to accumulate there, the stations may not be positioned along a transect at all, but rather clustered in the nearest depositional area where sediments are likely to accumulate. In rivers and certain estuarine environments with strong currents, such depositional areas may be far removed from the point of discharge.

Site-specific conditions will modify these general guidelines. For example, an appropriate baseline monitoring program for a permittee with multiple points of discharge all within the same general vicinity may require a larger number of stations, spread throughout the entire area, but not at the same station-to-discharge ratio as for an isolated single discharge. Figure 4 provides several examples of how stations might be positioned for a discharge with a moderate likelihood of sediment impacts, using a total of 10 stations, both with and without multiple points of discharge. A single point discharge into a complex receiving environment with multiple contaminant sources in the local area may require a larger number of stations arrayed along transects extending away from the single point discharge in the direction of other known or suspected contaminant sources. Without such a grid of stations, it would be impossible to evaluate whether any observed exceedances of applicable standards or criteria are attributable to a given discharge. For any such complex situations, the project proponent should work closely with Ecology on the development of appropriate sampling station locations.

4.1.2 Locations of Sampling Stations for SIZ Maintenance Monitoring

The purpose of SIZ maintenance monitoring is to demonstrate that sediments within an authorized SIZ do not exceed the SIZ_{max} numerical chemical criteria (Table 1), the SIZ_{max} biological effects criteria (Table 2), or other SIZ_{max} chemical or biological criteria established in the SIZ authorization. Furthermore, it is necessary to demonstrate that sediments beyond the authorized SIZ do not exceed the SQS numerical chemical criteria (Table 1), the SQS biological effects criteria (Table 2), or other SQS chemical or biological criteria established in the SIZ authorization. Hence, it is equally important to sample both within and just beyond the authorized SIZ.

Although it is difficult to provide detailed guidance on the selection of appropriate sampling station locations for SIZ maintenance monitoring, it is possible to define the range of possible scenarios. For relatively small discharges in an area removed from other potential contaminant sources, an appropriate maintenance monitoring program might include approximately six stations (Figure 5). Although some discretion would be appropriate, four of the six monitoring stations should be placed

within the SIZ and one each of the remaining two stations should be placed on opposite sides of the discharge, just beyond the SIZ, along the axis of predominant current flows.

For relatively large discharges or for those in an area where there are other nearby contaminant sources, an appropriate maintenance monitoring program might include as many as 15 stations (Figure 5). As many as nine of the monitoring stations might be placed within the SIZ for discharges far removed from other contaminant sources. However, if there are other nearby contaminant sources, it might be appropriate to position fewer stations within the SIZ, with the remaining stations arrayed along transects extending from just beyond the SIZ toward other contaminant sources, to investigate possible gradients in contaminant concentrations. The higher density of stations is warranted for major discharges to establish patterns of sediment contamination, investigate potential impacts from other contaminant sources, and collect representative samples of sediments within the potentially larger SIZ.

The locations of some maintenance monitoring stations may be selected to confirm predictions of the SIZ model. In some cases, the size of the authorized SIZ may be determined based on existing conditions but, because of expected decreases in contaminant loading as a result of upgrading the wastewater treatment, the area exceeding the SQS numerical criteria may be expected to decrease in the future. In such cases, some of the maintenance monitoring stations within the SIZ should target the area between the initially authorized SIZ and the area expected to exceed SQS numerical criteria under the improved discharge conditions. In other cases, the size of the authorized SIZ may be determined based on SIZ model predictions using higher loading rates than those at present. In such cases, the area currently exceeding the SQS numerical criteria may be expected to increase in the future, and some of the maintenance monitoring stations within the SIZ should target the area immediately beyond the sediments currently exceeding the SQS numerical criteria to confirm the SIZ model predictions. In all cases, some of the maintenance monitoring stations should be located just beyond the authorized SIZ boundary, because exceedances of SQS numerical or biological effects criteria beyond the SIZ that were attributable to the discharge would represent a violation of the SIZ authorization.

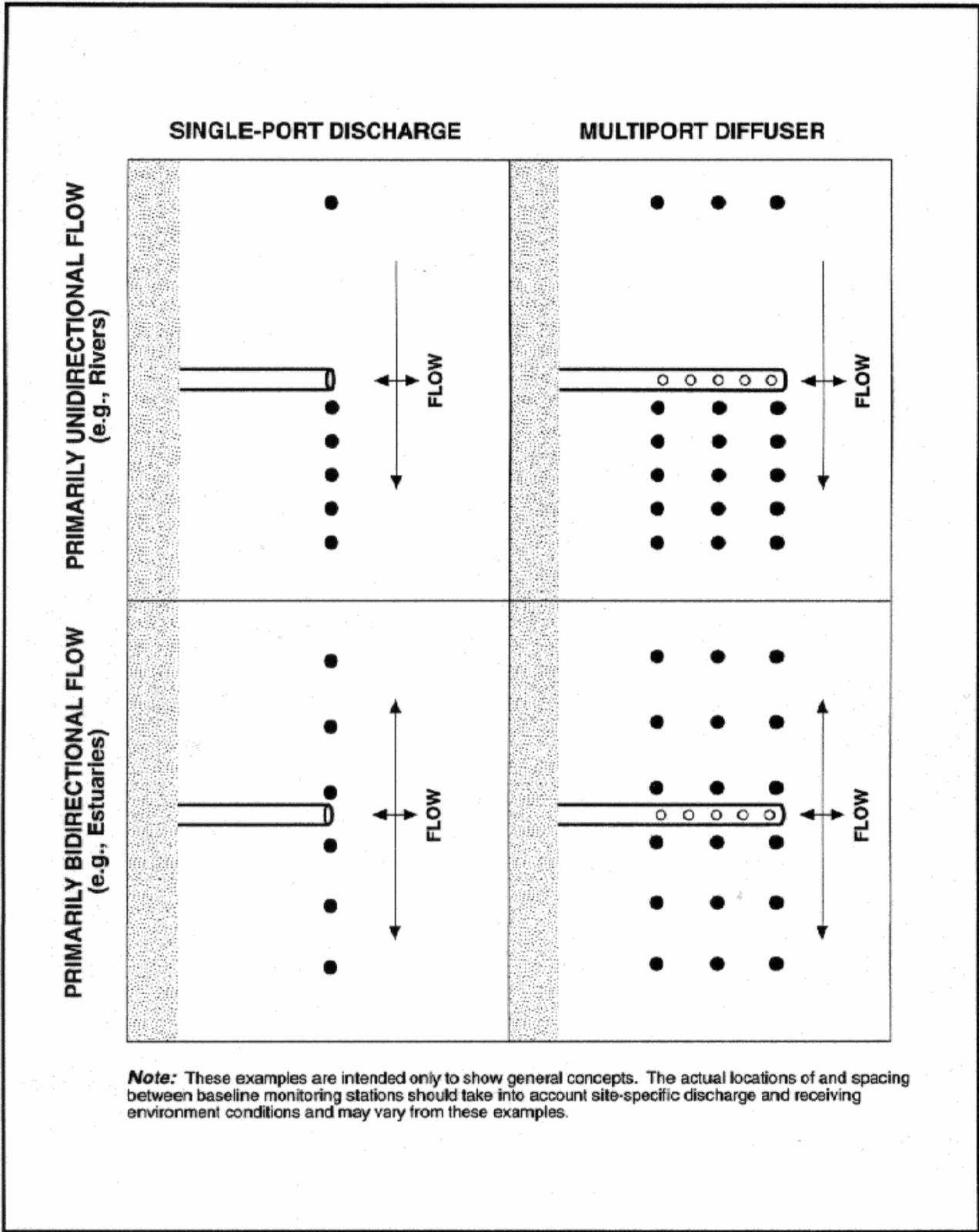
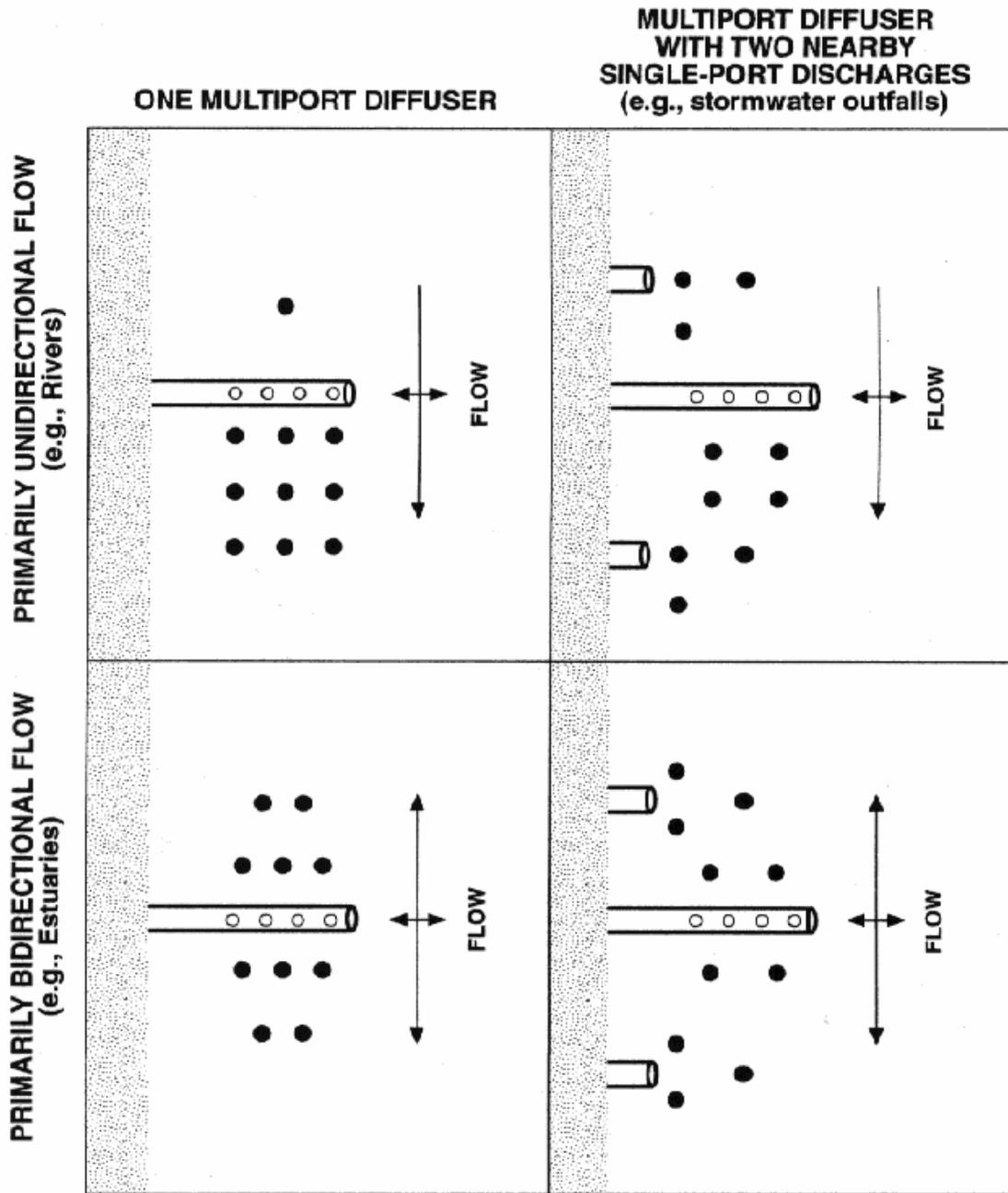
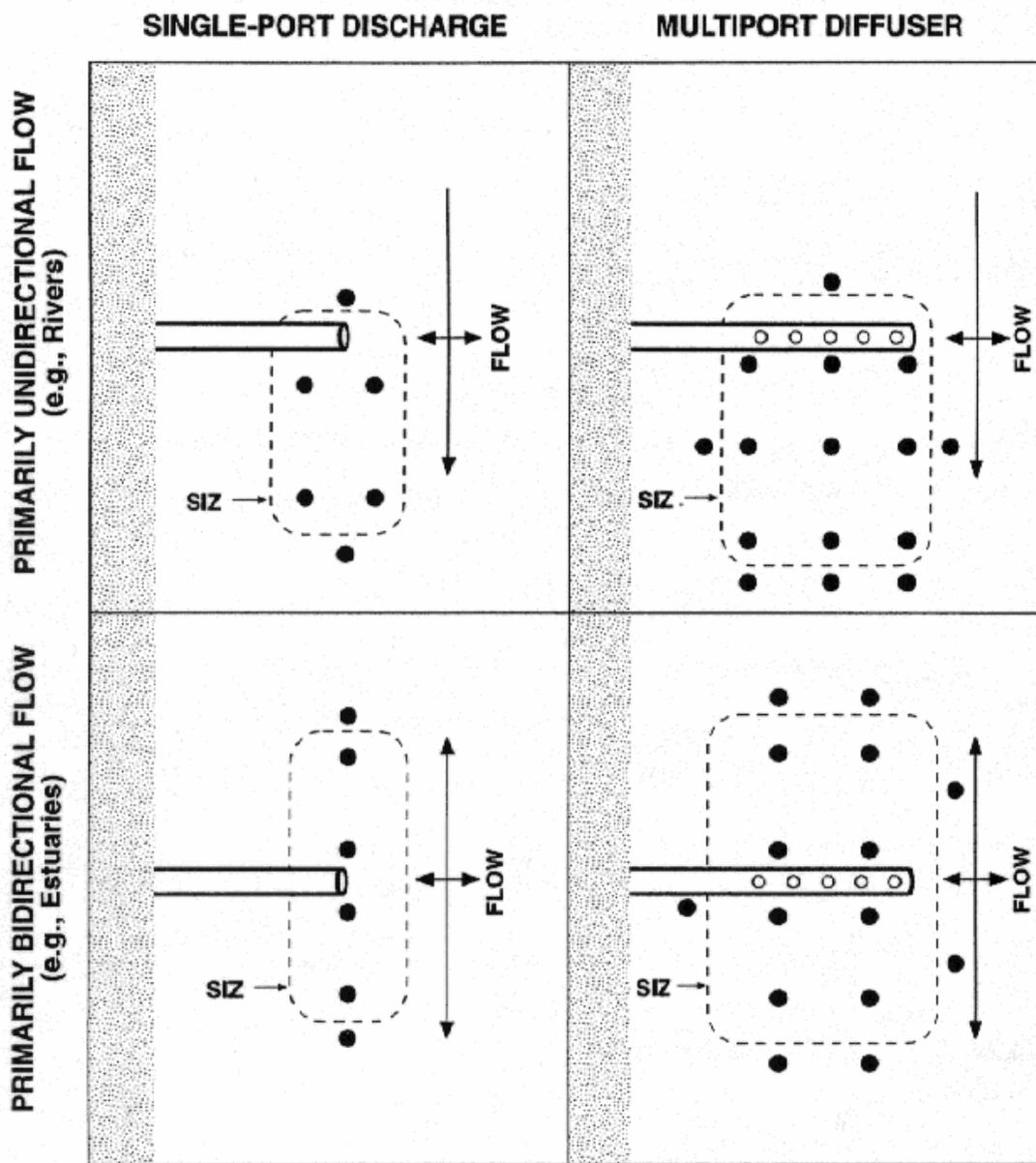


Figure 3. Examples of baseline monitoring station locations using 6 and 18 stations.



Note: These examples are intended only to show general concepts. The actual locations of and spacing between baseline monitoring stations should take into account site-specific discharge and receiving environment conditions and may vary from these examples.

Figure 4. Additional examples of baseline monitoring station locations using 10 stations.



Note: These examples are intended only to show general concepts. The actual locations of and spacing between maintenance monitoring stations should take into account site-specific discharge and receiving environment conditions and may vary from these examples.

Figure 5. Examples of maintenance monitoring station locations.

4.2 LOCATIONS OF SAMPLING STATIONS RELATIVE TO KNOWN OR SUSPECTED AREAS OF SEDIMENT CONTAMINATION

The selection of appropriate sampling station locations for studies of areas of sediment contamination depends on whether the study is an initial investigation to determine whether there is sediment contamination (e.g., initial site investigation, due diligence [property transfer] investigation where sediment contamination is suspected) or a sediment cleanup investigation (e.g., where the existence [but not the spatial extent] of sediment contamination has already been documented).

4.2.1 Locations of Sampling Stations for an Initial Investigation of Sediment Contamination

For initial investigations of sediment contamination where there is no prior information available on sediment quality conditions, the appropriate number and locations of sampling stations will be largely dependent on site characteristics. Because station clusters of potential concern are defined in the SMS on the basis of sediment conditions at a minimum of three stations, it is necessary to locate at least three stations in any discrete area for which a decision is to be made. If the area is large or complex, more than three stations will generally be required to adequately characterize sediment conditions. If nothing is known about past uses of the site and there are no obvious sources of sediment contaminants, the stations may be placed randomly throughout the area. In most cases, however, available site information will provide an indication of areas that should be targeted for sediment sampling. The following guidelines should then be used in selecting appropriate sampling station locations:

- If there are areas of known or suspected upland soil contamination, some stations should be placed adjacent to the shoreline, either evenly spaced or focused on areas adjacent to upland areas with high soil contamination.
- Sampling stations should be placed in the vicinity of current or historic point source discharges, including wastewater outfalls, storm drains, combined sewer overflows, oil/water separators, or ditches carrying runoff. If those point sources are located in an area of high flow (e.g., in rivers), it may be necessary to sample instead at the nearest area(s) where sediment deposition is likely to occur.
- Sampling stations should be placed in the vicinity of loading docks, particularly if pipelines carrying oil or other products were or are present. The sampling stations should be placed along the length of the dock where the pipelines were or are present, with some stations placed as close as possible to manifold or loading areas on the dock or at the shoreline.
- If there are areas along the shoreline where boats were refueled, sandblasted, or maintained, sampling stations should be placed offshore of those areas.
- Where groundwater is known or suspected to be contaminated, sampling stations should be placed in any areas (usually intertidal or shallow subtidal) where groundwater may be discharged to the water body (i.e., seeps).

- Sampling stations should be placed in any areas where it is known or suspected that wastes were discharged, spilled, or otherwise released.
- In leased areas and/or if upstream or general areawide contamination is suspected, sampling stations should be placed along the property boundaries.
- If sediment toxicity testing is to be conducted, one or more reference stations should also be sampled to match the sediment grain size of the site sediments. If benthic macroinvertebrate community assemblages are to be evaluated, water depths at reference area and site stations should be similar. Ecology may also allow use of benthic administrative reference performance standard on a case-by-case basis.
- Sampling stations should be placed in depositional areas and/or areas shown to have accumulated sediments over time (e.g., where bathymetric surveys show net accumulation over time).

4.2.2 Locations of Sampling Stations for a Sediment Cleanup Investigation

For investigations where there is information available indicating that the sediments are contaminated, the appropriate number and locations of sampling stations should be selected to address the following objectives:

- Stations should be placed in any areas suggested for an initial investigation (Section 4.2.1), if those areas have not been sampled previously.
- Stations should be placed to determine the spatial boundaries of the area within which CSL numerical criteria (Table 1) or CSL biological effects criteria (Table 2) are exceeded. Stations should be placed closely enough together to provide a reasonably accurate estimate of the area(s) that might need to be considered for active remediation (e.g., dredging or capping). If practical, the areas where the SQS numerical criteria (Table 1) or SQS biological effects criteria (Table 2) are exceeded should also be determined.
- Additional stations may be useful to identify gradients in contamination or the sources of contaminants. Differentiation among various sources of the sediment contaminants is important to determine whether their areas of influence overlap or are separate, to establish whether there has been sufficient source control to proceed with cleanup, and to allocate liability among multiple parties.
- The use of sediment cores at selected stations will be necessary for calculation of the volume of contaminated sediments that must be considered for remedial alternatives that include dredging. Core samples may also be collected and dated to estimate sediment deposition rates (sediment traps also may be used) if a natural recovery evaluation or an evaluation of the potential for recontamination is needed. Analysis of both lead-210 and cesium-137 is highly recommended to assist in the interpretation of core dating results. Cores collected to evaluate the depth of contamination and cores collected for dating normally have different compositing intervals and analyses, and generally cannot be used for both purposes.

- In rivers or other dynamic areas, downstream sampling in depositional areas may be needed if such areas are removed from the original sources. A field survey of grain size and other sediment characteristics such as TOC may be helpful in identifying such areas.

In general, it is highly recommended that each station be specifically located to accomplish one or more of the above objectives, and that the purpose of each station is described in the sampling plan or work plan. This will help minimize the number of samples needed and will ensure that the objectives of sampling are clearly understood by all involved.

4.3 WATER DEPTH

The depth of water at a given sampling station is an important consideration. After sampling stations have been located in close proximity to a specific area of interest (e.g., at the point of discharge from an outfall, at the location of an area of presumed sediment contamination), it is generally advisable to position additional stations with which the site stations will be compared (e.g., along a transect extending away from the source) at similar depth(s) because currents typically flow along contours of equal depth, rather than across them. Reference area stations for benthic macroinvertebrate investigations should also be at a similar depth to any site stations that they will be compared with because benthic macroinvertebrate assemblages are known to be stratified by depth. It is not important, however, that reference area sediments for use in sediment toxicity tests be collected from depths similar to those of the site stations.

Although the guideline of locating stations to be compared with one another at similar depths is generally applicable, it will not always be possible to do so. For example, a grid of stations within an authorized SIZ or within an area of suspected sediment contamination may include stations at various depths. Also, transects designed to investigate potential gradients in sediment conditions between two point sources will, of necessity, include stations at different depths if the point sources are at different depths. Therefore, some flexibility in this general guideline will often be necessary.

4.4 DEPTH INTERVAL IN THE SEDIMENTS TO BE SAMPLED

The numerical chemical criteria and biological effects criteria of the SMS (i.e., the SQS, SIZ_{max}, CSL, and MCUL criteria; Tables 1 and 2) are to be used to characterize the condition of “surface sediments,” which are defined by the SMS as “the settled particulate matter located in the predominant biologically active aquatic zone,” or “the settled particulate matter exposed by human activity (e.g., dredging) to the biologically active aquatic zone or the water column.” Both the sediment source control process and the sediment cleanup process are therefore focused on assessing the condition of sediments where there may be a pathway to ecological or human receptors. Contamination of sediments at depths below the biologically active zone is generally not of as great a concern unless there are mechanisms for the release of the contaminants from the sediments such that exposure may occur.

Past studies in Puget Sound have demonstrated that the majority of benthic macroinvertebrates are generally found within the uppermost 10 cm of the sediments. While some species may be found at deeper depths below the sediment surface, 10 cm is generally assumed to represent a reasonable estimate of the biologically active zone. Although information such as the vertical distribution of

benthic macroinvertebrates or the depth to anoxic sediments could be gathered for each site to be investigated to attempt to delimit the biologically active zone, this procedure is generally not practical. In the absence of site-specific information to the contrary, Ecology has routinely been requiring sampling of the uppermost 10 cm of sediments for comparisons with the applicable criteria. If a project proponent believes that site-specific conditions warrant consideration of a different depth of the biologically active zone, they may submit data to Ecology in support of such a contention.

In some cases, monitoring data may be used to interpret temporal changes in sediment conditions. Such cases may include, for example, ambient monitoring programs, monitoring of conditions in the vicinity of a permitted discharge, or monitoring of a cap placed over contaminated sediments as part of remediation. In such cases, it would be appropriate to limit the sampling to the uppermost 2 cm of sediments, which would represent the most recently deposited particulate matter. If deeper (e.g., 10 cm) sediment samples were collected and analyzed, older sediments would be included in the samples, making it more difficult to detect temporal changes in sediment conditions.

The targeted depth of sediments to be sampled may influence the selection of appropriate sampling station locations because sediment grain size may vary spatially and affect the ability to collect samples from the targeted depth with the available sampling gear. The targeted depth of sediments to be sampled will also influence the selection of the most appropriate sampling gear (see Section 5.2.3).

In sediment cleanup investigations, it will often be important to characterize sediment conditions below the biologically active zone to estimate the volume of sediments potentially requiring remediation. In general, it will be necessary to sample the sediments over the entire depth of suspected contamination, as well as to characterize the sediments just below the contaminated sediments, to predict the condition of surface sediments if the overburden is to be removed as part of remediation. Factors to be considered in assessing the depth of sediments that may be contaminated include:

- The depth of the sediment layer potentially subject to anthropogenic influences (e.g., the depth of sediments that have accumulated over a known horizon such as the maximum dredged depth within a navigation channel or berth)
- The depth of sediments potentially affected by historical activities, recent activities, or ongoing activities
- Local sedimentation rates
- The potential for disturbance or exposure of the sediments, either through intentional (e.g., maintenance or remedial dredging), unintentional (e.g., propeller scour, log-raft grounding), or natural (e.g., erosion) means
- The pathway for introduction of the sediment contaminants (e.g., a one-time spill, a long-term discharge, groundwater intrusion).

4.5 OTHER FACTORS TO BE CONSIDERED IN THE SELECTION OF SAMPLING STATION LOCATIONS

Several additional factors may need to be considered in the selection of appropriate sampling station locations. To be most useful, reference area sediment samples for sediment toxicity tests or for evaluations of benthic macroinvertebrate assemblages should be collected from locations where the sediment grain size and organic content are similar to those in sediments with which they will be compared. Information on the sediment grain size, organic content, and contaminant concentrations of selected Puget Sound reference areas is available in PSEP (1991). Ecology recommends use of reference sediment stations from those areas for all Puget Sound investigations. Freshwater sediment biological tests are often compared against laboratory negative control sediments because recommended freshwater reference areas have not been identified. However, Ecology may approve the use of freshwater reference stations on a case-by case basis. If used, reference stations for freshwater sediment investigations should be selected to match site stations as closely as possible, with the exception of documented contamination. Accordingly, they should be placed as far as practical from known or suspected contaminant sources.

Depending on the purpose of the sediment investigation, it may be prudent to avoid locating sampling stations within areas that have recently been dredged, capped, or otherwise affected by construction activities.

Factors such as bottom slope, currents, vessel traffic, and debris or obstructions on the bottom may also affect the ability to collect sediment samples from a given area and should, therefore, be considered in the selection of appropriate sampling station locations. In some cases, such factors may preclude sampling within an area of interest. In other cases, careful planning of the timing of sampling may allow access to locations during periods of slack currents or reduced vessel traffic.

5. FIELD SAMPLING METHODS

This section provides guidance on the selection of appropriate field sampling methods for sediment investigations. Included are discussions of station positioning methods, sampling equipment, decontamination procedures, sample compositing, sample containers and labels, field documentation, and disposal of contaminated sediments.

5.1 STATION POSITIONING

Station locations for sediment sampling should generally be accurate to within ± 3 m. The sampling location shall be referenced to the actual deployment location of the sampler. Available station positioning methods are described in detail in PSEP (1998). Among the methods described therein, all, with the exception of Loran-C and variable range radar, have the capability of achieving this level of accuracy. Achieving that level of accuracy with a sextant is likely only possible near shore where the locations of fixed objects on shore are precisely known.

Although they are capable of very high accuracy, many of the electronic positioning systems described in PSEP (1998) require manned or unmanned shore stations that must be accurately surveyed. Consequently, they are relatively costly. Most electronic positioning systems are also limited to line-of-sight, which may be impractical in confined locations. Recent advances in global positioning systems (GPS) use satellite telemetry to accurately report position information. Differential global positioning system (DGPS), which uses a reference receiver to greatly enhance the accuracy of standard GPS, is widely available and much less expensive. DGPS units now commercially available are capable of absolute accuracies less than 1 m. Some environmental consulting firms and vessel operators have purchased such equipment, which, if available, is now the station positioning method of choice. DGPS systems are also available for rent from various vendors on a short-term basis. For smaller sediment investigations, other electronic station positioning methods will achieve a similar level of accuracy, but these methods may not be as cost-effective for larger sediment investigations.

For hard-to-reach areas such as under piers or other structures that may be out of line-of-sight, distances can also be measured using tape or other means from known surveyed points or structures.

Station locations should be reported in latitudes and longitudes (to the nearest hundredth of a second) or in state plane coordinates, and the North American datum (NAD) used should be specified. Ecology has recently revised its standard datum from NAD 1927 south zone to NAD 1983 south zone.

5.2 SAMPLING EQUIPMENT

In all sediment investigations, the primary goal of sediment sampling is to collect a sample that accurately represents the sediment condition *in situ*. The sampling equipment selected to collect the sediment samples will depend on the study objectives, the numbers and types of analyses required, the available sampling vessel, weather conditions, the type(s) of sediment being collected, and the depth to which sediment is to be sampled.

There are two general types of sediment samplers: surface sediment samplers and subsurface sediment corers. Collection of surface sediment samples is usually required for physical and chemical analyses and biological tests. Sediment corers can provide samples and profiles of subsurface sediments in which *in situ* conditions are preserved, although the surface layer may be disturbed by some types of corers immediately prior to impact by the water pushed ahead by the corer. Distortion caused by compaction of the sediment during collection can also occur. Sediment corers are most often used for assessment of chemical concentrations in subsurface sediments and for bulk characterization of sediments for evaluation of dredging and disposal options. Although rotary drilling methods would also be capable of collecting long sediment cores, even in areas with consolidated sediments, they have only rarely been used in sediment investigations because of the greater cost of a drilling rig and the size of vessel required to support such a rig.

The advantages and disadvantages of various sediment samplers are summarized in Table 8. In-depth discussions of sediment samplers can be found in Baudo (1990), Burton (1992), Mudroch and MacKnight (1991), APHA (1989), and ASTM (2002). An overview of the two general types of sediment samplers is presented in the following sections.

5.2.1 Surface Sediment Samplers

Surface sediment samplers are usually designed as a box with a set of jaws, or a rotating bucket, that takes a wedge-shaped bite out of the surface sediment. These samplers allow the collection of small or large sample volumes and can be effective for a wide range of surface sediment types. They are easy to use, and the smaller grab samplers allow hand deployment and retrieval from a small boat. Grab samplers generally do not disturb the surface sediment significantly unless they overpenetrate. Penetration depth of grab samplers can be highly variable, depending on sampler design and sediment composition. Disadvantages of the grab sampler include the uncertainty of the depth of sediment penetration and the loss of sample integrity when the sampler is retrieved and opened. Box corers, which consist of a metal box with a closing mechanism to seal the bottom of the core, overcome these disadvantages but are generally heavier and require a winch and a larger sampling vessel.

When selecting a surface sediment sampler, the method of retrieval, the type of sediment, the required sample volume, and the strength of currents at the site should be considered.

5.2.2 Subsurface Sediment Corers

Sediment coring is generally accomplished by inserting a cylindrical tube into the sediment, closing the top of the tube, and withdrawing a sediment core. Subsurface sediment corers differ greatly in size and complexity. Small push corers and small gravity corers can be retrieved by hand and used from a small boat. Larger and more complicated corers such as piston corers, vibracorers, and impact corers require a lifting boom, a winch, larger sampling vessels, and more field crew.

Problems in sediment coring are often associated with inadequate sediment penetration, core distortion, or inadequate core retention during corer retrieval. Heavy weights or vibrations applied to the core tube can improve penetration in dense sediments. Various types of core “catchers” installed at the lower end of the core tube can prevent sample loss in unconsolidated sediments; however, these catchers can also impede penetration in compacted sediment as well as disrupt surface sediments. Corer deployment can also be difficult under certain conditions. It may be

necessary to 3-way anchor the sampling vessel to maintain a steady position while the corer penetrates into the sediment. Trying to core in a strong current or wind, even with the vessel properly anchored, can result in the corer entering the sediment at an angle or core tubes being bent during retrieval.

TABLE 8. ADVANTAGES AND DISADVANTAGES OF VARIOUS SEDIMENT SAMPLERS

Sampler	Advantages	Disadvantages
Surface Sediment Samplers		
Van Veen or Young grab	Useful in deep water and on most substrates. Young grab coated with inert polymer. Large sediment volume obtained. May be subsampled through lid.	Loss of fine surface sediments and sediment integrity may occur during sampling. Incomplete jaw closure possible. Young grab is expensive. Both may require a winch.
Ponar grab	Commonly used. Large volume of sediment obtained. Adequate on most substrates. Weight allows use in deep waters. Good sediment penetration.	Loss of fine surface sediments and sediment integrity may occur during sampling. Incomplete jaw closure occurs occasionally. Heavy and requires a winch.
Petite Ponar grab	Similar in design to the Ponar grab, but smaller and more easily handled from a small boat. Can be deployed by hand without a winch in shallow water.	Small volume. Loss of fine surface sediments and sediment integrity may occur during sampling. Incomplete jaw closure occurs occasionally. May require winch in deeper water.
Ekman or box dredge	Relatively large volume of sediment may be obtained. May be subsampled through lid. Lid design reduces loss of surficial sediments as compared to many dredges. Usable in moderately compacted sediments of varying grain sizes.	Loss of fine surface sediments may occur during sampling. Incomplete jaw closure occurs in coarse-grain sediments or with large debris. Sediment integrity disrupted.
Petersen grab	Large sediment volume obtained from most substrates in deep waters.	Loss of fine surface sediments and sediment integrity. Incomplete jaw closure may occur. May require winch.
Orange-peel grab	Large sediment volume obtained from most substrates. Efficient closure.	Loss of fine surface sediments and sediment integrity. Requires winch.
Shipek grab	Adequate on most substrates.	Small volume. Loss of fine surface sediments and sediment integrity.
Sediment Corers		
Vibracorer	Samples deep sediment for historical analyses. Samples consolidated sediments.	Expensive and requires winch and A-frame. Outer core integrity slightly disrupted.
Impact corer	Samples deep sediment for historical analyses. Samples consolidated sediments.	Large impact corers may be expensive and require specialized sampling vessel. Outer core integrity slightly disrupted.
Box corer	Maintains sediment layering of large volume of sediment. Fine surface sediments retained relatively well. Quantitative sampling allowed. Excellent control of depth of penetration.	Size and weight require power winch; difficult to handle and transport. Some box corers may not be suitable for sampling very coarse sediments.
Hand and gravity corers	Maintain sediment layering of the inner core. Fine surface sediments retained by hand corer. Replicate samples efficiently obtained. Removable liners. Inert liners may be used. Quantitative sampling allowed.	Small sample volume. Gravity corer may result in loss of fine surficial sediments. Liner removal required for repetitive sampling. Not suitable in coarse-grain or consolidated sediments.
Piston corer	Samples deep sediment for historical analyses. Samples consolidated sediments.	Expensive and requires winch and A-frame. Outer core integrity slightly disrupted.

Source: Adapted from Burton (1992).

5.2.3 Recommended Sampling Equipment

In shallow water that would be inaccessible to the large vessels required for deploying large grab samplers or sediment corers, collection of sediment samples is generally accomplished through the use of small grab samplers that can be operated by hand or through the use of hand-held sediment corers. In deeper water accessible to large sampling vessels with power winches, the most commonly used grab sampler in sediment investigations in the Puget Sound region is the modified 0.1-m² Van Veen grab sampler. This grab sampler achieves good penetration (generally 10–20 cm in soft sediments), with minimal disturbance of the sediment surface, and is the recommended sampling equipment for collection of shallow surficial sediments (e.g., 0–2 cm). Recommended procedures for using sediment grab samplers are described in detail in the PSEP protocols (PSEP 1986).

Sediment samples collected with a grab sampler should be carefully inspected to ensure that the following acceptability criteria are satisfied:

- The sampler is not over-filled with sample so that the sediment surface is pressed against the top of the sampler
- Overlying water is present (indicates minimal leakage)
- The overlying water is not excessively turbid (indicates minimal sample disturbance)
- The sediment surface is relatively flat (indicates minimal disturbance or winnowing)
- The desired penetration depth is achieved (e.g., several centimeters more than the targeted sample depth).

If a sediment sample does not meet all of these criteria, it should be rejected. Any sediment grab sampler proposed for use should be capable of achieving these acceptability criteria.

In coarse, sandy sediments, the Van Veen grab may not yield sufficient penetration if the goal is to sample the upper 10 cm or so of the sediments. In that case, it may be necessary to employ a box corer, which is generally capable of acquiring relatively undisturbed sediment cores up to several tens of centimeters in depth. Box corers, however, are usually larger and heavier, requiring a larger sampling vessel for deployment. If the goal is collection of longer sediment cores, use of either vibracorers or impact corers is recommended.

5.3 DECONTAMINATION PROCEDURES

Procedures for decontaminating field sampling equipment are briefly described in PSEP (1997c). Some methods recommended therein (e.g., use of methylene chloride as a solvent) are no longer recommended. In general, decontamination procedures for field sampling equipment should include scrubbing the equipment with a brush and phosphate free detergent solution (e.g., AlconoxTM), rinsing with clean site water, rinsing with solvent (acetone, followed by hexane, is often recommended) and/or acid, and rinsing again with clean site water (for marine or estuarine investigations) or with deionized water (for freshwater investigations). The solvent rinse should be omitted if the samples are to be analyzed for volatile organic compounds. It is generally not necessary for sampling equipment to be decontaminated between collecting composite sediment samples from a single station.

Decontamination procedures routinely applied in analytical laboratories (e.g., use of a hot water rinse) may represent an unnecessary burden in the field. Because the recommended field decontamination procedures are less rigorous, other precautions can be taken to minimize sample contamination. For example, it is generally recommended that the sediments collected for chemical analyses be collected away from the surfaces of the sampling device, thus minimizing the possibility of contaminating a sample with any residues left on the sampling device from earlier sampling. If the general distribution of contamination is known, the potential for cross-contamination can also be reduced by sampling the cleaner sites first and working into areas of highest contamination last. It should be recognized that most sediment sampling gear is lowered through the water column prior to collection of the sediment sample, so the surface of the sampling device will come in contact with potentially contaminated water overlying the sediment surface.

5.4 SAMPLE COMPOSITING

Ideally, chemical analyses should be conducted on discrete sediment samples collected from a single cast of the sampling device at each station. In practice, it is often necessary to collect more than one cast of sediment sample per station when the proposed analyses (including chemical analyses, physical analyses, and toxicity testing) require larger volumes of sediment from the targeted depth (e.g., 0–10 cm) than can be acquired in a single cast of the sampling device. In such cases, multiple casts of the sampling device should be made at the same station, taking care to sample as close as possible to other casts at that station. Sediments collected from the targeted depth with each cast of the sampling device should be combined with the other sediments collected from that depth at that station and, after removal of unrepresentative material (e.g., woody debris, shells, rocks) at the discretion of the chief scientist, homogenized to a uniform appearance by stirring. Subsamples should then be taken from this composite sediment sample for chemical analyses, physical analyses, and toxicity testing.

There are two cases when sediments collected for analysis should not be composited and/or homogenized. First, sediment samples collected for the analysis of potentially volatile chemicals (e.g., total sulfides, volatile organic compounds) should be taken from the sampling device immediately after retrieval and placed in appropriate sample containers prior to homogenization and subsampling for other analyses. Second, sediment samples collected for the analysis of benthic macroinvertebrate community assemblages should be handled as separate and distinct replicates and never be homogenized. Each cast of the sampler should be treated as a separate replicate and should be sieved in its entirety in the field. Sieving the entire sediment sample preserves the spatial representativeness of the benthic sample, which is vitally important because the abundances are

expressed as numbers per unit area. Sediment required for chemical analyses, physical analyses, or toxicity testing should be collected in one or more casts of the sampling device separate from those used for sampling benthic macroinvertebrates at that station.

5.5 SAMPLE CONTAINERS AND LABELS

Different amounts of sediment are required for different types of analyses (Table 9). In designing a sediment investigation, the total amount of sediment required from a given station should be calculated given the types of analyses that will be required. The total amount of sediment to be required will have an effect on the selection of appropriate field sampling equipment, the time required for collection of the samples, and on the provision of appropriately sized field equipment (e.g., bowls for homogenizing the sediments). Allowance should be made for collecting additional sediment that may be required for field duplicate samples, laboratory QA/QC samples, repeated analyses in the case of laboratory error or failure of a toxicity test, and archiving of sediment samples for future analyses, if appropriate. Consideration may be given to collecting twice the volume of sediments required for toxicity tests. Half of these sediments could be archived so that if the tests need to be rerun, resampling will not be necessary. For sediment investigations requiring a broad spectrum of chemical and biological analyses, the total volume of sediments may be rather large (10 L or more). Depending on the depth of sediments to be collected and analyzed, this total amount will, in most cases, require multiple casts to be made with the sampling equipment at each station.

The appropriate types of sample containers depend on the analyses to be conducted (Table 9). If the same laboratory is to perform a number of the analyses, it is not necessary for each type of analysis to have a separate sediment sample jar; two or more sediment subsamples from the same station may be combined in a single sample jar as long as the required container types are the same (Table 9) and the sample preservation methods and maximum holding time are compatible (Table 10). The analytical laboratory should be consulted for guidance on which subsamples are appropriate to combine in the same jar. In most cases, the analytical laboratory should be responsible for providing the sample jars and ensuring that the jars have been cleaned and prepared in accordance with methods described in the PSEP protocols (PSEP 1997c).

Self-adhesive labels should be attached to the outside of all sediment sample containers. The following information should be provided on each sample label in waterproof ink: a sample identification number, the site or project name, the station number, sampling date and time, sampling personnel, and preservative (if appropriate). Benthic macroinvertebrate samples that have been sieved and preserved with formalin should be inserted into sample containers with labels completed as above.

5.6 FIELD DOCUMENTATION

To ensure proper record keeping, most environmental consulting firms or others who regularly conduct sediment investigations have standardized forms for recording field activities. Although the content of such forms may vary, the following represents a suggested list of appropriate forms:

- **Field log**—General information such as the names of the field crew, arrival and departure dates and times, weather, and other miscellaneous observations should be recorded in a field log.
- **Station/sample log**—Each gear deployment event should be recorded on a station log sheet. One or more station/sample log sheets may be completed for each station where sediment sampling is conducted. The station name, date, time, gear and cast number, water depth, and location coordinates should be recorded on each log sheet. Penetration depth, sediment type, sediment color, sediment odor, presence of any organisms, and obvious evidence of contamination (e.g., sheen, wood waste, oil droplets, sandblast grit, paint chips) should also be recorded, as well as the sample type, sample identifier, and unique sample number. If any materials such as woody debris, shells, or rocks are removed prior to homogenizing the sample, the type of material and approximate quantity should be noted. Any deviations from the sampling and analysis plan that were necessitated by field conditions should also be noted on the station/sample log sheet.
- **Sample analysis request form**—Each set of samples sent to a laboratory should be accompanied by a sample analysis request form that identifies the samples by their unique identification number. This form should identify any preservative or other sample pretreatment applied and the analyses to be conducted by referencing a list of specific analytes or the statement of work for the laboratory. One copy of this form should be retained by the chief scientist, and one copy should accompany the shipment of samples to the laboratory.
- **Chain-of-custody form**—See Section 6.2.

It should be the responsibility of the chief scientist to see that all of the necessary forms are completed accurately and that all pertinent information is recorded.

5.7 DISPOSAL OF CONTAMINATED SEDIMENTS

In most sediment investigations, it is generally considered acceptable practice to return excess sediments collected and not needed for analysis to the water at the station where they were collected. Sediments with visible evidence of contamination (e.g., oily droplets, sheen, paint chips, sandblast grit, other wastes) should not be returned to the water, but instead they should be retained in a watertight drum on board the vessel for later disposal onshore. In addition, in some cases sediments may be brought to shore for compositing and subsampling and it may not be practical to

return any excess sediments to the station where they were collected. In such cases, the excess sediments should also be retained for appropriate disposal onshore. Decisions regarding the appropriate disposal for excess sediments may have to await receipt of the results of chemical analyses of the sediments. Sediments are rarely sufficiently contaminated to require special handling and disposal as dangerous or hazardous wastes, but provisions must be made for appropriate disposal if that were the case.

TABLE 9. MINIMUM SEDIMENT SAMPLE SIZES AND ACCEPTABLE CONTAINERS FOR PHYSICAL/CHEMICAL ANALYSES AND SEDIMENT TOXICITY TESTS

Sample Type	Minimum Sample Size ^a	Container Type ^b
Physical/Chemical Analyses		
Grain size	100–150 g	P,G
Total solids	50 g	P,G
Total volatile solids	50 g	P,G ^c
Total organic carbon	25 g	P,G
Ammonia	25 g	P,G
Total sulfides	50 g	P,G ^c
Acid volatile sulfides	50 g	G ^c
Oil and grease	100 g	G
Metals (except mercury)	50 g	P,G
Mercury	1 g	P,G
Methyl Mercury	100 g	G, T ^c
Organotins	100 g	G (for bulk sediment) Pc, T (for interstitial)
Volatile organic compounds	50 g	G,T ^c
Semivolatile organic compounds	50–100 g	G
Pesticides and PCBs	50–100 g	G,T
Toxicity Tests		
Marine		
Amphipod (<i>Rhepoxynius abronius</i> , <i>Ampelisca abdita</i> , or <i>Eohaustorius estuarius</i>)	0.25 L per replicate (1.25 L per station)	G
Bivalve larvae (<i>Crassostrea gigas</i> , <i>Mytilus</i> sp.)	200 g (wet weight) per station	G
Echinoderm larvae (<i>Strongylocentrotus purpuratus</i> , <i>Strongylocentrotus droebachiensis</i> , or <i>Dendraster excentricus</i>)	200 g (wet weight) per station	G
Juvenile polychaete (<i>Neanthes</i> sp.)	0.25 L per replicate (1.25 L per station)	G
Microtox® 100% porewater	0.5 L per station	G
Freshwater		
Amphipod (<i>Hyalella azteca</i>)	0.1 L per replicate (0.8 L per station)	G
Midge (<i>Chironomus tentans</i>)	0.1 L per replicate (0.8 L per station)	G
Frog embryo (<i>Xenopus laevis</i>)	45 g (dry weight) per station	G
Microtox® 100% porewater	0.5 L per station	G

^a Recommended minimum field sample sizes (wet weight basis) for one laboratory analysis. If additional laboratory analyses are required (e.g., laboratory replicates, allowance for having to repeat an analysis), the field sample size should be increased accordingly. For some chemical analyses, smaller sample sizes may be used if comparable sensitivity can be obtained by adjusting instrumentation, extract volume, or other factors of the analysis.

^b P - linear polyethylene; G - borosilicate glass; Pc - Polycarbonate; T - polytetrafluorethylene (PTFE, Teflon®)-lined cap.

^c No headspace or air pockets should remain. If such samples are frozen in glass containers, breakage of the container is likely to occur.

TABLE 10. STORAGE TEMPERATURES AND MAXIMUM HOLDING TIMES FOR PHYSICAL/CHEMICAL ANALYSES AND SEDIMENT TOXICITY TESTS

Sample Type	Sample Preservation Technique	Maximum Holding Time
Grain Size	Cool, 4°C	6 months
Total solids	Cool, 4°C Freeze, -18°C	14 days 6 months
Total volatile solids	Cool, 4°C Freeze, -18°C	14 days 6 months
Total organic carbon	Cool, 4°C Freeze, -18°C	14 days 6 months
Ammonia	Cool, 4°C	7 days
Total sulfides	Cool, 4°C, zero headspace required (a 250 ml sample for 5 ml of 2 N zinc acetate)	7 days
Acid Volatile Sulfides	Cool, 4°C, zero headspace required	14 days
Oil and grease	Cool, 4°C (HCl) Freeze, -18°C (HCl)	28 days 6 months
Metals (except mercury)	Cool, 4°C Freeze, -18°C	6 months 2 years
Mercury	Freeze, -18°C	28 days
Methyl Mercury	Freeze, -18°C	28 days
Organotins	Cool, 4°C Freeze, -18°C (for interstitial water analysis, extract water prior to freezing)	14 days 1 year
after extraction	Cool, 4°C	40 days
Semivolatile organic compounds; pesticides and PCBs; PCDDs/PCDFs	Cool, 4°C Freeze, -18°C	14 days 1 year
after extraction	Cool, 4°C	40 days
Volatile organic compounds	Cool, 4°C, zero headspace required	14 days
Sediment toxicity tests	Cool, 4°C Cool, 4°C, nitrogen atmosphere	2 weeks ^a 8 weeks ^a

Note: HCl - hydrochloric acid
 PCB - polychlorinated biphenyl
 PCDD - polychlorinated dibenzo-p-dioxin
 PCDF - polychlorinated dibenzofuran

^a The PSEP (1995) protocols recommend a maximum holding time of 2 weeks, but recognize that it may be necessary under certain circumstances to extend the holding time to accommodate a tiered testing strategy in which chemical analyses are conducted prior to toxicity testing. The DMMP, for example, allows sediments to be stored in the dark in a nitrogen atmosphere at 4°C for up to 8 weeks.

6. SAMPLE HANDLING PROCEDURES

This section provides guidance on procedures designed to ensure sample integrity between the time of field collection and the time of analysis in the laboratory. The best analytical methods and procedures can fail and yield incorrect data if samples are improperly handled and prepared. Guidance is included on sample storage requirements, chain-of-custody procedures, and delivery of the samples to analytical laboratories.

6.1 SAMPLE STORAGE REQUIREMENTS

Appropriate methods for sample preservation (e.g., freezing, refrigerating, fixation) and sample storage (e.g., maximum holding time) are dependent on the type of analyses that a sample is to undergo (e.g., chemical/physical analyses, toxicity testing, analysis of benthic macroinvertebrate communities).

6.1.1 Sample Storage Requirements for Chemical/Physical Analyses

All sediment samples intended for chemical/physical analyses should be transported to the analytical laboratory on ice at 4°C. Upon receipt at the laboratory, storage temperature and maximum holding time will be determined based on the analyses to be performed. In some cases, the requirements may vary, depending on how long it will be before the laboratory expects to analyze the samples. Required storage temperature and maximum holding time are presented in Table 10. Sediment samples may be archived for later analysis by freezing them and holding them at -18°C except for the analyses of grain size, ammonia, total sulfides and volatile organic compounds; allowance for expansion of the sample should be made to prevent breakage of the sample bottles upon freezing. The archived samples may be thawed within the maximum holding times listed in Table 10 and analyzed for any of the analytes, except for ammonia, total sulfides, volatile organic compounds, and grain size.

6.1.2 Sample Storage Requirements for Toxicity Testing

All sediment samples intended for toxicity testing should be transported to the toxicology laboratory on ice at 4°C. The samples should be held in the laboratory in the dark at 4°C and should not be frozen. Note: There are special cases where freezing a sediment sample prior to conducting bioassays may be appropriate to eliminate indigenous species that may interfere bioassay test results. In these cases, Ecology must approve of such plans prior to freezing the sample. According to the PSEP (1995) toxicity test guidelines, all toxicity tests should be initiated as soon as possible (ideally within 2 weeks of collecting the samples in the field). Maximum holding times are important in investigations that rely on tiered testing, in which chemical analyses are conducted prior to toxicity testing. This tiered approach is used by the DMMP for evaluating dredged sediments for unconfined, open-water disposal in Puget Sound. The DMMP allows sediment samples to be held at 4°C in the dark in a nitrogen atmosphere up to 8 weeks prior to toxicity testing. Because the results of recent studies evaluating the effects of sediment holding time on sediment toxicity have been variable, it is prudent to store sediments for as short time as possible after field collection. If there are no other compelling reasons (such as the tiered testing schedule under the DMMP), a

maximum holding time of 2 weeks is recommended, based on the best professional judgment of regional investigators and on logistical constraints. If logistical constraints mandate a holding time greater than 2 weeks, the DMMP sample storage requirements should be followed.

Regardless of the holding time used for an investigation, it is essential that the holding time and conditions be reported along with the toxicity test results.

6.1.3 Sample Storage Requirements for Analysis of Benthic Macroinvertebrate Communities

Sediment samples to be analyzed for benthic macroinvertebrate community characteristics should generally be sieved and fixed in the field for the reasons described in the PSEP (1987) protocols. If sieving must be delayed, it is possible to fix the sediment samples in their entirety and sieve at a later time, but the precautions described in the PSEP (1987) protocols should be followed. Fixation of the material retained on the sieve is generally accomplished by the addition of formalin. A vital stain (e.g., rose bengal) may be added to facilitate sorting of the samples in the laboratory, and a relaxant (e.g., magnesium chloride) may be used to decrease breakage of the organisms and to facilitate taxonomic identification. The samples should remain exposed to formalin for a minimum of 24 hours (to ensure adequate fixation) and a maximum of 7–10 days (to reduce the risk of decalcifying molluscs and echinoderms). Thereafter, the samples should be rinsed thoroughly and transferred to a 70-percent solution of ethanol for storage until taxonomic sorting and identification.

6.2 CHAIN-OF-CUSTODY PROCEDURES

Provisions should be included in all sediment sampling and analysis plans for documenting the chain-of-custody between sample collection and arrival at the analytical laboratory. Each sample container should be recorded on a chain-of-custody form at the end of each day's sampling. The chain-of-custody form should be completed in duplicate or triplicate and should identify the sample collection date and time, the project, and the chief scientist. It is the chief scientist's responsibility to ensure that these forms are accurately completed and signed at the time of sample transfer. One copy of the form should be placed in a waterproof bag and attached to the inside of each sample cooler. The chief scientist should keep one copy of the form. In the event that sediment subsamples are being sent to different laboratories (e.g., chemistry laboratory, toxicology laboratory), separate chain-of-custody forms should be prepared for each laboratory and each sample cooler. The sample cooler should be sealed with chain-of-custody tape and kept in a secure location when not in the presence of the chief scientist or assigned crew.

6.3 DELIVERY OF SAMPLES TO ANALYTICAL LABORATORIES

Individual sample bottles should be sealed with tape to prevent leakage, and glass bottles should be wrapped with a shock absorbent material (e.g., plastic bubble wrap) to prevent breakage during shipment. The sample bottles should then be placed in individual plastic bags and packed in an ice chest or other suitable container with bubble wrap, vermiculite, or other packing material to prevent shifting of the contents during transport. Sufficient ice to ensure that samples are kept at 4°C until delivery to the laboratory should be sealed in plastic bags to prevent contamination of the samples from melt water and placed in the ice chest or other container. Sample packaging and shipping procedures should follow U.S. Department of Transportation regulations specified in 49 CFR 173.6

and 49 CFR 173.24. The shipping containers should be clearly labeled with all pertinent information (e.g., name of project; time and date container was sealed; person sealing the container; name, address, and telephone number of the party sending the samples; name, address, and telephone number of the analytical laboratory). One copy of the chain-of-custody form should be placed in a waterproof bag and sealed inside the lid of the container, and a chain-of-custody seal should be placed on the outside of the container prior to shipment or transfer to the laboratory.

To ensure timely delivery of samples to the analytical laboratories, couriers or overnight express delivery services are typically employed. Generally, the sampling and analysis plan should describe the method of delivery necessary to ensure receipt of the samples by the laboratory within 24 hours of being sealed. Upon receipt of the samples at the laboratory, the chain-of-custody seal should be broken, the condition of the samples should be noted and recorded, and the chain-of-custody form should be signed by laboratory personnel. The samples should be promptly placed in appropriate storage facilities, maintaining proper temperature, atmosphere, and light conditions until the samples can be analyzed.

7. QUALITY ASSURANCE AND QUALITY CONTROL REQUIREMENTS

QA/QC procedures are generally discussed in detail elsewhere (e.g., PSEP and ASTM protocols). The following subsections summarize QA/QC requirements that should be part of each sediment sampling and analysis plan and direct the reader to pertinent source documents for more detailed information.

7.1 QUALITY ASSURANCE AND QUALITY CONTROL FOR SEDIMENT CHEMICAL ANALYSES

Summaries of applicable QA/QC procedures to be performed by the laboratory in conjunction with environmental sample analysis are provided in Table 11 for analyses of organic compounds, Table 12 for analyses of metals, and Table 13 for analyses of conventional sediment variables.

Control limits different from those specified in Table 12, 13 and 14 may be specified in project planning documents when appropriate. Project specific control limits must be developed in consultation with the laboratory.

The analyst is responsible for monitoring the analysis, identifying analytical problems and taking corrective actions prior to the expiration of sample holding times. The laboratory should communicate analytical problems to the project manager during the analysis when the laboratory is having difficulty in meeting any project specific requirements, including detection limits. When reasonable corrective actions do not bring QC sample results into control limit, resulting data may need to be qualified, depending on specific project requirements as documented in the project planning document.

7.2 QUALITY ASSURANCE AND QUALITY CONTROL FOR BIOLOGICAL ANALYSES

Marine and Estuarine Sediment Toxicity Test Conditions

QA/QC requirements for the various biological tests are described in detail in the protocols for each type of test (PSEP 1987; PSEP 1995; ASTM 2000, 1991; U.S. EPA 1994; Nebeker et al. 1984; Microbics Corporation 1992). Requirements for marine sediment toxicity tests generally deal with ensuring that water quality conditions remain within acceptable limits during the tests and do not contribute to observed effects and thereby confound interpretations regarding the toxicity of the sediments. For most of the marine sediment toxicity tests, there are control limits for temperature, salinity, and dissolved oxygen (Table 14); however, there are generally no control limits specified for pH except for Microtox®, although measurements of pH may sometimes be useful in interpreting test results. Monitoring of sulfides and ammonia in the test chambers is required for marine sediments where either of these chemicals is suspected as being a problem, and is also useful for interpreting test results. The marine sediment toxicity test protocols also require the testing of negative controls, positive controls, and reference sediments (Table 14). The reference sediments should have the percent fines within 20 % of the sample percent fines. The SMS include marine

sediment performance standards for control and reference sediment toxicity test results (WAC 173-204-315(2)), which are summarized in Table 14.

QA/QC requirements for analyses of benthic macroinvertebrate assemblages are described in the PSEP (1987) protocols and generally deal with checks on the completeness of sorting the samples and the accuracy of taxonomic identifications. The SMS also include performance standards for reference area benthic macroinvertebrate assemblages in Puget Sound (WAC 173-204-315(2)(c)). The reference area benthic macroinvertebrate assemblage should be representative of areas of Puget Sound removed from significant sources of contaminants and, to the extent possible, should have the following characteristics:

- The taxonomic richness of benthic macroinvertebrates and the abundances of higher taxonomic groups should reflect seasonality and natural physical-chemical conditions (e.g., grain size composition and interstitial salinity of sediments, water depth) in a reference area and not be obviously depressed as a result of chemical toxicity
- Normally abundant species that are known to be sensitive to chemical contaminants should be present
- Normally rare species that are known to become abundant only under chemically disturbed conditions should be rare or absent
- The abundances of normally rare species that control community structure through physical modification of the sediment should be similar to those observed at the test sediment site.

Since 1993, Ecology has been developing possible modifications to the SMS interpretive methods and decision criteria for analyses of benthic macroinvertebrate assemblages, as well as reevaluating Puget Sound reference area conditions. In addition to the current Major Taxa Abundance benthic endpoint in the SMS rule, Ecology is considering use of additional benthic endpoints recommended in Puget sound Reference Value Project Task3: Development of Benthic Effects Sediment Quality Standards, April 1999. Ecology is also considering use of benthic administrative reference ranges as identified in Development of Reference Ranges for Benthic Assessment Endpoints in Puget Sound, January 1996. These benthic endpoints and reference ranges are being designed into SEDQAUL version 5 to be released in late 2003.

Freshwater Sediment Toxicity Test Conditions

QA/QC requirements for freshwater sediment toxicity tests generally deal with ensuring that water quality conditions remain within acceptable limits during the tests and do not contribute to observed effects and thereby confound interpretations regarding the toxicity of the sediments. For the freshwater sediment toxicity tests, there are control limits for temperature and dissolved oxygen (Table 15); however, there are generally no control limits specified for pH except for Microtox®, although measurements of pH may sometimes be useful in interpreting test results. Monitoring of sulfides and ammonia in the test chambers may be appropriate for freshwater sediments where either of these chemicals is suspected as being a problem, and may also be useful for interpreting test results. The freshwater sediment toxicity test protocols also require the testing of negative controls, positive controls, and reference sediments (Table 15). Freshwater sediment performance standards for control and reference sediment toxicity test results in Table 15 have been recently recommended

by Ecology and are identified in SEQUAL version 5 and in Development of Freshwater Sediment Quality Values For Use In Washington State, September 2002.

7.3 DATA QUALITY ASSURANCE REVIEW

The project proponent is responsible for the quality assurance review of data generated in any sediment investigation. There are two levels of quality assurance review applicable for sediment data, referred to as QA1 and QA2 (PTI 1989a,b). The analytical elements evaluated under each level of review are identified in Tables 11-15.

A QA1 review represents a level of quality assurance review acceptable for most sediment investigations conducted under the SMS, as well as for sediment sampling and analyses conducted to determine the suitability of dredged material for unconfined, open-water disposal at a DMMP site (PTI 1989a). A chemistry data review at this level evaluates field collection and handling, completeness, data presentation, detection limits (The PQL shall not be greater than the SQS of the SMS.), and the acceptability of test results for method blanks, certified reference materials, analytical replicates, matrix spikes and surrogate recoveries. A QA1 review of bioassay data covers similar field and reporting elements and evaluates the acceptability of test results for positive controls, negative controls, reference sediment, replicates, and experimental conditions (temperature, salinity, pH, dissolved oxygen). Detailed guidance on QA1 review procedures is provided in PTI (1989a) and is available from Ecology.

A QA2 review represents a more vigorous level of quality assurance review, and is appropriate for sediment data that are to be used for the development of AET values and SMS numerical chemical criteria. A QA2 review is also recommended in cases where the data may be used in litigation. At this level a chemistry data review examines the complete analytical process from calculation of instrument and method detection limits, practical quantitation limits, final dilution volumes, sample size, and wet-to-dry ratios to quantification of calibration compounds and all analytes detected in blanks and environmental samples. QA2 review procedures are described in PTI (1989b), also available from Ecology.

TABLE 11. QUALITY CONTROL PROCEDURES FOR ORGANIC ANALYSES

Quality Control Procedure	Frequency	Control Limit	Corrective Action
Instrument Quality Assurance/Quality Control			
Initial Calibration^a	See reference method(s) in Table 5	See reference method(s) in Table 5	Laboratory to recalibrate and reanalyze affected samples
Continuing Calibration^a	See reference method(s) in Table 5	See reference method(s) in Table 5	Laboratory to recalibrate if correlation coefficient or response factor does not meet method requirements
Method Quality Assurance/Quality Control			
Holding Times^{ab}	Not applicable	See Table 10	Qualify data or collect fresh samples in cases of extreme holding time or temperature exceedance
Detection Limits^{ab}	Annually	See Table 5	Laboratory must initiate corrective actions (which may include additional cleanup steps as well as other measures, see Table 5) and contact the QA/QC coordinator and/or project manager immediately.
Method Blanks^{ab}	One per sample batch or every 20 samples, whichever is more frequent, or when there is a change in reagents	Analyte concentration < PQL	Laboratory to eliminate or greatly reduce laboratory contamination due to glassware or reagents or analytical system; reanalyze affected samples
Analytical (Laboratory) Replicates^{ab} and Matrix Spike Duplicates^{ab}	1 duplicate analysis with every sample batch or every 20 samples, whichever is more frequent; Use analytical replicates when samples are expected to contain target analytes. Use matrix spike duplicates when samples are not expected to contain target analytes	Compound and matrix specific RPD ≤ 35 % applied when the analyte concentration is > PQL	Laboratory to redigest and reanalyze samples if analytical problems suspected, or to qualify the data if sample homogeneity problems suspected and the project manager consulted
Matrix Spikes^{ab}	One per sample batch or every 20 samples, whichever is more frequent; spiked with the same analytes at the same concentration as the LCS	Compound and matrix specific	Matrix interferences should be assessed and explained in case narrative accompanying the data package.
Surrogate Spikes^{ab}	Added to every organics sample as specified in analytical protocol	Compound specific	Follow corrective actions specified in SW-846.
Laboratory Control Samples (LCS), Certified or Standard Reference Material^{ab}	One per analytical batch or every 20 samples, whichever is more frequent	Compound specific, recovery and relative standard deviation for repeated analyses should not exceed the control limits specified in the method of Table 5 or performance based intralaboratory control limits, whichever is lower	Laboratory to correct problem to verify the analysis can be performed in a clean matrix with acceptable precision and recovery; then reanalyze affected samples

TABLE 11. (continued)

Quality Control Procedure	Frequency	Control Limit	Corrective Action
Field Quality Assurance/Quality Control			
Field Replicates	At project manager's discretion	Not applicable	Not applicable
Field Blanks	At project manager's discretion	Analyte concentration \leq PQL	Compare to method blank results to rule out laboratory contamination; modify sample collection and equipment decontamination procedures

- Notes:
- CLP** - Contract Laboratory Program (EPA)
 - COV** - coefficient of variation
 - EPA** - U.S. Environmental Protection Agency
 - PCB** - polychlorinated biphenyl
 - PQL** - practical quantitation limit
 - RPD** - relative percent difference
 - RSD** - relative standard deviation
 - SVOC** - semivolatile organic compound
 - VOC** - volatile organic compound

^a Subject to QA2 review

^b Subject to QA1 review

TABLE 12. QUALITY CONTROL PROCEDURES FOR METAL ANALYSES

Quality Control Procedure	Frequency	Control Limit	Corrective Action
Instrument Quality Assurance/Quality Control			
Initial Calibration ^a	Daily	Correlation coefficient ≥ 0.995	Laboratory to optimize and recalibrate the instrument and reanalyze any affected samples
Initial Calibration Verification ^a	Immediately after initial calibration	90–110 % recovery for ICP-AES, ICP-MS and GFAA (80–120 % for mercury), or performance based intralaboratory control limits, whichever is lower	Laboratory to resolve discrepancy prior to sample analysis
Continuing Calibration Verification ^a	After every 10 samples or every 2 hours, whichever is more frequent, and after the last sample	90–110 % recovery for ICP-AES and GFAA, 85-115 % for ICP-MS (80–120 % for mercury)	Laboratory to recalibrate and reanalyze affected samples
Initial and Continuing Calibration Blanks ^a	Immediately after initial calibration, then 10 percent of samples or every 2 hours, whichever is more frequent, and after the last sample	Analyte concentration < PQL	Laboratory to recalibrate and reanalyze affected samples
ICP Interelement Interference Check Samples ^a	At the beginning and end of each analytical sequence or twice per 8 hour shift, whichever is more frequent	80–120 percent of the true value	Laboratory to correct problem, recalibrate, and reanalyze affected samples
Method Quality Assurance/Quality Control			
Holding Times ^{ab}	Not applicable	See Table 10	Qualify data or collect fresh samples
Detection Limits ^{ab}	Not applicable	See Table 5	Laboratory must initiate corrective actions and contact the QA/QC coordinator and/or the project manager immediately
Method Blanks ^{ab}	With every sample batch or every 20 samples, whichever is more frequent	Analyte concentration \leq PQL	Laboratory to redigest and reanalyze samples with analyte concentrations < 10 times the highest method blank
Analytical (Laboratory) Replicates ^{ab} and Matrix Spike Duplicates ^{ab}	1 duplicate analysis with every sample batch or every 20 samples, whichever is more frequent; Use analytical replicates when samples are expected to contain target analytes. Use matrix spike replicates when samples are not expected to contain target	RPD ≤ 20 % applied when the analyte concentration is > PQL	Laboratory to redigest and reanalyze samples if analytical problems suspected, or to qualify the data if sample homogeneity problems suspected and the project manager consulted

TABLE 12. (continued)

Quality Control Procedure	Frequency	Control Limit	Corrective Action
analytes			
Matrix Spikes ^{ab}	With every sample batch or every 20 samples, whichever is more frequent	75–125 % recovery applied when the sample concentration is < 4 times the spiked concentration for a particular analyte	Laboratory may be able to correct or minimize problem; or qualify and accept data
Laboratory Control Samples, Certified or Standard Reference Material ^{ab}	Overall frequency of 5 percent of field samples	80– 20 % recovery, or performance based intralaboratory control limits, whichever is lower	Laboratory to correct problem to verify the analysis can be performed in a clean matrix with acceptable precision and recovery; then reanalyze affected samples
Field Quality Assurance/Quality Control			
Field Replicates	At project manager's discretion	Not applicable	Not applicable
Field Blanks	At project manager's discretion	Analyte concentration ≤ PQL	Compare to method blank results to rule out laboratory contamination; modify sample collection and equipment decontamination procedures

Notes:

- CLP - Contract Laboratory Program (EPA)
- EPA - U.S. Environmental Protection Agency
- GFAA - graphite furnace atomic absorption
- ICP-MS - inductively coupled plasma/mass spectrometry
- ICP-AES - inductively coupled plasma/atomic emission spectrometry
- PQL - practical quantitation limit
- RPD - relative percent difference

Instrument and method QA/QC monitor the performance of the instrument and sample preparation procedures, and are the responsibility of the analytical laboratory. When an instrument or method control limit is exceeded, the laboratory is responsible for correcting the problem and reanalyzing the samples. Instrument and method QA/QC results reported in the final data package should always meet control limits (with a very small number of exceptions that apply to difficult analytes as specified by EPA for the CLP). If instrument and method QA/QC procedures meet control limits, laboratory procedures are deemed to be adequate. Matrix and field QA/QC procedures monitor matrix effects and field procedures and variability. Although poor analytical procedures may also result in poor spike recovery or duplicate results, the laboratory is not held responsible for meeting control limits for these QA/QC samples. Except in the possible case of unreasonably large exceedances, any reanalyses will be performed at the request and expense of the project manager.

^a Subject to QA2 review

^b Subject to QA1 review

TABLE 13. QUALITY CONTROL PROCEDURES FOR CONVENTIONAL ANALYSES

Analyte	Suggested Control Limit						
	Initial Calibration ^a	Continuing Calibration ^a	Calibration Blanks ^a	Laboratory Control Samples	Matrix Spikes ^{ab}	Laboratory Triplicates ^{ab}	Method Blank ^{ab}
Ammonia	Correlation coefficient ≥ 0.995	90–110 percent recovery	Analyte concentration \leq PQL	80–120 percent recovery	75–125 percent recovery	20 % RSD	Analyte concentration \leq PQL
Grain size	Not applicable	Not applicable	Not applicable	Not applicable	Not applicable	20 % RSD	Not applicable
Total organic carbon	Correlation coefficient ≥ 0.995	90–110 percent recovery	Analyte concentration \leq PQL	80–120 percent recovery	75–125 percent recovery	20 % RSD	Analyte concentration \leq PQL
Total sulfides	Correlation coefficient ≥ 0.990	85–115 percent recovery	Not applicable	65–135 percent recovery	65–135 percent recovery	20 % RSD	Analyte concentration \leq PQL
Total solids	Not applicable	Not applicable	Not applicable	Not applicable	Not applicable	20 % RSD	Analyte concentration \leq PQL

Notes:

- EPA - U.S. Environmental Protection Agency
- PSEP - Puget Sound Estuary Program
- PQL - practical quantitation limit
- QA/QC - quality assurance and quality control
- RSD - relative standard deviation

^a Subject to QA2 review

^b Subject to QA1 review

EPA and PSEP control limits are not available for conventional analytes. The control limits provided above are suggested limits only. They are based on EPA control limits for metals analyses (see Table 12), and an attempt has been made to take into consideration the expected analytical accuracy using PSEP methodology. Corrective action to be taken when control limits are exceeded is left to the Project Manager's discretion. The corrective action indicated for metals in Table 12 may be applied to conventional analytes.

When applicable, the QA/QC procedures indicated in this table should be completed at the same frequency as for metals analyses (see Table 12).

TABLE 14. MARINE AND ESTUARINE SEDIMENT TOXICITY TEST CONDITIONS

Toxicity Test <i>Test Species</i>	Frequency of Water Quality Monitoring		Control Limits			Control Samples			Performance Standards ^{a,f}
	Temperature, Salinity, Dissolved Oxygen, pH	Sulfides, Ammonia	Temp (°C)	Salinity (ppt)	Dissolved Oxygen (% satura- tion)	Negative Control	Positive Control	Reference Sediment	
Acute Effects Tests									
Amphipod <i>Rhepoxynius abronius</i>	Daily	Beginning / end	15±1	28±1	NA ^b	Clean sediment	Reference toxicant in seawater	Yes	Mean mortality in control sediment <10 percent and mean mortality in reference sediment <25 percent.
Amphipod <i>Ampelisca abdita</i>	Daily	Beginning / end	20±1	28±1	NA ^b	Clean sediment	Reference toxicant in seawater	Yes	Mean mortality in control sediment <10 percent and mean mortality in reference sediment <25 percent.
Amphipod <i>Eohaustorius estuaris</i>	Daily	Beginning / end	15±1	Ambient (same as interstitia l)	NA ^b	Clean sediment	Reference toxicant in seawater	Yes	Mean mortality in control sediment <10 percent and mean mortality in reference sediment <25 percent.
Larval Oyster (<i>Crassostrea gigas</i>)	Daily	Begin- ning/ end	20±1	28±1	>60 ^c	Clean seawater	Reference toxicant in seawater	Yes	Mean normal survivorship in seawater control ≥70 at time final
Larval Mussel (<i>Mytilus</i> sp.) ^d	Daily	Begin- ning/ end	16±1	28±1	>60 ^c	Clean seawater	Reference toxicant in seawater	Yes	Mean normal survivorship in seawater control ≥70 at time final.
Larval Sand dollar (<i>Dendraster excentricus</i>)	Daily	Beginning / end	15±1	28±1	>60 ^c	Clean seawater	Reference toxicant in seawater	Yes	Mean normal survivorship in seawater control ≥70 at time final.
Larval Sea urchin (<i>Strongylo- centrotus purpuratus</i> or <i>S. droebach- iensis</i>)	Daily	Beginning / end	15±1	28±1	>60 ^c	Clean seawater	Reference toxicant in seawater	Yes	Mean normal survivorship in seawater control ≥70 at time final.

TABLE 14. (continued)

Toxicity Test <i>Test Species</i>	Frequency of Water Quality Monitoring		Control Limits			Control Samples			Performance Standards ^{a,f}
	Temperature, Salinity, Dissolved Oxygen, pH	Sulfides, Ammonia	Temp (°C)	Salinity (ppt)	Dissolved Oxygen (% saturation)	Negative Control	Positive Control	Reference Sediment	
Chronic Effects Tests									
Juvenile polychaete <i>Neanthes sp.</i>	Every third day	Beginning / end (optional)	20±1	28±2	NA ^b	Clean sediment	Reference toxicant in seawater	Yes	Mean mortality in control sediment <10 %, Mean individual growth rate ≥ 0.72 mg/ind/day. And Test failed when growth rate < 0.38 mg/ind/day. Mean individual growth rate in reference sediment ≥80 percent of mean individual growth rate in control sediment.
Microtox (porewater) <i>Vibrio fisheri</i>	7.9 ≤ pH ≤ 8.2	NA	15	See Subappendix B	50-100	Deionized or distilled water. See Subappendix B to adjust salinity.	Reference toxicant	Yes	Mean light output of final control ≥ 80 percent of mean light output of initial control. Reference final mean light output > 80% of control final mean light output.

Notes: NA - not applicable
ppt - parts per thousand

^a Performance standards in WAC 173-204-315(2).

^b Continuous aeration is required by the protocol, so the dissolved oxygen concentration should not be cause for concern.

^c Aeration should be initiated if the dissolved oxygen concentration declines below 60 percent of saturation.

^d PSEP (1995) and the SMS refer only to the use of *Mytilus edulis* in this test. However, it may be more accurate to refer to the test organisms used as members of the *Mytilus edulis* sibling species complex. Recent taxonomic studies of west coast mussels (McDonald and Koehn 1988; McDonald et al. 1991; Geller et al. 1993) indicate that the mussels in Washington state are either *M. trossulus* (a more northerly species) or *M. galloprovincialis* (a more southerly species). The mussel species being used by most biological laboratories in the northwest is *M. galloprovincialis*. *M. edulis* does not occur locally and is therefore unlikely to be used in toxicity tests. This does not constitute a change in test organisms, but an acknowledgment that the organisms may have been previously misidentified.

^e Formerly known as *Photobacterium phosphoreum*.

^f Subject to QA and QA2 review. Please see SEDQUAL Bioassay Sediment Quality Value Groups for specific performance standards recommendations.

TABLE 15. FRESHWATER SEDIMENT TOXICITY TEST CONDITIONS

Toxicity Test Test Species	Frequency of Water Quality Monitoring		Control Limits		Control Samples			Performance Standards ^d
	Tempera- ture, Dissolved Oxygen	Hardness, Alkalinity, Conductivity, pH, sulfides, and ammonia	Temp (°C)	Dissolved Oxygen (% saturation)	Negative Control	Positive Control	Reference Sediment	
Amphipod <i>Hyalella</i> <i>azteca</i>	Daily	pH monitored daily, Others monitored beginning/end	23±1 ^a	40–100	Clean sediment	Reference toxicant in freshwa- ter	Yes	Mean mor- tality in control sedi- ment ≤ 20 percent
Midge <i>Chironomus</i> <i>tentans</i>	Daily	pH monitored daily, Others monitored beginning/end	23±1 ^a	40–100	Clean sediment	Reference toxicant in freshwa- ter	Yes	Mean mortality in control sediment ≤ 30 percent
Frog embryo (FETAX) <i>Xenopus</i> <i>laevis</i>	DO at beginning /end	pH monitored daily, Others monitored beginning/end	24±2	NA	FETAX solution	Reference toxicant in FETAX solution	Yes	Mean mortality in negative control < 10 percent, or mean malformation occurrence in negative control < 7 percent
Microtox (porewater) <i>Vibrio fischeri</i> ^c	NA	7.9 ≤ pH ≤ 8.2 salinity adjusted to 20±2 ppt	15	50-100	Deioized or distilled water, salinity, DO & pH adjusted like test	Reference toxicant	Yes	Mean light output of final control ≥ 72 percent of mean light output of initial control. Reference final mean light output > 80% of control final mean light output

Notes: DO - dissolved oxygen
 FETAX - frog embryo teratogenesis assay *Xenopus*
 NA - not applicable

^a The temperature of the water bath or the exposure chamber should be continuously monitored. The daily mean temperature must be within ±1°C of the desired temperature. The instantaneous temperature must always be within ±3°C of the desired temperature.

^b Continuous aeration is required by the protocol, so the dissolved oxygen concentration should not be cause for concern.

^c Formerly known as *Photobacterium phosphoreum*.

^d Subject to QA1 and QA2 review. Please see SEDQUAL Bioassay Sediment Quality Value Groups for specific performance standards recommendations.

8. DATA ANALYSIS, RECORD KEEPING, AND REPORTING REQUIREMENTS

This section provides guidance on a project proponent's responsibilities with regard to data analysis, record keeping, and reporting. Sediment sampling and analysis plans should describe the proposed approach to each of these issues.

8.1 DATA ANALYSIS

Data analysis means the numerical and/or statistical analysis of chemistry and biological tests to determine whether there is exceedance of sediment chemistry and biological SQS and CSL criteria and exceedance of detection limits over chemical SQS and/or CSL for the undetected results, and the identification of these exceedance stations on the map, to plan for a cleanup and/or source control, to determine whether a cleanup and/or source control was successful, and to support other decisions relating to the investigation, cleanup and source control of contaminated sediments. In general, analysis of the data collected in a sediment investigation is the responsibility of a project proponent. Laboratory results should be evaluated by providing general descriptions of the sediment chemistry data and any biological data. Stations exhibiting exceedances of adopted SMS marine or user proposed freshwater/low salinity sediment quality criteria (e.g., marine SQS or CSL numerical criteria for individual chemicals [Table 1], marine SQS or CSL biological effects criteria [Table 2]) and exceedances of detection limits over chemical SQS and/or CSL for the undetected chemical results should be clearly identified, and the areas exhibiting such exceedances should be indicated on a map.

8.1.1 Sediment Chemistry Data

Sediment chemistry data should be tabulated for all measured analytes (including conventional sediment variables), whether or not there are applicable numerical criteria for evaluating the data. For those chemicals whose SMS numerical criteria (Table 1) are TOC-normalized, separate tables should be prepared for the dry-weight and TOC-normalized concentrations. The TOC-normalized concentrations will allow direct comparison with the SMS numerical criteria, whereas the dry-weight concentrations may be useful in cases where TOC values are either very high or very low, and Ecology may decide to compare the data with the dry-weight AET values (Barrick et al. 1988). For further discussion of TOC-normalization, the reader is referred to Michelsen (1992). Additionally, freshwater and low-salinity sediment chemical data should be similarly tabulated and compared to Ecology recommended and/or user-recommended sediment criteria. Ancillary data that should be reported in these tables include station numbers, sample identification numbers (corresponding to those on laboratory data sheets), the date of sample collection, and the sediment sampling interval (upper and lower depths within the sediments relative to the sediment-water interface). A suggested table format is to have a column for each individual sample and a row for each individual analyte. The results for field duplicate samples should be identified as such and reported separately (i.e., not averaged). Appropriate data qualifiers should be reported with the chemical concentrations, if QA/QC criteria are not met. Where chemical analysis indicates a chemical is not detected in a sediment sample, the detection limit shall be reported with U (Undetected) qualifier and shall be at or below the Marine Sediment Quality Standards chemical

criteria value set in WAC 173-204-320, 420 and 520(2). To facilitate comparisons with applicable numerical chemical criteria (e.g., SQS, CSL), it is helpful to include one or more columns with the criteria and to footnote, underline, shade, or otherwise identify individual values in the other columns that exceed the criteria.

Some of the applicable numerical criteria (e.g., SQS, CSL) are for the sum of individual compounds (e.g., total low molecular weight polycyclic aromatic hydrocarbons [total LPAHs], total high molecular weight polycyclic aromatic hydrocarbons [total HPAHs]), isomers (e.g., total benzofluoranthenes), or groups of compounds (e.g., total polychlorinated biphenyls [PCBs]). For these chemicals, the following rules should be used in generating the sums:

- Under the SMS WAC 173-204-320, 420 and 520(2)(b) and the DMMP, only the single highest individual chemical detection limit in a group is reported when all chemicals in that group are undetected; when one or more chemicals in a group are detected, only the detected concentrations are included in the sum.
- Under the SMS WAC 173-204-320, 420 and 520(2)(d), total LPAH represents the sum of the concentrations of the following LPAH compounds: naphthalene, acenaphthylene, acenaphthene, fluorene, phenanthrene, and anthracene. 2-Methylnaphthalene is not included in the sum of the LPAH criteria values under the SMS, but is included in the sum of the LPAH criteria values under the DMMP.
- Under the SMS WAC 173-204-320, 420 and 520(2)(e), total HPAH represents the sum of the concentrations of the following HPAH compounds: fluoranthene, pyrene, benz[a]anthracene, chrysene, total benzofluoranthenes, benzo[a]pyrene, indeno[1,2,3-c,d]pyrene, dibenzo[a,h]anthracene, and benzo[g,h,i]perylene.
- Under the SMS WAC 173-204-320, 420 and 520(2)(f), total benzofluoranthenes represents the sum of concentrations of the b, j, and k isomers of benzofluoranthenes.
- Under the SMS WAC 173-204-320, 420 and 520, total PCB criteria were derived based on the sum of the concentrations of individual Aroclor®.

Laboratory chemistry data should be reported to Ecology in electronic Excel format (.xls) and in electronic SEDQUAL template format (chemistry.csv). Laboratory chemistry data tabulated in spreadsheets should also be reported to Ecology in hardcopy format.

8.1.2 Biological Test Data

Laboratory bioassay test data should be tabulated and reported in hard-copy and electronic formats to Ecology. Reported data should include all test, reference, negative control and positive control data. Positive control charts should be submitted showing at least the last 12 months of positive control data or the last 15 control tests whichever is greater. As with sediment chemistry data, the laboratory bioassay test results should be tabulated in a manner that follows Ecology's SEDQUAL sediment database / information system template format. Laboratory bioassay data should be reported to Ecology in electronic Excel format (.xls) and in electronic SEDQUAL template format

(bioassay.csv/bioassay_cntl.csv). Laboratory bioassay data tabulated in spreadsheets and copies of the actual bench sheet raw data should also be reported to Ecology in hardcopy format.

Finally, all other pertinent test data listed under *Data Reporting Requirements* in the protocols for each sediment toxicity test (PSEP 1995, ASTM E1706-00) should also be included in an appendix to the data report.

8.1.3 Data Interpretation

Project proponents should submit a data summary report that interprets chemical and/or biological test results compared to the legally applicable or recommended chemical and/or biological effects criteria (see Table 1 & 2) identified in the SMS rule and SEDQUAL. Samples that exceed criteria and their respective values should be identified by footnoting, underlining, shading, or other similar means in the hardcopy data report summary. Ecology will primarily use the SEDQUAL automated chemistry, bioassay and benthos analysis tools to interpret all laboratory results. Although Ecology does not require laboratories to conduct statistical and/or numerical interpretations of the test data, such testing may be useful for the laboratory to evaluate laboratory performance. Bioassay laboratories are required to conduct evaluations of positive control data for all laboratory bioassay animals. Bioassay laboratories should maintain a “running account” of the mean \pm 2 standard deviation for each animal type and each positive control result. Ecology does require project proponents to conduct and report interpretations of the laboratory reported data. We recommend project proponents use the SEDQUAL interpretation tools report export formats to create and report interpretation results, as these procedures are developed, approved and supported by Ecology. However, project proponents may use other interpretation tools and/or methods identified in the sampling and analysis plan, if approval is obtained from Ecology prior to implementation. Additionally, procedures for interpreting sediment chemistry and biological data in the context of the sediment source control process of the SMS are described in Chapter 8 of SCUM1 (Ecology 1993). Procedures for interpreting sediment chemistry and biological data in the context of the sediment cleanup process of the SMS are described in Chapters 2, 3, and 4 of SCUM2 (Ecology 1991). Example worksheets presented in SCUM1 (Ecology 1993) or SCUM2 (Ecology 1991) are helpful aids to interpret the data in light of the sediment source control standards or sediment cleanup standards of the SMS, as appropriate for the specific sediment investigation.

8.2 RECORD KEEPING

Provisions should be included in all sediment sampling and analysis plans for record keeping in accordance with the requirements of the Records Management section of the SMS (WAC 173-204-610). The project proponent is required to keep on file copies of the sediment sampling and analysis plan and the associated quality assurance project plan that document the proposed approach to the collection and analysis of samples. In addition, records (including field logs) that document any departures from the sampling and analysis plan and/or quality assurance project plan should also be kept on file. The results of all analyses, including laboratory reports and any summary tables or interpretive reports, should also be retained.

All such records should be maintained for a period of not less than 10 years after the issuance, modification, or renewal of the applicable permit, or administrative order, or certification, or cleanup

site delisting, whichever is later. These records must be furnished upon request or made available for inspection by any authorized representative of Ecology.

8.3 REPORTING

The results of sediment sampling and analyses should be provided to Ecology in written reports. Additional requirements for cleanup studies and remedial investigations are described in Chapter 7 of SCUM2 (Ecology 1991). The guidance below will help ensure that consistent and complete sediment data are provided to comply with cleanup and source control investigation requirements. Additionally, the guidance will help ensure compatibility with the Ecology SEDQUAL information system and will decrease Ecology review time. Compliance with these procedures will allow for efficient review of the data by Ecology staff and should result in timely and accurate decision making and evaluation of the data. The minimum information to be included in the written report is listed below.

- A brief statement of the purpose of the sediment investigation.
- A brief summary of the field sampling and laboratory analytical procedures followed. In lieu of repeating information already reported in a previously submitted sampling and analysis plan, reference can be made to the sampling and analysis plan, and any deviations from that plan that were necessitated by conditions encountered during monitoring should be noted.
- A general vicinity map showing the location of the site with respect to familiar landmarks and a sampling station map showing the relationship of the station locations to outfalls, storm drains, or other pertinent nearby features. Coordinate values (i.e., latitude and longitude) and their datum should be reported in an accompanying table for all stations, including background or reference stations. An electronic ArcView GIS (Geographic Information Systems) v3.x or 8.x shape file enabling Ecology to view proposed sampling stations relative to, but not limited to, outfall with diffuser delineated, storm water/CSO outfalls, creeks/streams/rivers entering the main water body, pier structures, pilings, bulk heads, and sites of interest to the project to support current and future Ecology data analyses is recommended to use.
- Sediment data tables summarizing the chemical and conventional variables results, as well as pertinent QA/QC data. The data tables should include station numbers and sample numbers (corresponding to laboratory data sheets), station elevation (water depth), date of sample collection, sediment sampling interval (upper and lower sediment sampling depth), and whether samples are replicates. Chemical data should be reported in the same units as the SQS (e.g., mg/kg dry weight for metals, mg/kg TOC for nonionizable organics, ppm). Additionally, chemical data for most organic compounds should also be reported as dry-weight concentrations (ug/kg dry weight, ppb)c. Detection limits should be reported for undetected analytes with a U (Undetected) qualifier.
- Sediment data table summarizing all biological results, including the results of any statistical analyses of the biological results. Biological test data and statistical analysis

should be reported according to the endpoints established by the SMS (For marine tests, WAC 173-204-320(3)). For example amphipod bioassays should be reported as percent mortality, and benthic infaunal evaluations should be reported as test sediment abundance of major taxa (Crustacea, Mollusca, and Polychaeta) relative to the reference sediment mean abundance.

- The project proponent's interpretation of the results of the sediment investigation. This section should include discussion of any chemical or biological exceedances of the SQS, CSL, MCUL, or SIZ_{max} , as appropriate to the purpose of the sediment investigation. Comparison with the SQS should be discussed in all cases. Comparisons with the CSL should be discussed for contaminated areas that have not yet been ranked and placed on state or federal site lists. Comparisons with the MCUL should be discussed for cleanup study reports and RI/FS reports. Comparisons with the SIZ_{max} should be discussed for data associated with permitted discharges. Maps should also be provided that clearly indicate areas that exceed the SQS and CSL/MCUL/ SIZ_{max} (whichever is appropriate).
- Copies of complete laboratory data packages, as appendices or attachments.
- Quality assurance reports, as appendices or attachments.
- Copies of field logs, as appendices or attachments.
- Copies of signed chain-of-custody forms, as appendices or attachments.

In addition to a written report, Ecology requires that all data be submitted in electronic Excel format (.xls) and in electronic SEDQUAL template format (.csv). Ecology uses the SEDQUAL information system to compile and analyze sediment data. Ecology recommends reporting of sediment data as comma delimited text files, using SEDQUAL data entry templates (see Figure 6 example) for formatting the data for entry into SEDQUAL. The Data Manager in Ecology's Sediment Management Unit (360-407-7449) should be contacted for the most up-to-date guidance on data formatting procedures or for an electronic copy of the batch data entry templates. For the most recent information regarding SEDQUAL data entry templates, please see the SMU website at

http://www.ecy.wa.gov/programs/tcp/smu/sedqual/sedqual_templates.htm

The electronic data must be verified to be in an error free format compatible with SEQUAL prior to delivery to Ecology. Verification shall be conducted by importing the data templates into the facility's SEQUAL system, correcting errors, and then exporting the corrected templates for delivery to Ecology.

Different sections within Ecology may need to review or access the data in the final report. Listed below are the appropriate locations for data submittals. One or more of the following may apply.

Reports for all source control investigations and NPDES permit required monitoring should be sent to BOTH of the following:

The facility NPDES permit manager, AND

Sharon R. Brown, Sediment Source Control Specialist
Toxics Cleanup Program
Sediment Management Unit
Department of Ecology
P.O. Box 47600
Olympia, WA 98504-7600

All cleanup studies/investigations should be sent to ONE of the following:

For the Northwest Region (from King County north)
Bradley Helland or Grant Yang, Sediment Cleanup Specialists
Toxics Cleanup Program - NWRO
Department of Ecology
3190 - 160th Ave SE
Bellevue, WA 98008-5452

For the Southwest Region (from Pierce County south)
Russ McMillan, Sediment Cleanup Specialist
Toxics Cleanup Program - SWRO
Department of Ecology
P.O. Box 47775
Olympia, WA 98504-7775

# Washington State Department of Ecology - Sediment Management Unit						
# Sediment Quality Information System - SEDQUAL R5						
#						
# REFERENCE / BIBLIOGRAPHY DATA ENTRY TEMPLATE						
# last revised: JULY 2003						
#						
# OPTIONAL values left empty (null) MUST contain a single "#" character for text or "0" (zero) for numeric						
# Comment lines must BEGIN with a "#" character						
# Example data included for reference purposes only						
#						
# system_assigned	required	required	required	optional	required	optional
# REFERENCE ID	REFERENCE_TITLE_DESCRIPTION	REFERENCE_SOURCE	REF_YEAR	REF_PAGES	PROJ_LIBRARY	ENTRY_DATE
# (3 characters max)	(255 char max)	(80 char max)	integer (4)	integer (2)	(10 char max)	date <i>mm/dd/yyyy</i>
# unique record identifier		sponsoring organization	published year	publication length	Refer to lookup table under SEDQUAL's Utilities Tab	SEDQUAL entry date
# system generated value						
# assigned during entry						
#						
# Example:						
# BELL0005	Alaska Ferry Terminal Constructin Survey	City of Bellingham	1989	58	PUGETSOUND	7/27/1998
# BELL0005	Alaska Ferry Terminal Constructin Survey	City of Bellingham	1989	0	PUGETSOUND	#

Figure 6. Example template for formatting data to import into SEDQUAL

9. HEALTH AND SAFETY PLAN

The health and safety of the sampling team is a primary concern during sampling operations. The process for addressing these topics should be organized, comprehensive and well documented while ensuring that such concerns do not interfere with the collection of quality data. All sediment sampling and analysis plans should include as an appendix or attachment a health and safety plan (HSP) that covers all aspects of worker safety while employees are engaged in sediment sampling and analyses. A HSP is required for sediment sampling at sites listed under one or more of the following: Sediment Management Standards (SMS, WAC 173-204-560(6)), Model Toxics Control Act (MTCA, WAC 173-340-810), and Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA).

A HSP is also required for any other area that is known to be contaminated by toxic materials. The HSP must meet the requirements of the Occupational Safety and Health Act of 1970(29 U.S.C. Sec. 651 et seq.) and the Washington Industrial Safety and Health Act (Chapter 49.17 RCW). At a minimum, the following contents should be included:

- Description of tasks to be performed
- Key personnel and responsibilities
- Chemical and physical hazards associated with the site, including potential contaminants and chemicals used during the investigation, hazards associated with these substances, physical hazards associated with shipboard and land-based sampling activities, heat and cold stress, locations of subsurface utilities and obstructions on the site, falling hazards, and confined spaces
- Safety and health risk analysis for each task and operation
- Air monitoring plan, including ambient air monitoring, personal monitoring, monitoring equipment, and use and calibration of monitoring equipment
- Personal protective equipment that will be used for site tasks, and criteria for upgrading and downgrading protective equipment based on monitoring and changes in ambient contaminant levels or other site hazards
- Work zones, including control zone, decontamination zone, and exclusion zone, and the methods used to demarcate these areas
- Decontamination procedures for personnel, protective equipment, and sampling equipment
- Procedures for disposal of contaminated media and equipment
- Safe work practices, including operation of sampling equipment and general site safety

- Standard operating procedures, including fit tests for respirators
- Contingency plan, including evacuation procedures and criteria, emergency phone numbers (e.g. the telephone number of the 13th Coast Guard District Rescue Coordination Center when operating on a vessel), addresses of hospitals, and maps showing routes to hospitals
- Personnel training requirements, including health and safety training courses and site briefings
- Medical surveillance program
- Record keeping procedures.

All members of a sampling team working at a hazardous site must receive 40 hours of hazardous waste operations (HAZWOPER) training as prescribed by OSHA Regulation 29 CFR 1910.120, and at least one member must receive supervisory training. Employers must make a medical monitoring program available to all crew members conducting sampling operations at hazardous sites. All sampling team members must read and understand the contents of the HSP prior to the commencement of field work, and verify such by signature on the original HSP document.

Special attention should be given to physical dangers such as slip, trip and fall hazards when working around water. In general, it is recommended that the sample collector(s) avoid skin contact with all sediments and inhalation of odor should be avoided. Special precautions may have to be taken when working with contaminated sediments especially near potential or known contaminant sources such as unpermitted outfalls, NPDES permitted outfalls, landfills or hazardous waste sites.

10. PROJECT PERSONNEL AND RESPONSIBILITIES

Sediment sampling and analysis plans should include a brief description of the responsibilities of the sediment sampling program personnel. For most sediment sampling programs, the field crew will generally consist of a chief scientist and one or more field technicians. The chief scientist is responsible for overseeing all aspects of the field sampling, ensuring adherence to the sampling plan, ensuring accurate station locations, making decisions on deviations from the plan necessitated by field conditions, completing chain-of-custody forms, and keeping necessary records (e.g., field logs). The field technicians are generally responsible for assisting with sample collection, handling, and storage. One member of the field crew should be designated as the field safety officer.

In addition to the field crew, the sampling and analysis plan should indicate the project manager, who is responsible for overall management of the investigation and who serves as the point of contact with Ecology, and a QA/QC coordinator, who is responsible for preparation of the quality assurance project plan, interactions with the analytical laboratories, and data validation activities. A table specifically identifying the individual(s) and their project responsibilities should be included in any sampling and analysis plan.

The overall quality of a sediment investigation is highly dependent on the level of oversight provided by project personnel, especially during the analytical phase. It is critical that the laboratory technicians know the applicable practical quantitation limits (see Table 5) and QA/QC requirements (see Section 7) for each of the analyses. In the event of failure to meet these requirements, reanalysis needs to be undertaken with appropriate corrective measures (e.g., additional sample cleanup steps). The QA/QC coordinator and/or project manager should also be contacted immediately regarding failure to meet the QA/QC and/or practical quantitation limit requirements.

The most common failure in the laboratory tests of the sampling and analysis investigations has been the failure of the laboratory to meet control limits and/or practical quantitation limits and no effort has been made to re-analyze or to conduct additional cleanup on the extract and then re-analyze. This can only be prevented when the responsible QA/QC coordinator and project manager maintain contact with the laboratory throughout the analyses to ensure that the required practical quantitation limits and QA/QC requirements are met. When a failure to meet these conditions occurs, appropriate measures need to be initiated immediately to avoid exceedance of maximum sample holding time.

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SUBAPPENDIX A

SEDIMENT MANAGEMENT CONTACT LIST

SUBAPPENDIX A. SEDIMENT MANAGEMENT CONTACT LIST

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SUBAPPENDIX B

MARINE MICROTOX® 100 PERCENT SEDIMENT POREWATER TOXICITY ASSESSMENT

Prepared by:

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SUBAPPENDIX B. MARINE MICROTOX® 100 PERCENT SEDIMENT POREWATER TOXICITY ASSESSMENT

Background

Microtox is a rapid method of assessing toxicity in aqueous media by utilizing the bioluminescent properties of the marine bacteria *Vibrio fischeri*. The test method assumes that light emitted by the bacteria can be used as an accurate assessment of the overall biological condition of the bacteria exposed to chemical compounds and mixtures. Light emitted by the bacteria exposed to potentially toxic samples is compared to light emitted to unexposed bacterial controls. Differences in luminescence are therefore deemed an indication of relative toxicity.

EPA (EPA/600/2-88/070) has recommended Microtox for TIE/TRE (Toxicity Identification Evaluation/Toxicity Reduction Evaluation) applications as well as stormwater investigations. Successful applications also include NPDES compliance and sediment evaluations in freshwater, estuarine and marine applications. Washington State PSEP (1995) uses both an organic and a saline extraction protocol to assess sediment toxicity. True and Heyward (1989) demonstrated that the Microtox test on undiluted interstitial water showed greater sensitivity than that with the saline extract.

Recognizing that the goal of most sediment toxicity studies is to determine if ecologically/toxicologically significant differences exist between reference and investigative site sediments, four significant differences exist between the PSEP protocol and this revised protocol. 1) Extraction procedures are 100% pore water extraction rather than complex organic and aqueous extractions; 2) No serial dilutions are performed because LC50 calculations are not required to assess sediment toxicity between reference and site sediments; 3) No MOAS (Microtox Osmotic Adjusting Solution) is utilized; and 4) Statistical procedures utilize standard Analysis of Variance (ANOVA) or t-test procedures.

Microtox Test Procedure:

Porewater Extraction and Adjustment

The general Microtox procedure involves centrifugation of 500ml of both reference and test sediments at approximately 4500G in for 30 minutes resulting in approximately 50 ml of pore water. Minimal disturbance of the field-collected samples prior to centrifugation is (e.g. compositing of numerous subsamples followed by homogenization) is highly recommended in order to reduce volatilization of potential contaminants. After centrifugation, approximately 25mls of pore water is then pipetted into a clean glass container. The remaining porewater volume is set aside if needed for reducing salinity should the initial salinity adjustments steps outlined below result in the sample exceeding 22ppt. Samples should be adjusted and analyzed within approximately 3 hours of extraction to reduce volatilization of potential chemical contaminants.

The sample is then adjusted for salinity, dissolved oxygen and pH in the following order.

- 1) Salinity is adjusted to 20 ± 2 ppt using commercially available dry bulk marine aquarium reef salts (e.g. Forty Fathoms Reef®). **[Note: The salinity adjustment step is omitted for Marine and estuarine sediments whose porewater exceeds 20ppt salinity. If porewater salinity exceeds 20ppt, the artificial seawater control should be adjusted to match the test sample salinity ± 2 ppt; e.g. sample 26ppt, control 24-28ppt].**
- 2) The dissolved oxygen (DO) is then adjusted by gentle aeration or agitation until it is between 50-100% saturation.
- 3) The pH of the salinity and DO adjusted reference and test sediment pore water should not differ from each other by more than 0.4 pH units. The pH is adjusted to 7.9-8.2 (if necessary) using a micropipette and a dilute solution (0.5 N) NaOH or HCl. Total volume of NaOH and/or HCl should be recorded. Final concentration [compared with 100% porewater extracted] can then be calculated using these data. Final dilution should not be reduced below 90% of the pore water extract. **[Note: The control solution is prepared by using deionized or distilled water and adjusting salinity, DO and pH as described above.]**

Preparation of Bacterial Suspension and Bioassay Test Setup

A vial of freeze-dried bacteria is rehydrated with 1.0 ml of Microtox® Reconstitution solution and allowed to equilibrate for 30-90 minutes in the 4-degree Microtox Analyzer well. ***[NOTE: Mixing of the reconstituted bacteria is essential. Mix the reconstituted solution with a 1 ml pipette a minimum of 20 times by pipetting. First pipette the solution from the bottom of the cuvette and deposit the pipetted solution on the surface of the liquid remaining in the cuvette. Then pipette 1 ml of solution from the bottom of the cuvette and slowly pipette the liquid into the bottom of the cuvette.]***

One (1.0) ml of control solution is then placed in each of 5 test cuvettes and placed into the 15-degree incubation chambers. This procedure is followed for the laboratory control solution, reference sediment porewater samples, and test sediment porewater samples, for up to 4 test sediments/batch (5 pseudo-replicates per site).

In each of the test, reference, and control sample cuvettes, 10 uL of rehydrated bacteria suspension are added at approximately 10 second intervals, immediately mixed using a 1ml pipette and allowed to incubate (**Initial Incubation**) for 5 minutes. It is nearly essential at this stage for two technicians to coordinate addition and mixture of the bacterial suspension; one technician adds the bacterial suspension and the second follows performing the mixing procedure. Begin the 5-minute **Initial Incubation** timer as soon as the 10ul bacterial suspension is placed into the cuvette containing the control sample at position A1. Used pipette tips are replaced with clean tips after each series of 5 pseudo-replicates (ref, control, and each test series eg: A1-A5 etc.). *[NOTE: Extreme care must be used when pipetting these low volumes as slight residual amounts or presence of air bubbles in the pipette may cause variation due to error by as much as 100%.]*

Data collection

At the end of the 5-minute **Initial Incubation** period, the first control vial is placed into the read chamber to “**set**” the instrument. At this time, start the data collection timer. This is the start of the (I_0) 5-minute analysis period. At approximately 10-second intervals each cuvette (inclusive of A1) is placed into the read chamber for the initial reading (I_0). After 5 additional minutes a second reading (I_5) is obtained following the above procedure. A 15-minute (I_{15}) is obtained in an additional 10 minutes.

Data Preparation

$$F_t/I_t=T_1$$

$$F_r/I_r=R_1$$

$$F_c/I_c=C_1$$

This is performed for each replicate: (Example): $(T_1 + T_2 + T_3 + T_4 + T_5)/5$ to provide a mean (T_{mean}).

Where:

I=Initial light reading (This is I_0)

F=Final light reading (This is either I_5 or I_{15} above depending upon the endpoint)

c=control

r=reference

t=test (sediment station)

Example: I_t =(Initial light output of Test)

Calculation

$T_{\text{mean}}/R_{\text{mean}}$:

Data Analysis

Statistical calculations are performed using a standard t-test by comparing reference with test site data (see calculation above). No gamma correction is required. Statistically significant differences with $\alpha = 0.05$ **and** the following relative differences are indications of test failure.

Data Interpretation¹

Test mean output (T_{mean}) less than 80% of Reference mean output ($T_{\text{mean}}/R_{\text{mean}}$ less than 80%) **AND** statistically significantly different ($\alpha = 0.05$) from Reference mean output indicates an SQS failure or “hit”. There is no CSL failure criteria in the Sediment Management Standards (SMS) rule for marine sediments.

Quality Control

- 1) Control Final mean output should be greater than or equal to 80% of Control Initial mean output. $F_{c(\text{mean})}/I_{c(\text{mean})} \geq 0.80$.
- 2) Reference Final mean output should be greater than or equal to 80% of Control Final mean output. $F_{r(\text{mean})}/F_{c(\text{mean})} \geq 0.80$.
Note 1: If reference criteria are not met, Control output may be used for comparison with sediment site light output.
- 3) Reference Initial mean output ($I_{r(\text{mean})}$) must be greater than or equal to 80% of Control Initial mean output ($I_{c(\text{mean})}$). Note 2: If Reference Initial mean output is less than 80% of Control Initial mean output, then the Control Initial mean output should be used in place of each of the individual Reference Initial values. (When $I_{r(\text{mean})} < 0.80$ of $I_{c(\text{mean})}$, $I_{c(\text{mean})}$ is used in place of each I_r .) This may be necessary when the light reduction response occurs so rapidly that the initial test response falls below 80% before the initial measurement is taken.
- 4) Test Initial mean output ($I_{t(\text{mean})}$) must be greater than or equal to 80% of Control Initial mean output ($I_{c(\text{mean})}$). Note 3: If Test Initial mean output is less than 80% of Control Initial mean output, then the Control Initial mean output should be used in place of each of the individual Test Initial values. (When $I_{t(\text{mean})} < 0.80$ of $I_{c(\text{mean})}$, $I_{c(\text{mean})}$ is used in place of each I_t .) This may be necessary when the light reduction response occurs so rapidly that the initial test response falls below 80% before the initial measurement is taken.

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SUBAPPENDIX C

FRESHWATER MICROTOX® 100 PERCENT SEDIMENT POREWATER TOXICITY ASSESSMENT

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SUBAPPENDIX C. FRESHWATER MICROTOX® 100 PERCENT SEDIMENT POREWATER TOXICITY ASSESSMENT

Background:

Microtox is a rapid method of assessing toxicity in aqueous media by utilizing the bioluminescent properties of the marine bacteria *Vibrio fischeri*. The test method assumes that light emitted by the bacteria can be used as an accurate assessment of the overall biological condition of the bacteria exposed to chemical compounds and mixtures. Light emitted by the bacteria exposed to potentially toxic samples is compared to light emitted to unexposed bacterial controls. Differences in luminescence are therefore deemed an indication of relative toxicity.

EPA (EPA/600/2-88/070) has recommended Microtox for TIE/TRE (Toxicity Identification Evaluation/Toxicity Reduction Evaluation) applications as well as stormwater investigations. Successful applications also include NPDES compliance and sediment evaluations in freshwater, estuarine and marine applications. Washington State PSEP (1995) uses both an organic and a saline extraction protocol to assess sediment toxicity. True and Heyward (1989) demonstrated that the Microtox test on undiluted interstitial water showed greater sensitivity than that with the saline extract.

Recognizing that the goal of most sediment toxicity studies is to determine if ecologically/toxicologically significant differences exist between reference and investigative site sediments, four significant differences exist between the PSEP protocol and this revised protocol. 1) Extraction procedures are 100% pore water extraction rather than complex organic and aqueous extractions; 2) No serial dilutions are performed because LC50 calculations are not required to assess sediment toxicity between reference and site sediments; 3) No MOAS (Microtox Osmotic Adjusting Solution) is utilized; and 4) Statistical procedures utilize standard Analysis of Variance (ANOVA) or t-test procedures.

A significant issue of concern which has persisted over the years due a basic misunderstanding of *Vibrio fisheri* luminescent response is that of “overluminescence” or light “enhancement”. The term is more appropriately referred to simply as increased light output in order to thwart the notion that an increase in light output is an unknown, unexpected or unnatural phenomenon. Quite the contrary is true. An increase in light output is a natural response of the bacteria to a number of unmeasured factors. These include hardness, alkalinity, TOC, dissolved energy sources, colloids and potentially many others. The purpose of the reference and control samples is to account for these factors, which may cause a decrease or increase in light output. Of greatest importance, however, is the comparison or response between test porewater and that of the control/reference. It is therefore, critical to understand how the Microtox procedure works and what is being measured.

Microtox test results are unitless numbers of light output. Values indicated are not percentages. The first step performed with each batch of vials prior to recording Microtox data is “setting” the machine to a baseline output value. This is a type of calibration to the current bacterial batch being used as well as to any uncontrolled test conditions. The baseline output value is normally set with a control vial containing 10µl of bacterial suspension. When this vial is immediately

read, its value range is approximately 93-107. For each new batch run, a new “set” procedure is performed.

Knowing this, it should be clear that increase in light output is a normal biological response and can be expected with similar frequency as that of light reduction. Both light increase and light reduction are expected outcomes in controls, reference and test porewater.

Because of this, it is important to compare temporal changes in reference or control light output to temporal changes in test light output. The null hypothesis would be that there is no difference between temporal changes in test light output and temporal changes in reference/control light output. (H_0 : *There is no temporal reduction in test light output compared with reference/control light output.*) It is assumed, however, that only light reduction (relative to the reference/control) is an indication of toxicity. The alternative is that there is reduction between temporal changes in test light output and temporal changes in reference/control light output. (H_1 : *The temporal reduction in test light output is greater than temporal reduction in control/reference light output.*) Since there is only one possibility for the alternative hypothesis, the statistical analysis is one-tailed t-test.

In order to be conservative with respect to ecological significance, an established benchmark difference between reference and test must also be met. Although statistical differences may exist between test and reference/control, it has been agreed that no significant ecological difference exists between reference/control and test unless the test indicates a temporal reduction in test light output of greater than 10% compared with the change that has occurred in the reference/control. In other words, 10% is an acceptable range of reduction within the normal bounds of ecological variability (noise) in the freshwater environment.

Because of this 10% benchmark of acceptability for reduction, it has been similarly adopted that a 10% increase in temporal light output in the control/reference or test sediments is also within the bounds of normal ecological range. This allows for increases in light output and leads to acceptability up to the limits expressed in QA criteria #3 and #4 below. Beyond these limits, (above 110% Control (C_{mean}) light output) however, some concern exists with respect to test procedures or organism performance and the tests are determined not to be interpretable. Additionally, if the ratio of Reference mean (R_{mean}) to Test mean (T_{mean}) temporal change results in a 10% difference, concern exists that test procedures or organism performance are compromised.

Microtox Test Procedure

Porewater Extraction and Adjustment

The general Microtox procedure involves centrifugation of 500ml of both reference and test sediments at approximately 4500G in for 30 minutes resulting in approximately 50 ml of pore water. Minimal disturbance of the field-collected samples prior to centrifugation is (e.g. compositing of numerous subsamples followed by homogenization) is highly recommended in order to reduce volatilization of potential contaminants. After centrifugation, approximately 25mls of pore water is then pipetted into a clean glass container. The remaining porewater volume is set aside if needed for reducing salinity should the initial salinity adjustments steps outlined below result in the sample exceeding 22ppt. Samples should be adjusted and analyzed within approximately 3 hours of extraction to reduce volatilization of potential chemical contaminants.

The sample is then adjusted for salinity, dissolved oxygen and pH in the following order.

- 4) Salinity is adjusted to 20 ± 2 ppt using commercially available dry bulk marine aquarium reef salts (e.g. Forty Fathoms Reef®).
- 5) The dissolved oxygen (DO) is then adjusted by gentle aeration or agitation until it is between 50-100% saturation.
- 6) The pH of the salinity and DO adjusted reference and test sediment pore water should not differ from each other by more than 0.4 pH units. The pH is adjusted to 7.9-8.2 (if necessary) using a micropipette and a dilute solution (0.5 N) NaOH or HCl. Total volume of NaOH and/or HCl should be recorded. Final concentration [compared with 100% porewater extracted] can then be calculated using these data. Final dilution should not be reduced below 90% of the pore water extract. **[Note: The control solution is prepared by using deionized or distilled water and adjusting salinity, DO and pH as described above.]**

Preparation of Bacterial Suspension and Bioassay Test Setup

A vial of freeze-dried bacteria is rehydrated with 1.0 ml of Microtox® Reconstitution solution and allowed to equilibrate for 30-90 minutes in the 4-degree Microtox Analyzer well. ***[NOTE: Mixing of the reconstituted bacteria is essential. Mix the reconstituted solution with a 1 ml pipette a minimum of 20 times by pipetting. First pipette the solution from the bottom of the cuvette and deposit the pipetted solution on the surface of the liquid remaining in the cuvette. Then pipette 1 ml of solution from the bottom of the cuvette and slowly pipette the liquid into the bottom of the cuvette.]***

One (1.0) ml of control solution is then placed in each of 5 test cuvettes and placed into the 15-degree incubation chambers. This procedure is followed for the laboratory control solution, reference sediment porewater samples, and test sediment porewater samples, for up to 4 test sediments/batch (5 pseudo-replicates per site).

In each of the test, reference, and control sample cuvettes, 10 uL of rehydrated bacteria suspension are added at approximately 10 second intervals, immediately mixed using a 1ml

pipette and allowed to incubate (**Initial Incubation**) for 5 minutes. It is nearly essential at this stage for two technicians to coordinate addition and mixture of the bacterial suspension; one technician adds the bacterial suspension and the second follows performing the mixing procedure. Begin the 5-minute **Initial Incubation** timer as soon as the 10ul bacterial suspension is placed into the cuvette containing the control sample at position A1. Used pipette tips are replaced with clean tips after each series of 5 pseudo-replicates (ref, control, and each test series eg: A1-A5 etc.). *[NOTE: Extreme care must be used when pipetting these low volumes as slight residual amounts or presence of air bubbles in the pipette may cause variation due to error by as much as 100%.]*

Data collection

At the end of the 5-minute **Initial Incubation** period, the first control vial is placed into the read chamber to “**set**” the instrument. At this time, start the data collection timer. This is the start of the (I_0) 5-minute analysis period. At approximately 10-second intervals each cuvette (inclusive of A1) is placed into the read chamber for the initial reading (I_0). After 5 additional minutes a second reading (I_5) is obtained following the above procedure. A 15-minute (I_{15}) is obtained in an additional 10 minutes.

Data Preparation

$F_t/I_t=T_1$
 $F_r/I_r=R_1$
 $F_c/I_c=C_1$

This is performed for each replicate: (Example): $(T_1 + T_2 + T_3 + T_4 + T_5)/5$ to provide a mean (T_{mean}).

Where:

I=Initial light reading (This is I_0)
F=Final light reading (This is either I_5 or I_{15} above depending upon the endpoint)
c=control
r=reference
t=test (sediment station)
Example: I_t =(Initial light output of Test)

Calculation

T_{mean}/C_{mean} (Preferred)^{*1}

**If Control quality control performance criteria (see below) are not met T_{mean}/R_{mean} may be authorized on a case by case basis for comparison by Ecology.*

Data Analysis

Statistical calculations are performed using a standard t-test by comparing control with test site data (see calculation above). No gamma correction is required. Statistically significant differences with $\alpha = 0.05$ **AND** the following relative differences are indications of test failure or test “hit”.

Data Interpretation¹

Test mean output (T_{mean}) less than 90% of Control/Reference mean output ($C_{\text{mean}}/T_{\text{mean}}$) **AND** statistically significantly different ($\alpha = 0.05$) from Control/Reference mean output indicates a SQS “hit”^{2,3}. Test mean output less than 75% of Control/Reference mean output **AND** statistically significantly different ($\alpha = 0.05$) from Control/Reference mean output indicates a CSL “hit”.

¹ Data Interpretation is draft guidance and currently under review. This may be modified by Ecology in subsequent guidance.

^{2,3} See the footnotes 2 & 3 In Quality Control section.

Quality Control

- 1) Control Final mean output should be greater than or equal to 72% of Control Initial mean output. $F_{c(\text{mean})}/I_{c(\text{mean})} \geq 0.72$.
Note: If Control criteria are not met, Reference output may be used for comparison with test sediment light output.
- 2) Reference final mean output should be greater than or equal to 80% of Control Final mean output. $F_{r(\text{mean})}/F_{c(\text{mean})} \geq 0.80$.
- 3) ² $T_{\text{mean}}/C_{\text{mean}} > 1.10$ is not interpretable. (see discussion above)
- 7) ³ $T_{\text{mean}}/R_{\text{mean}} > 1.10$ is not interpretable.(see discussion above)

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SUBAPPENDIX D

RECOMMENDATIONS FOR CONDUCTING BIOASSAYS ON SEDIMENTS CONTAINING POLYCYCLIC AROMATIC HYDROCARBONS EXPOSED TO ULTRA-VIOLET (UV) RADIATION

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SUBAPPENDIX D. RECOMMENDATIONS FOR CONDUCTING BIOASSAYS ON SEDIMENTS CONTAINING POLYCYCLIC AROMATIC HYDROCARBONS (PAHS) EXPOSED TO ULTRA-VIOLET (UV) RADIATION

When selected polycyclic aromatic hydrocarbons (PAHs) are exposed to ultra-violet radiation, of sufficient quality and quantity, the result is atomic excitation of electron states (Kosian et. al., 1998). This is known as photoactivation. Photoactivation often results in an increase in molecular reactivity or binding capability to other molecules. When this reactivity occurs with molecules that compose organ/tissues of organisms, the result is often increased toxicity to those organisms. This toxicity may manifest itself in whole organism toxicity and death, or sublethal toxicity endpoints including decreased immune response, decreased reproduction or growth, or increased malignant tumor development (Arfsten, et al., 1996). Whatever the individual endpoint, the overall result is decreased individual fitness and potentially detrimental population-level effects. Benthic-dwelling and water column organisms exposed to selected PAHs that are exposed simultaneously to specific wavelengths and intensities of ultra-violet radiation may be at significantly greater risk to toxic effects than organisms exposed to the same concentrations of identical mixtures and concentrations of PAHs in the absence of UV (Ahrens and Hickey , 2002). It has been demonstrated that this increase is often on the order of magnitude. Although these toxic effects have been known and studied for well over 50 years, until recently, this interaction between solar radiation and PAHs has been largely ignored in the regulatory realm.

The PSEP (Puget Sound Estuarine Protocols) do not address the potentially significant increase in toxicity due to PAH photoactivation. The following guidelines are meant to give the regulator a general understanding of the interactions between UV radiation and PAHs in contaminated sediments. The intent of these guidelines is to assist the regulator in the evaluation and decision-making process surrounding these issues. The following guidelines should be used under the conditions specified below when both the listed PAHs are present and solar radiation is expected at the site under investigation.

When both of the following site conditions are encountered in either freshwater or marine sediment sites, bioassays should be performed in the presence of full spectrum laboratory lighting that includes ultraviolet wavelengths of sufficient intensity to mimic the conditions at the site.

Site conditions: 1) Sediment depth (MLLW): For marine or estuarine sites, if either of >25% of the surface sediments or ½ acre of the surface sediments at the site are 4 meters/12 feet or less including intertidal and subtidal zones. For freshwater areas, if seasonal water depth at the lowest stage has been 4 meters/12 feet or less in the past 10 years for either >25% of the surface sediments or ½ acre of the surface sediments at the site (Kirk 1994a, 1994b). These depths are relatively conservative, however, recent investigations have shown pronounced sensitivity to solar UV-B and

effects throughout the top 10-15 m of the water column, indicating significant penetration to those depths (UNEP, 1998).

2) Presence or presumed presence of any of the following photoactivated PAHs (Nagpal, 1993) listed in Table 1:

A) If chemistry data is available:

i) For those parameters for which there are SMS chemical standards, bioassays should be performed if standards are exceeded. If none of those standards are exceeded, but PAHs or sums of PAHs are exceeded by ($\geq 25\%$) of the standard in conjunction with site conditions outlined in #1 above, bioassays should be performed in the presence of full spectrum-UV light.

ii) For those parameters for which **no** SMS chemical standards are available, best professional judgment and best available science should be used on a case-by-case basis. This applies to all freshwater sites and marine and estuarine sites where PAHs without state standards are either present or anticipated to be present based upon such information as potential co-location with PAHs with State marine sediment standards, current or historical site information, tides and/or currents, adjacent upland or in-water activities, or inputs from outside sources, either natural or artificial (e.g. storm-water, effluent waste-streams)

B) If **no** chemistry data is available best professional judgment and best available science should be used on a case-by-case basis. This applies to all freshwater sites and marine and estuarine sites where PAHs are anticipated to be present based upon such information as current or historical site information, tides and/or currents, adjacent upland or in-water activities, or inputs from outside sources, either natural or artificial (e.g. storm-water, effluent waste-streams).

Table 1
Photoactivated Polycyclic Aromatic Hydrocarbons

Anthracene	Benz[c]acridine
Acridine	Benzathrone
Phenazine	Benzo[a]pyrene
Fluoranthene	Benzo[e]pyrene
1H-Benzo[a]fluorine	Perylene
1H-Benzo[b]fluorine	Dibenz[a,h]acridine
Pyrene	Dibenz[a,h]anthracene
Benz[a]anthracene	Dibenz[a,j]anthracene
Benz[b]anthracene	Benzo[b]chrysene
Chrysene	Dibenz[a,c]phenazine
Benzo[k]fluoranthene	Benzo[b]triphenylene
Benz[a]acridine	Benzo[g,h,i]perylene

Laboratory testing conditions and considerations

Standard fluorescent laboratory lighting fixtures are not full spectrum and do not produce “natural” wavelengths and intensity of light. This is particularly true for the UV spectrum. It is impossible to accommodate both a high visible light emission and a high ultraviolet (UV) output within the same light source. The more visible-light emitted, the less UV-radiation and vice versa. It is recommended that one use two different tubes with different radiation characteristics (see [lamp selection](#) below) in order to produce both adequate visible light output and correct UV spectrum output. Another factor to consider is that the amount of natural UV radiation depends upon, geographical and climatological conditions (Barron et. al 2000).

Lamp selection

Four important features that a full-spectrum fluorescent lamp must possess are listed below:

1. UVB output ($280\text{nm} < \lambda < 315\text{nm}$) photoactivating wavelengths.
2. UVA output ($315\text{nm} < \lambda < 400\text{nm}$), this may have an effect upon burial and feeding behavior.
3. Correct Color temperature - 'warm' red to 'cold' blue expressed in degrees Kelvin. Daylight at noon is typically estimated at $5,500^{\circ}\text{K}$.
4. High Color Rendering Index - Color rendering is the degree to which a light source shows the true colors of the objects it illuminates. This is measured on a color rendering index, rated from 0-100. A normal fluorescent lamp, for example, rates 54 on the CRI scale. High quality fluorescent lamps will rate 90-98 on the same scale.

The combination of sufficient UVA content and a 'natural' $>5,500^{\circ}\text{K}$ color temperature is what improves activity patterns and feeding when high quality full spectrum lighting is utilized. In addition to the quality of the lamp, its proximity to the animal, its output intensity and duration of use are also critical. The illumination intensity of tubes is primarily dependent upon their size. Typically, a 24" (60 cm) tube produces less than half the light output of a 48" (120 cm) tube. An example of an acceptable UV spectral output is shown in Figure 1 and 2. Spectral output will differ depending upon lamp manufacturer specifications and lamp age. **When installing full spectrum or UVB producing tubes, it is absolutely critical that nothing is placed between the envelope of the tube and the recipient animal or vessel. UVB is greatly attenuated by glass, plastic and ultra fine mesh.** A normal mesh allows the highest transmission, but the UVB rays are still reduced to about 90% of their normal power. The amount of UVB received also diminishes with distance. **It is generally recommended that any UVB tubes be no further than 12" (30 cm) away from the subject.** At greater distances than this, the amount of UVB actually received will be minimal. This may encumber some monitoring activities, therefore allowances should be made for temporary vessel or lamp removal to enable ease of required monitoring activities.

Tubes also have a limited life and require changing at least every 5000 hours in order to guarantee continued UVB output. Although there may be no visible deterioration in the performance of the tube, the invisible UV content decays as the tube ages. It is a good idea to place a small adhesive label near each fitting with the total hours the tube has been used, replacing the tubes when 5000 hours has been reached.

Most full spectrum fluorescent tubes designed for aquarium use are classified according to their percentage UVB output. The most popular tubes offer 5% to 8% UVB. An exposure duration of 14 -16 hours is suitable in most species. The higher the UV output (invisible light) the less light (visual) is emitted. The light also gets a bluer appearance. Therefore it is recommended to combine a tube with a high UV output with a tube with a very high visual light output for the best results.

Recommended Lab conditions

Light intensity: 50-100 foot candles

Light duration; 16/8 (Light/Dark)

Overlying Water Depth: Not greater than 15 cm (6 inches)

Lamp to water surface distance: Not greater than 30 cm (12 inches)

UV wavelength range: 3-8% **UV-B** range ($280\text{nm} < \lambda < 315\text{nm}$) (3-5% preferred)
20-35% **UV-A** ($315\text{nm} < \lambda < 400\text{nm}$)

For additional review, discussion and examples of laboratory conditions, methods and ambient field considerations such as oxygenation, mineralization, humic and fulvic acids and presence of primary activators see ASTM 1997, Barron et al. 2003, Barron et al. 1999, Barron et al. 2001, Boese et al. 1997, Little et al. 2000, Mekenyan et al. 1994, Pelletier et. al 1997, and Weinstein 2001.

Figure 1

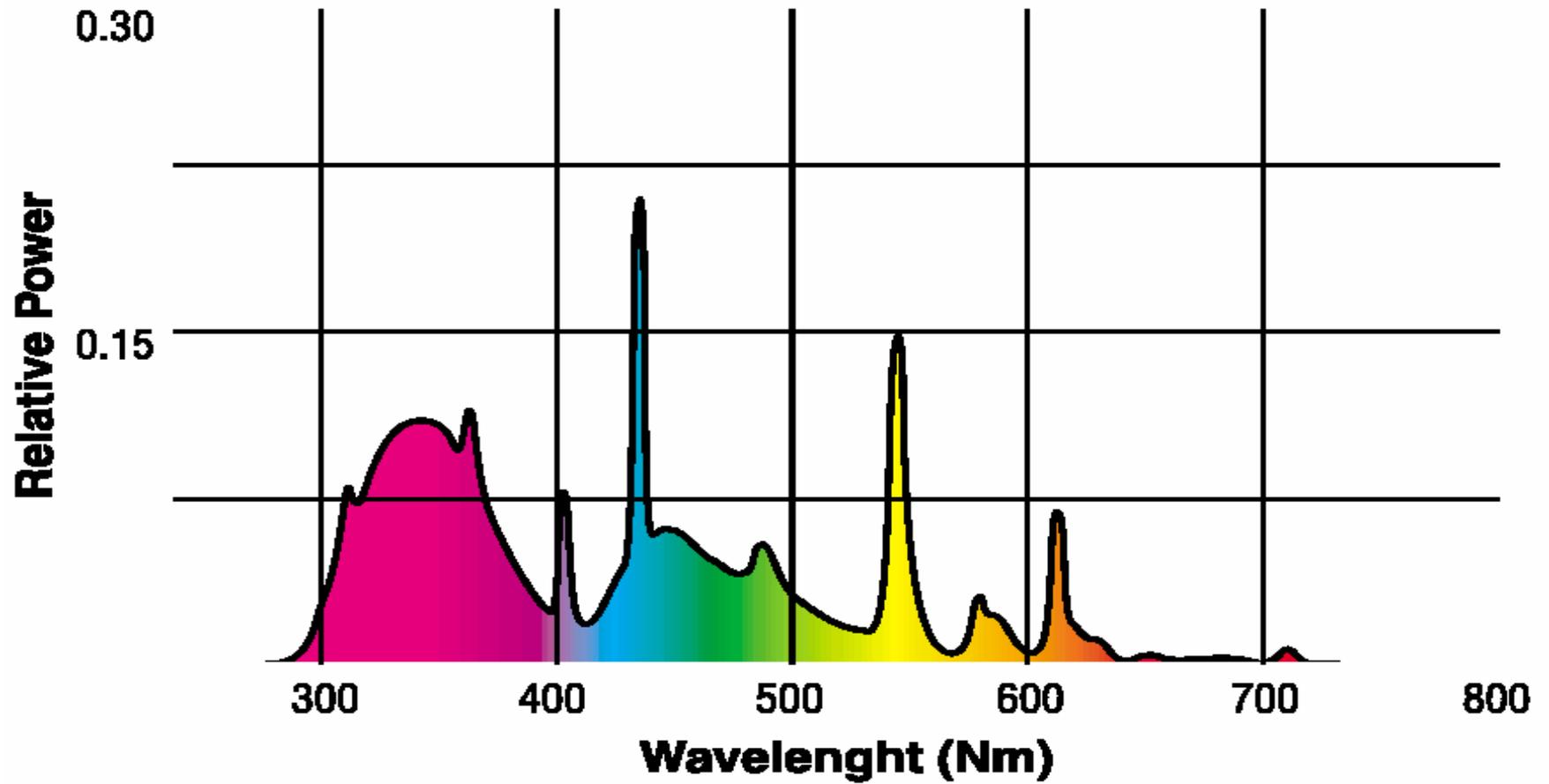
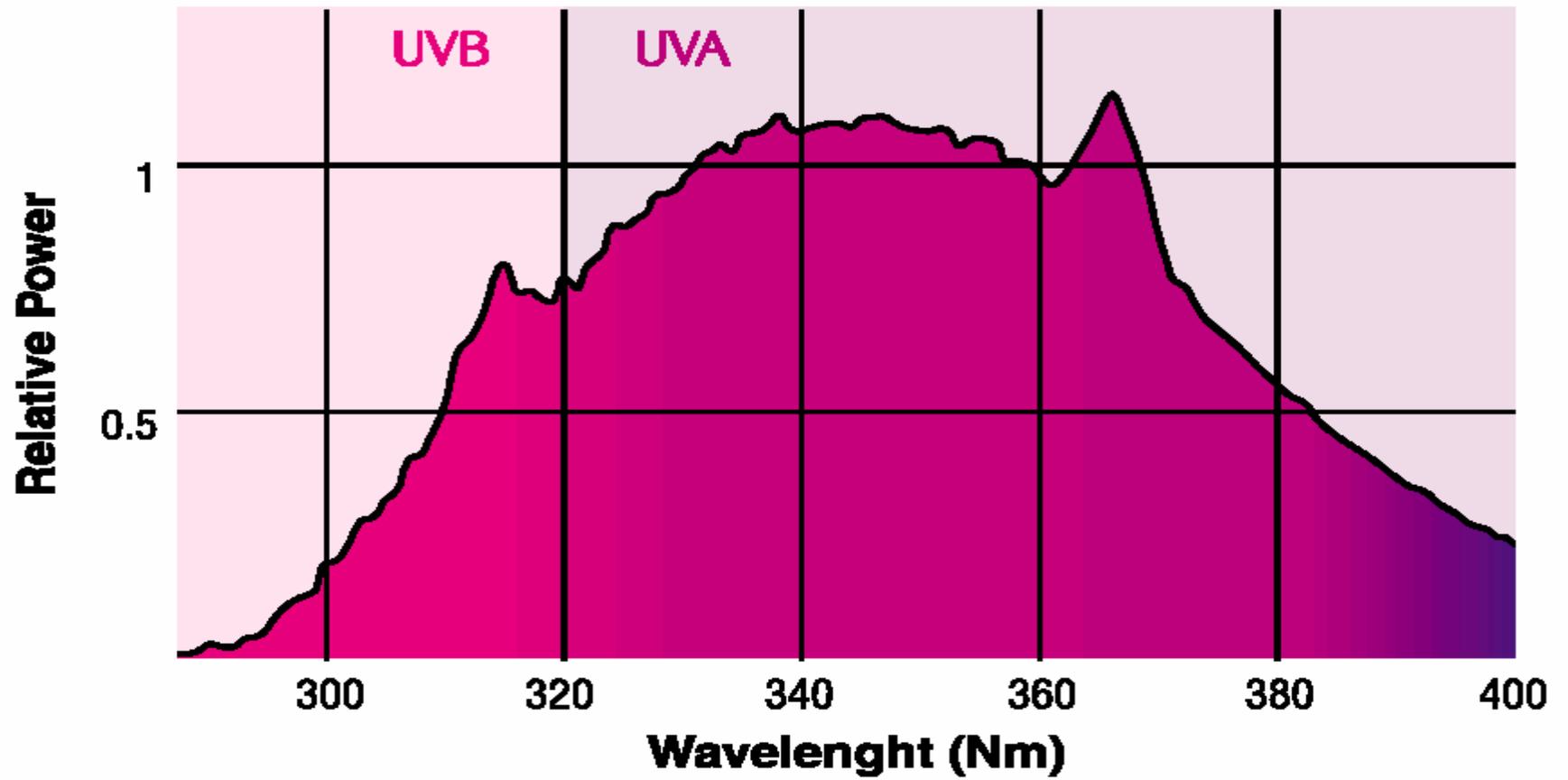


Figure 2



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