



**April 2008 (Revised August 2010)**

**USE DESIGNATIONS FOR EROSION AND SEDIMENT CONTROL**

**For**

**Chitosan-Enhanced Sand Filtration using 3% StormKlear® LiquiFloc™  
chitosan acetate solution**

**Ecology's Decision:**

Based on Ecology's review of HaloSoure Inc.'s (HI) application submissions and the findings by the Chemical Technical Review Committee (CTRC), Ecology is hereby issuing the following use designations for the Chitosan Enhanced Sand Filtration (CESF) technology for adequately controlling small particulate turbidity (clays, silt, etc.) in stormwater discharges at construction sites:

- 1. General Use Level Designation for the CESF technology with the discharge of chitosan acetate treated water to retention systems capable of infiltrating all storms to the ground with no discharge to surface water. The design of the infiltration system must be based on the criteria in Volume V of Ecology's most recent Stormwater Manual for Western Washington. The design and operational criteria for the CESF specified in this document shall also be strictly adhered to. Records showing that total retention was achieved must be kept on site.**
- 2. General Use Level Designation for the CESF technology with a discharge of chitosan acetate treated water from the sand filters to temporary holding ponds or basins then discharged to surface water (batch treatment). The design and operational criteria specified in this document shall be strictly adhered to.**
- 3. Conditional Use Level Designation (CULD) for the CESF technology with the chitosan acetate treated discharges conveyed directly or indirectly to surface water (flow-through system). This CULD expires on October 1, 2013, unless extended by Ecology and takes effect when all applicable "Conditions" and "Design and Operational Criteria" specified in this designation document are implemented or satisfied.**

### **Conditions Applicable to CESF under this designation**

- 1. Formal written approval from Ecology is required for the use of chemical treatment at each site. Written approval must be obtained from the appropriate Ecology regional office.**
- 2. This use level designation applies only to StormKlear® LiquiFloc™ (3% chitosan acetate solution).**
- 3. The chitosan dose rate for water entering the filters shall not exceed 1 mg/L StormKlear® LiquiFloc™ (as chitosan by weight). All calibration results must be recorded simultaneously with the flowrates and kept on site.**
- 4. Source control procedures shall be implemented to the maximum extent feasible to minimize the need for the use of additional chitosan acetate for the pretreatment of stormwater. Additional StormKlear® LiquiFloc™ (amounts greater than 1 mg/L chitosan by weight) may be used to pretreat water that exceeds 600 NTU. A portion of the 1 mg/L StormKlear® LiquiFloc™ may be used to pretreat water less than or equal to 600 NTU. Pretreatment must occur in a tank or basin dedicated to pretreatment. All pretreated water must enter the sand filters. Pretreated water must have no less than 50 NTU and no more than 600 NTU before final dosing. This will help ensure that free chitosan does not enter the CESF system. In addition, 1 mg/L StormKlear® LiquiFloc™ (chitosan by weight) is sufficient to treat water in this range. Water exiting the pretreatment tanks must be continuously monitored for turbidity. An automatic integrated turbidity sensor shall be located on the output from the pretreatment tanks or basins. This sensor will alert the operator when turbidity values fall outside of the 50 to 600 NTU range. If this occurs, operators can reroute the out of spec water to the untreated stormwater pond, shut the system down, or conduct additional residual chitosan tests. One of these actions must occur each time the alarm goes off. Jar tests must be used to determine proper pretreatment dosing and proper treatment dosing.**
- 5. This approval only applies to discharges to streams. Additional aquatic toxicity testing will be necessary for discharges to other waterbodies.**
- 6. Jar tests will be conducted at startup to determine the dosage level of chitosan acetate solution. Additional jar tests will be conducted when influent turbidity changes by 20% or greater. Jar test results must be recorded in the daily operating log. If the results of the jar test indicate that the dose needs to be adjusted, the jar testing results and the indicated dose rate change shall be documented in the daily operating log.**
- 7. During CESF operation, water quality influent and effluent shall be continuously monitored for pH, turbidity, and flow. For batch treatment**

systems only water discharged from the batch treatment basins or tanks must be continuously monitored for pH, turbidity, and flow during discharge.

8. The discharge flowrate shall be continuously metered and recorded. For batch treatment systems only water discharged from the batch treatment basins or tanks must be continuously monitored for flowrate.
9. The effluent shall be monitored for residual chitosan or aquatic toxicity. If effluent will be monitored for aquatic toxicity, the most sensitive test reported in the intended use plan must be used. If the effluent will be monitored for residual chitosan, a discrete grab sample of homogeneous sand filter discharge must be collected and analyzed within 30 minutes of the onset of operation and 2 hours after startup to confirm a discharge concentration below 0.2 ppm. The test is to be repeated whenever there is a change in dosage, or a significant change in influent turbidity or flowrate (20% or greater). For batch treatment systems, only water discharged from the batch treatment basins or tanks must be monitored. For batch treatment systems, an additional grab sample of the potential batch treatment discharge must be collected and analyzed for aquatic toxicity or residual chitosan before any discharge from treatment basins or tanks can occur.
10. Discharges from the CESF shall be maintained below 0.2 ppm residual chitosan at all times. Discharges must be monitored for residual chitosan or aquatic toxicity. In the event that the chitosan residual in the discharge is greater than 0.2 ppm, the discharge exhibits aquatic toxicity, or when the CESF system fails to meet discharge quality requirements, a contingency plan must be included in every SWPPP that immediately corrects the situation. The operation and maintenance manual must include contingency plan measures and must be available on-site.
11. An Operating Period Information Form shall be completed for each operating period (system startup, operation, and shutdown). At a minimum, the form shall include the following:
  - A record of each recycle event
  - A record of each backwash event
  - Actions taken when a recycle event occurs
  - Actions taken when excessive backwashing is occurring
  - A record of pump calibration
  - A record of chitosan use for pretreatment
  - A record of chitosan dosage immediately prior to filters
  - A record of test results for chitosan residual in the effluent

**Weekly, the supervisor shall examine the forms completed the previous week. The supervisor shall sign each daily form indicating it has been reviewed and document actions taken in response to any abnormal conditions observed by the operator.**

- 12. At all construction sites, at the end of the operating period, a delegated responsible person shall record their assessment of the operational efficiency of the CESF process, any upsets, the sand filter discharge chitosan concentrations, and any other relevant observations that relate to CESF proper operation. They must also certify the acceptability of the CESF discharge to surface water.**
- 13. Discharges from the CESF system shall not cause or contribute to receiving water quality violations and shall comply with the discharge requirements of the State of Washington Construction Stormwater General Permit, AKART, and local government requirements for turbidity and other applicable pollutants. This designation document must be used as the basis for Stormwater Pollution Prevention Plans (SWPPPs) for all construction projects where chitosan treatment is planned.**
- 14. Discharges from the CESF system under these designations shall achieve performance goals of a maximum instantaneous discharge of 10 NTU turbidity and a discharge pH within a range of 6.5-8.5. These limits reduce interferences associated with the residual chitosan test.**
- 15. The CESF facility contractor shall guarantee that the CESF system, when used as directed, will not produce treated water that exhibits aquatic toxicity caused by chitosan added as a treatment agent.**
- 16. The CESF system shall only be operated by a trained technician certified through an Ecology-approved training program that includes classroom and field instruction. The CESF operator must remain on-site during CESF operation. The technician must have the following minimum training requirements:**

**Prerequisites:**

- Current certification as a Certified Erosion and Sediment Control Lead (CESCL), through an Ecology-approved CESCL training course.**
- Fundamental knowledge of, high-pressure sand filter systems.**
- Fundamental knowledge of water pumping and piping systems.**
- Fundamental knowledge of stormwater discharge regulations for applicable region/locale.**
- Fundamental knowledge of stormwater quality testing procedures and methods for parameters applicable to the region/locale.**

**Classroom (8 hours)**

- Stormwater regulatory framework and requirements**
- Stormwater treatment chemistry (chitosan, pH, coagulation, filtration, etc.)**

- Stormwater treatability (how to do jar testing)
- Treatment system components and their operation
- Treatment system operation
- Troubleshooting

**In the field (32 hours)**

- Operating the treatment system
- Entering data in the system operations log
- Testing turbidity and pH
- Optimizing chitosan dose rate
- Water quality sampling and testing (turbidity and pH)
- Residual Chitosan Test

17. The SWPPP is to include a field procedure, accepted by the Department of Ecology, for detecting residual chitosan in stormwater discharges sensitive to 0.2 ppm.
18. During the planning of the project, the adverse potential impacts on chitosan efficiency of the use of other erosion and sediment control practices must be evaluated.

**Conditions Applicable to Flow-Through CESF under this CULD**

1. This CULD limits the number of sites (in Washington State) to ten (10) during the CULD period.
2. Ecology hereby approves the Intended Use Plan dated April 26, 2007.
3. HaloSource, Inc. commits to submitting a quality assurance project plan (QAPP) for Ecology and CTRC review by September 30, 2011. The QAPP must specify two test sites for field-testing in Washington State.
3. All required testing must be completed and a TEER in support of a general use level designation for flow-through treatment must be submitted by April 1, 2013.

**Design Criteria for CESF Systems:**

Systems must be designed using the relevant portions of the most current versions of BMP C250 and BMP C251 of the Stormwater Management Manual for Western Washington and the Stormwater Management Manual for Eastern Washington. The most recent versions can be found:

[http://www.ecy.wa.gov/programs/wq/stormwater/wwstormwatermanual/final\\_bmp\\_c250\\_12\\_06.pdf](http://www.ecy.wa.gov/programs/wq/stormwater/wwstormwatermanual/final_bmp_c250_12_06.pdf)

1. System design must consider downstream conveyance system integrity.
2. The facility shall employ a minimum of three (3) sand filter pods to ensure adequate backwashing capacity. The backwash slurry from the sand filters must be discharged to a holding cell that is separate from the temporary storage

cell for the incoming turbid stormwater. The overflow from the backwash slurry detention cell can overflow into the detention basin for the incoming turbid stormwater.

3. The operating flow rate shall not exceed 15 GPM per square foot of sand bed filtration area.
4. Only filtration media approved in the Sand Filtration Treatment Facilities section (Volume V, Chapter 8) of the most recent Stormwater Management Manual for Western Washington can be used in the filter pods. Minimum sand bed depth shall be 18 inches underlain with a minimum of 6 inches of 1-inch crushed rock.
5. The CESF system shall include a flow-regulating valve on the input to and output of the sand filter. These regulating valves will reduce the maximum output of the pump as required and facilitate proper backwash.
6. The CESF system treated water output shall be equipped with an automatic integrated turbidity and pH sensor capable of shutting the system down if the output turbidity or pH exceeds preset values. An audible alarm and warning light shall be installed on the treatment system to alert the operator in the event of a system failure.
7. The CESF control system (including metering pump, chitosan storage, and instrumentation) shall be completely enclosed in a secure structure with locking door. The chitosan liquid concentrate shall be stored in a non-corrosive storage tank. Chitosan storage tank, metering pump, and tubing shall have secondary containment. The metering pump discharge tubing shall have an anti-siphon valve.
8. Chitosan injection shall be performed with an LMI-brand C77 chemical metering pump, or equivalent. The metering pump must be calibrated within 15 minutes of the beginning of each operating period. The metering pump shall be recalibrated when a significant change occurs in either the flow or influent turbidity.

**Applicant:** HaloSource, Inc., chitosan vendor and technical consultant

Frank Kneib, National Sales Manager

**Applicant Address:** 1631 220th Street SE, Suite 100  
Bothell, Washington 98021

**Application Documents:**

- StormKlear LiquiFloc Maximum Strength 3% Field Study, November 2007, HaloSource, Inc.
- Chitosan-Enhanced Sand Filtration Using StormKlear® LiquiFloc™ Maximum Strength 3% Operations and Maintenance Manual, February 2008, HaloSource, Inc.
- StormKlear® LiquiFloc™ Maximum Strength 3% Solution Intended Use Plan, February 2008, WaterTectonics, Inc
- Amtest Residual Chitosan Test, November 2007, AmTest.
- Toxicity Testing of 3% Liqui-Floc, October, 2007, Nautilus Environmental, LLC.
- Toxicity Testing of 3% Liqui-Floc: Rainbow Trout 96-hr Acute Survival, February 2008, Nautilus Environmental, LLC.

**Applicant's Use Level Request:**

General use level designation for the operation of flow-through chitosan-enhanced sand filtration (CESF) technology for the reduction of turbidity in construction site stormwater.

**Applicant's Performance Claims:**

For construction site stormwater runoff with a turbidity of less than 600 NTU (influent), a properly engineered and deployed *Chitosan-Enhanced Sand Filtration System* will remove greater than 95% of the turbidity, producing effluent that will consistently meet the State surface water discharge standards.

**Chemical Technical Review Committee (CTRC) Recommendation:**

The CTRC finds sufficient evidence to recommend to Ecology to grant a CULD for flow-through treatment that can remove turbidity from stormwater at construction sites within acceptable limits for chitosan enhanced sand filtration using StormKlear® LiquiFloc™ 3% chitosan acetate.

**Findings of Fact:**

1. Performance data is available from two sites in Vancouver, BC. At one site at a dosing rate of about 1.2 mg/L (chitosan acetate by weight), the CESF reduced turbidity by about 80%. At another site dosing at about 26-50 mg/L (chitosan acetate by weight), the CESF reduced turbidity by about 98%. Residual chitosan tests were negative for both sites.

2. Other studies indicate that influent turbidity levels above 600 NTU demonstrate the potential to cause a slow degradation of the turbidity removal performance by the system resulting in eventual system failure. CESF systems shall be limited to influent turbidity levels of 600 NTU or less. Turbidity levels above 600 NTU shall be allowed additional settlement time or be pretreated in another manner not covered in this application for conditional use level designation.
3. Water with a pH range outside the CESF treatment window of 6.5 to 8.5 shall be pretreated to achieve this range. This pretreatment process is not covered in this application for conditional use level designation.
4. In the CESF treatment systems that have been constructed and operated to date no aquatic toxicity has been observed in the treated filtrate.
5. Chitosan acetate does exhibit toxicity to rainbow trout and should therefore be used at a maximum dose rate of 1 mg/L as chitosan acetate as a conservative measure to ensure no possibility of toxicity to rainbow trout in receiving water.
6. CEI provided aquatic toxicity data demonstrating that the discharge residual of the chitosan acetate polymer is expected to be within toxicity levels acceptable to Ecology when used as directed.

### **Description of the Technology:**

Chitosan-enhanced sand filtration (CESF) is a stand-alone construction site water treatment technology, which is comprised of four basic components:

- ❑ Stormwater transfer pump
- ❑ Chitosan addition
- ❑ Pressurized multi-pod sand filtration
- ❑ Interconnecting treatment system piping

CESF can be used as a flow-through stormwater treatment technology that utilizes chitosan, a natural biopolymer, in conjunction with pressurized sand filtration to remove turbidity (suspended sediment). Each treatment system is designed and installed to be operated on an as need basis, pumping water from a retention basin whenever the water level of the retention basin is high enough to warrant processing. When stormwater is transferred from the retention basin to the sand filtration unit, chitosan is introduced to stormwater to coagulate suspended solids producing larger particles, which are retained within a sand filter. The filtration systems are equipped with automatic backwash systems, which will backwash the collected sediment from the individual filter pods as necessary to maintain the hydraulic capacity of the filtration media. This feature allows the treatment system to operate on a continuous flow-through basis. A link to a diagram of the system is included here:

### **Recommended Research and Development**

Ecology encourages HaloSource, Inc. to pursue continuous improvements to the CESF system. To that end, the following actions are recommended:

- Further field testing is necessary to determine the optimum dose rate for various influent concentrations.
- Further research should be conducted to create a more reliable residual chitosan test. A test that quantifies chitosan concentrations should be developed.
- Determine how different soil types affect chitosan treatment.
- Determine aquatic threshold for marine species.

**Contact Information:**

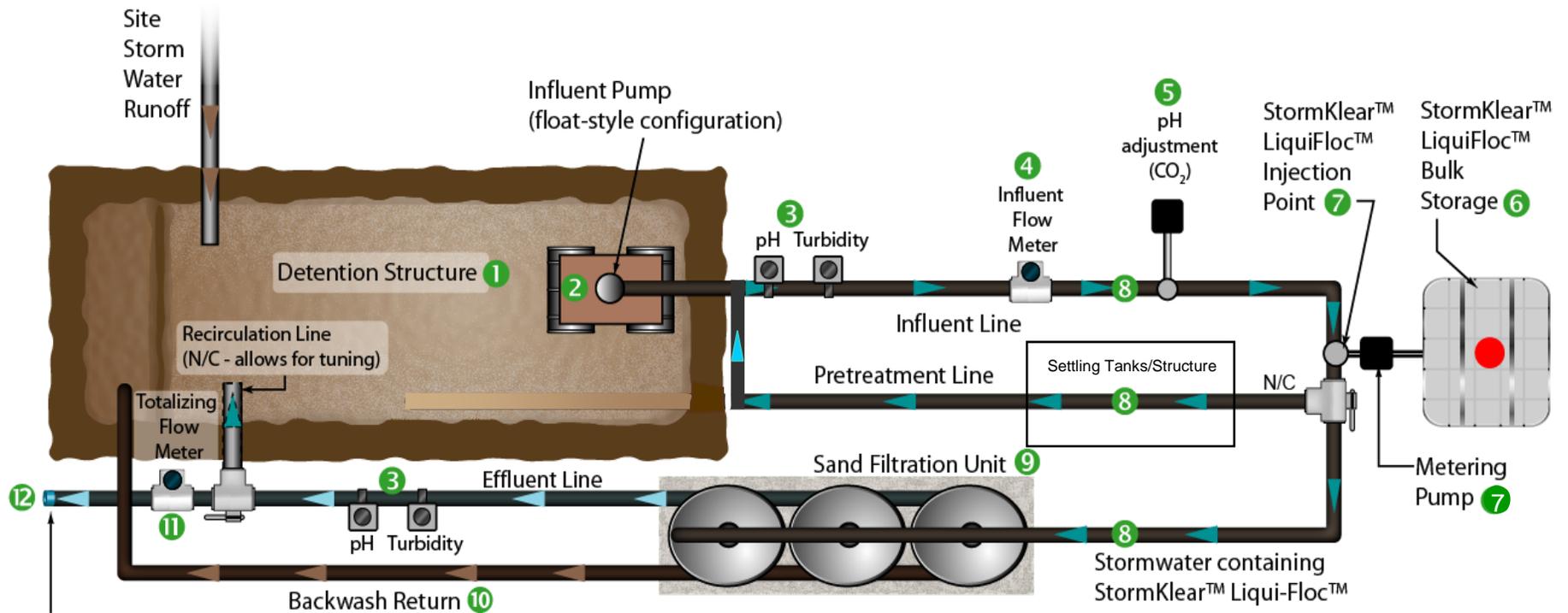
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# Schematic Diagram of CESF System



Clarified effluent to outfall (<10 NTU; pH 6.5-8.5)

*Note: Pretreatment line shown is conceptual, additional equipment/piping may be required.  
If pretreatment dosing is used, water quality after settling will be read at the influent pH and turbidity location.*